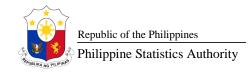
2015
Evidence and
Data for
Gender
Equality

# Interviewer's Manual

For the conduct of the Pilot Survey on Measuring Asset
Ownership and Entrepreneurship from a Gender Perspective
under R-CDTA 8243: Statistical Capacity Development for Social
Inclusion and Gender Equality





#### **FOREWORD**

The Philippine Statistics Authority will conduct the 2015 Evidence and Data for Gender Equality (EDGE) pilot survey in the Province of Cavite, in September 2015. This project which aims to measure asset ownership and entrepreneurship from a gender perspective, is in collaboration among development partners namely; Asian Development Bank (ADB), United Nations Statistics Division (UNSD) and the UN Entity for Gender Equality and the Empowerment of Women (UN-WOMEN).

The 2015 EDGE Interviewer's Manual was prepared to serve as guide to both interviewers and supervisors in carrying out their duties and responsibilities effectively during field work. The objectives in conducting this survey and the concepts and definitions that will be undertaken must be applied. The detailed instructions on how they are going to fill out the EDGE Form 2 (Household Questionnaire) and EDGE Form 3 (Individual Questionnaire) as well as their roles as efficient enumerators and supervisors are discussed in this manual. Adherence to the instructions laid in this manual is a key factor in the successful outcome of the 2015 EDGE.

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Manila, Philippines August 2015

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### Chapter 1 Introduction

In May 2015, the government of the Philippines, through the Philippine Statistics Authority (PSA), signed a Memorandum of Agreement with the Asian Development Bank (ADB) as one of the three countries (Georgia, Mongolia, the Philippines) participating under ADB's R-CDTA 8243: Statistical Capacity Development for Social Inclusion and Gender Equality. The project aims to measure individual level asset ownership and entrepreneurship from a gender perspective using standard methods that were developed under the global Evidence and Data for Gender Equality (EDGE) initiative being led by UNSD and UN-WOMEN.

Most assets are owned by individuals (either solely or jointly) and individual-level data are better able than household-level data to provide insights into three broad sets of policy issues. These are: (1) women's empowerment and decision-making, (2) understanding livelihoods (including entrepreneurship), and (3) reducing poverty and vulnerability. Yet, relatively little data exists on individual ownership of assets, particularly data derived from nationally-representative survey instead, asset data continues to be collected at the household level by asking questions about whether <u>anyone</u> in the household owns land, housing or other key assets.

To integrate data collection on individual-level asset ownership/control and entrepreneurship into the regular production of official statistics, the EDGE initiative is undertaking methodological work on the following key issues:

- 1. Which assets should be measured?
- 2. How should the ownership and control of assets be defined and measured?
- 3. How should the value of assets be obtained?
- 4. Who in the household should be interviewed about individual-level asset ownership and control?
- 5. How should gender differentials in entrepreneurial participation, enterprise performance, motivations and aspirations and entrepreneurial resources and constraints be measured?
- 6. Which indicators on asset ownership/control and entrepreneurship should be proposed for regular measurement?

In order to test and refine the EDGE methodology, the national statistics offices (NSOs) of nine countries, including the Philippine Statistics Authority (PSA) and those of Mongolia and Georgia agreed to pilot data collection on individual-level asset ownership and entrepreneurship in 2015 (*Annex 1*) through ADB's through R-CDTA 8243 project. The lessons learned from these pilot studies will be incorporated into the final EDGE methodological guidelines on measuring asset ownership and control from a gender perspective, which will be presented to the United Nations Statistical Commission in 2017 for endorsement.

#### 1.1 Survey Objectives

The main objective of the pilot survey is to test the EDGE methodology for measuring asset ownership and control and entrepreneurship from a gender perspective. This includes quantitative and qualitative assessments of:

- (1) the design of the EDGE modules to ensure that questions are clear, response categories are adequate for the survey population, difficult/sensitive questions are identified, and concepts employed are operationalized well;
- (2) the feasibility of interviewing the household members selected for interview according to the EDGE field protocols; and
- (3) the relevance of the proposed EDGE global indicators to the country context.

#### 1.2 Authority of the Survey

The authority and mandate of the Philippine Statistical Authority (PSA) to conduct this survey emanates from the *Republic Act No. 10625*.

Republic Act No. 10625 also known as the Philippine Statistical Act of 2013, which was approved on September 12, 2013 states that the PSA shall be primarily responsible for all national censuses and surveys, including sectoral statistics, consolidation of administrative recording system, and compilation of national accounts specifically, Section 6(b) of this Act mandates the PSA to prepare and conduct periodic censuses on population, housing, agriculture, fisheries, business, industry, and other sectors of the economy.

#### 1.3 Confidentiality of Information

All personnel involved in this survey are required to keep in <u>STRICT CONFIDENCE</u> any information obtained during the survey that pertains to any particular household or person.

Section 26 of RA No. 10625 stipulates that individual data furnished by a respondent to statistical inquiries, surveys, and censuses of PSA shall be considered privileged communication and as such shall be inadmissible as evidence in any proceeding. The PSA may release data gathered from censuses only in the form of summaries or statistical tables, in which no reference to an individual, corporation, association, partnership, institution or business enterprise shall appear.

Likewise, Section 27 of RA 10625 states that respondents of primary data collection activities as censuses and sample surveys are obliged to give truthful and complete answers to statistical inquiries. The gathering consolidation and analysis of such data shall likewise be done in the most truthful and credible manner.

To ensure compliance, any violation of this Act shall result in the imposition of the penalty of one (1) year imprisonment and a fine of one hundred thousand pesos (Php100,000.00). In cases, who fails to give a truthful and complete answer to such statistical inquiries in a corporation, the above penalty shall be

imposed against the responsible officer, director, manager, and/or agent of said corporations. In addition, such erring corporation or any other juridical entity, depending on the category of the enterprise or business concerned whether small, medium or large, shall be imposed a fine ranging from one hundred thousand pesos (P100,000.00) to five hundred thousand pesos (P500,000.00)

#### 1.4 Scope and Coverage

The pilot survey will cover a sample of about 1,550 households for the province of Cavite with an estimated 750,000 households or an estimated total population of 3,737,000. All sample households will be interviewed using EDGE Form 2 (Household Questionnaire) to gather information on demographic and socio-economic status of the household and if household has adult members 18 years and over EDGE Form 3 will be administered for a maximum of three sample respondents for EDGE Form 3 (Individual Questionnaire).

#### 1.5 Sampling Design

Two-stage sampling design was used in the selection of samples with the selection of Primary Sampling Units (PSUs) at the <u>first stage</u>. Pre-stratification of households with adult members 18 years old and over (less than three members aged 18 years old vs. three or more 18 years old and over) was done during the Listing of Households in Cavite for sample PSUs. The <u>second stage</u> is the random selection of sample Households separately for both SSS1 and SSS 2. There will also be the selection of eligible respondents (ERs) for the Individual Questionnaire who is/are member/s of the sample household aged 18 years old and over. For households with three or more ERs, maximum of three will be interviewed.

#### 1.6 Organizational Set-Up

The Philippine Statistics Authority (PSA) has the major responsibility for conducting the EDGE pilot survey. This is a joint undertaking of the Asian Development Bank (ADB) and PSA wherein the latter is primarily tasked to implement the survey and on behalf of the PSA National Statistician, the Interim Deputy National Statistician assisted by Interim Assistant National Statistician of Social Sector Statistics Services will be in-charge of the overall conduct of the survey.

All matters pertaining to the 2015 EDGE Pilot Survey be it technical, financial or administrative in nature, are supervised and coordinated by the Chief of Demographic and Health Statistics Division (DHSD).

In behalf of the Regional Director of Region IV-A, the Interim Provincial Statistics Officer (IPSO) of Cavite will be in-charge in the recruitment of the Field Interviewers (FIs) to be utilized in this undertaking. She is also tasked to coordinate with the local officials in the sample municipalities and barangays. Interviewers and Team Supervisors will also be supervised by the IPSO during the enumeration.

#### National Statistician Sectoral Statistics Office Regional Director **Provincial Statistics Officer** Social Sector Statistics Service **Provincial Supervisor** Demographic and Poverty and Human **Health Statistics** Statistics Division (PHSD) Division (DHSD) Team Supervisor (District Statistical Officer (DSO)/Statistical Officer (SCO)/ Statistical Staff PHSD Staff **DHSD Staff** Hired Interviewers

#### ORGANIZATIONAL CHART

#### 1.7 Training, Enumeration and Supervision

The First and Second Level Training will be conducted in Cavite. An allotted day for the First Level is 3 days and 4 days for the Second Level. This will be participated in by Field Office (FO) staff comprising the Regional Director (RD), Interim Provincial Statistics Officer (IPSO), Provincial Statistician, District or Statistical Coordination Officers (DSO or SCO) together with selected regular PSA-Demographic and Health Statistics Division employees from Central Office (CO) and programmers from Information Resource Division (IRD). The CO and FO staff will serve as trainers in the second level training which will be participated in by the hired FIs and Team Supervisors (TSs).

Resource persons from ADB and United Nations Statistics Division will be present to observe the conduct of training, and clarify matters whenever needed during the training proper. The training is comprised of lectures, exercises, demonstration interviews, and field practice interviews. Topics to be discussed during the first level training and second level training can be seen in the Training Schedule in *Annex 2*.

There are 13 teams wherein each team is composed of one team supervisor and three interviewers during the enumeration. It will be conducted for 24 days from September 15 – October 10, 2015 including Saturdays.

As interviewers for the 2015 EDGE, you are part of the survey organization. You will be supervised directly by designated supervisors from CO and FO to monitor and assist you in the entire enumeration period.

### 1.8 Survey Questionnaires and Other Survey Instruments (Administrative Forms)

There will be questionnaires (Annex 3) and forms (Annex 4) that will be used in the conduct of this survey. Listed below are the following EDGE questionnaires and forms and its description:

#### 1.8.1 2015 EDGE Form 1 (Listing Booklet)

This was used earlier in June 2015 since there is a need to do the listing as part of the protocol by our partner agency. From this listing the sample households in the EDGE operation was derived.

#### 1.8.2 2015 EDGE Form 2 (Household Questionnaire)

Each sample household will be interviewed using EDGE Form 2. This questionnaire obtains demographic characteristics of each member of the household such as relationship to the head of the household, sex, date of birth, age, marital status, ethnicity, religious affiliation, highest grade completed by the household member (five years old and over), employment status, economic activity (15 years old and over), type of materials of the roof, walls, etc.

In this questionnaire, section A has the following information:

- Approval No. and the expiration date
- Confidentiality clause
- Geographic identification codes (<u>region</u>, <u>province</u>, <u>municipality/city</u>, <u>barangay</u>, EA, SHSN, HCN). **Note:** The heavy underline denotes a write-in entry
- Design codes (replicate, stratum, PSU No., rotation group, number of households in the housing unit)
- · Name of household head
- · Name of primary respondent and the line number
- Name of Household Questionnaire respondent and the line number
- Record of individual visit in the format DD/MM/YYYY and the time began and the time finished of the interview using the 24 hour format
- Result codes (1-12)
- Certification

The inside pages of the Household Questionnaire contained sections  ${\bf B}$  to  ${\bf K}$  with the following topics:

**B** - Demographic Characteristics (relationship to the household head, age, month and year of birth, sex, marital status, etc.)

- C Residence of Spouse/Partner
- D Ethnicity
- E Religious Affiliation
- F Highest Grade Completed
- G Status in Employment
- H Self-employed
- I Primary Work
- J Non-agricultural enterprise owned and operated in subsidiary capacity
- K Housing Characteristics

Form 2 questionnaires should be administered per household wherein respondents are adult 18 years old and over. **Ideally**, the primary respondent is the eligible respondent.

#### 1.8.3 2015 EDGE Form 3 (Individual Questionnaire)

There are eleven modules (Modules 3-12) to be covered in this questionnaire. The individual questionnaire is designed to collects information about the assets owned by any member of the household including the respondent. These assets (i.e. dwelling and the owner, agricultural land, livestock, physical and financial assets, liabilities, real estate, durables, and valuables) may be owned exclusively or jointly with someone else. Described below are the modules included in the questionnaire:

Module 3	Principal Dwelling
Module 4	Agricultural Land
Module 5	Livestock and Poultry Raising
Module 6A	Large Agricultural Equipment
Module 6B	Small Agricultural equipment
Module <b>7</b>	Non-Agricultural Enterprises and Enterprise
	Assets
Module 8	Other Real Estate
Module 9	Consumer Durables
Module 10	Financial Assets
Module 11	Liabilities
Module 12	Valuables
Module 13	End of Questionnaire

#### 1.8.4 - EDGE Form 4 - Sticker

The sticker used during the listing operation, indicates the primary sampling unit (PSU), replicate, EA, housing unit serial number (HUSN), and household serial number (HSN). Copy the PSU no., replicate, and EA given in the list of samples (master list) provided to you by your supervisor.

#### 1.8.5 EDGE Form 5 – Transmittal/Receipt Form

This form is used in whenever transmitting or receiving materials/ questionnaires to or from supervisors.

#### 1.8.6 EDGE Form 6 – List of Sample Households

This is often referred to as the masterlist. It is the list of samples to be interviewed or to be visited. The sample referred to, may either be a sample household in the sample housing unit or a vacant housing unit.

#### 1.8.7 EDGE Form 7 - Interviewer's Assignment Sheet

This is designed to provide information on your output on the number of household questionnaires completed (EDGE Form 2) as well as the completed individual questionnaires for the day. This should be accomplished daily until the assigned area is completely enumerated.

#### 1.8.8 EDGE Form 8 – Supervisor's Progress Report

This is used by supervisors to monitor the flow of work of a particular field interviewer (FI) if meeting the quota or not. If not, he/she will examine if the reason is valid or not.

#### 1.8.9 EDGE Form 10 - Re-interview/Spot-check Record

This is also used by the supervisors to check the quality of interview by the interviewer by asking the questions stated in the form like the household membership, age, sex, date of interview, etc. Data gathered by the interviewer in a sample household will be compared to the data gathered by the supervisor in that sample household re-interviewed.

#### 1.8.10 EDGE Form 11 - Folio Cover

The supervisors will supervise the folioing. This form is used in folioing all the accomplished questionnaires after completing an EA.

#### **PAYMENT CLAIMS**

You are required to accomplish the following:

- Daily Time Record (duplicate) to monitor your attendance in going to work
- Itinerary of Travel (duplicate) to record the date and place visited and mode of transportation, and allowable expenses incurred
  - Option of payroll type for the transportation allowance and wages/salary
- Voucher (duplicate) stating the amount to be reimbursed

# Chapter 2 Definition of Concepts and Terms

All personnel involved in this undertaking must adhere to the following concepts and definitions in order to achieve the correct data to be gathered. A clear understanding of the concept is required to all personnel involved in this undertaking. Here are some of the terminologies used in this pilot survey.

**Respondent -** Generally, the respondent for the household questionnaire for this survey should be the primary respondent. If he/she is not available, his/her spouse, if applicable, could substitute as a respondent. If spouse is also not available, an adult household member could be interviewed. An **adult** is an individual who is at least 18 years old on the date of survey. The respondent should be a <u>usual member</u> of the household and should be capable of providing all the necessary information about other members of the household. **Note** that other members can help by adding information or details in the questions concerning them.

**Primary respondent -** is the household member who is most informed about the main topic of the study i.e. assets of the household. The primary respondent has to be at least 18 years of age. Note that the primary respondent need not be the 'head of the household', the person whose authority is acknowledged by all household members-as traditionally defined during a household listing. Also note that the primary respondent may or may not be married/cohabiting. The primary respondent is the foremost or the first preference to be considered for interview over the other household members.

#### The principal couple comprises of the following:

- primary respondent, i.e., the household member (aged 18 or over) most knowledgeable about household assets and his/her spouse or partner (aged 18 or above) who is also a household member.
- may be married <u>or</u> cohabitating, i.e. living together as if they were husband and wife but not married. In polygamous households, where a man has more than one wife or partner living in the household, the wife/partner who has been married to/cohabitating with the principal male longest should be considered the member of the principal couple.
  - In polyandrous households, where a woman has more than one husband or partner living in the household, the husband/partner who has been married to/cohabitating with the principal female longest should be considered the member of the principal couple.
  - Note that there may be cases in which a married/cohabitating couple are members of a household, but if neither member of the couple is the most informed about the household's assets, they would not be considered members of the principal couple.

For example, a household may consist of a female adult, who is most knowledgeable about the household's assets, her adult son and her married parents. The married parents would not be considered to be a principal couple and thus would only be interviewed if they were randomly selected from the household roster.

A **household** - is a person or group of persons, related or unrelated, who live together in the same dwelling unit and have common preparation and consumption of food. In some cases one may find a group of people living together in the same house, but each person has separate eating arrangements; they should be counted as separate one-person households. Collective living arrangements such as hostels, army camps, boarding schools, or prisons are not considered as households in this survey.

- 1. The following categories of people are considered as household members even though they have lived for less than 6 months in the past 12 months:
  - (i) infants who are less than 6 months old,
  - (ii) newly married who have been living together for less than 6 months,
  - (iii)students and seasonal workers who have not been living in or as part of another household, and
  - (iv)other persons living together for less than 6 months but who are expected to live in the household permanently (or for a longer duration).
- 2. Also, servants, farm workers and other such individuals who live and take meals with the household are to be identified as household members, even though they may not have blood relationship with the household head.

The following are examples of what constitutes a household or not:

- 1. People who live in the same dwelling, but do not share food expenses or eat meals together are not members of the same household;
  - **a.** If a man has two or more wives who (with their children) live and eat together, then they form one household. Alternatively, if each wife and her children live and eat separately, then this family will form more than one household;
  - **b.** If two brothers each having his own family live in the same house, but maintain separate food budgets, they would constitute two separate households;
- 2. a household consisting of a man and his wife/wives and children, father/mother, nephew and other relatives or non-relatives;
- 3. a household consisting of a single person; and
- 4. a household consisting of a couple or several couples with or without their children.

**Head of Household:** In most cases, the head of the household is the usual or regular member of the household and the one manages the income earned and expenses incurred by the household, and who is the most knowledgeable about other members of the household. He/she will be the person named or the one regarded as such when you ask the question "Who is the head of this household?"

**Usual members** are defined as those persons who have been living in the household for 6 months or more during the last 12 months. <u>However, members who have come to stay in the household permanently are to be included as usual members, even though they have lived in this household for less than 6 months.</u>

**Regular members** refer to those persons who would have been usual members of this household, but have been away for more than six months during the last 12 months, for education purposes, search of employment, business transactions, medical treatment etc. and living in boarding schools, lodging houses or hostels etc.

**Assets** are all items that are source of benefits accruing (adding) to the owner (any household members of the household), by holding or using it for producing goods and services over a period of time.

Assets may include the following:

- a) household dwelling;
- **b)** agricultural parcels;
- c) livestock;
- **d)** farm and non-farm machinery and implements; durable household items, such as stoves and refrigerators;
- e) dues receivable against loans advanced in cash and or in kind;
- f) financial assets, such as shares in a company, national saving certificates, and deposits with banks, post offices and other individuals; and
- **g)** valuables, such as precious metals, paintings and stones, held as store of value.

An asset has three characteristics:

- Its ownership right, whether legal and/or economic, is enforced;
- It is used repeatedly in the process of production for producing goods/services or held as a storage of value; and
- It can be used for more than a year.

#### What do we mean by "ownership"?

Ownership can be classified into three different categories:

1) Reported ownership: This form of ownership is obtained by asking the

respondent to identify who is (are) the owner(s) of an asset.

- **2)** Documented ownership: This form of ownership refers to the existence of any document an individual can use to claim ownership rights in law over an asset.
  - Ownership document is usually for land and housing, but can exist separately for housing and land. It refers to any type of written documentation (government-issued or not) including a title deed, certificate of customary ownership, will, or purchase agreement that states which persons own, have inherited, have been allocated, or have purchased the land and/or dwelling.
- 3) Economic ownership: This form of ownership refers to having the right to claim the economic benefits associated with the use or sale of an asset.

**Joint ownership** is a concept that falls under reported, documented, or economic ownership. It refers to two or more individuals reportedly, legally and/or economically owning an asset together.

4) Legal ownership: Entity that has an enforceable claim or title to an asset or property, and is recognized as such by law. For example, a lender is the legal owner of a property (mortgaged as a collateral for payment of a loan) by a borrower who is its legal possessor and retains only the right of redemption in it.

#### What do we mean by involved in decision-making related to assets?

When an individual is considered to be <u>involved in the decision to sell an asset?</u> It means that in the perception of the respondent 'whether the concerned household member will be consulted before permanently giving away the asset in return for cash or in-kind benefits?'. The decision making process may involve the documented/reported owner(s) of the asset only, or owner(s) plus other adult member(s) of the household (including non-household member(s)), or only other adult household members without the consultation of owner(s. For the situation where a documented/reported owner is not included in the decision making process, an example could be a very old member of the household who might have documented ownership of the asset but does not get involved in the decision making process due to old age, ill-health, or just lack of interest. The emphasis here is on "who is consulted" if a decision is to be made regarding the sale of an asset.

Comment [C651]: Note the concept of documented ownership is evidenced by the existence of any document use to claim ownership rights in law. For example, a 'Title Deed' is a legal type of ownership document.

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**Example 1:** In a given household, Marc (59 years old) is the documented owner of an agricultural parcel. Other members of the household are his wife, Sheila (54 years old), his sister-in-law, Karen (47 years old) and Marc and Sheila's son, Jonathan (25 years old). All individuals are members of the household. When Marc considers to sell the agricultural parcel, he will consult his wife and son on the whether to sell the parcel, about the expected price, whom to sell the land to and other concerns related to the agricultural parcel. In this case, Marc, Sheila, and Jonathan will be listed as those involved in the decision to sell an asset. Karen is NOT involved in the decision making process to sell the asset in question.

**Example 2:** In a given household, Mathilda (79 years old) is the documented owner of an agricultural parcel. Other members of the household are her older daughter, Kristina (52 years old), her second daughter, Pauline (50 years old) and her son, James (45 years old). Given Mathilda's old age and ill-health, she does not participate in the decision making process, but will only sign the final sale agreement without any questions. Kristina is the person that primarily considers the sale of the asset and consults Pauline but not James. In this case, Kristina and Pauline will be listed as those involved in the decision to sell an asset. Mathilda will not be included in this list because even though she is an owner she is not actually involved in the decision making process to sell an asset.

**Example 3:** In a given household, Matthew (60 years old) is the head of the household. Other members of the household include his wife, Joyce (58 years old), and their daughter Patty (30 years old) and Patty's husband, Robert (32 years old). Matthew and Joyce have a joint reported ownership of an agricultural parcel. Matthew only consults his son-in-law, Robert about any decisions related to the sale of the agricultural parcel. Joyce and Patty are not involved in any stage of the decision making process, although Joyce has to sign the legal sale agreement. In this case, Matthew and Robert will be listed as those involved in the decision to sell an asset. Joyce will not be included in this list of decision makers because even though she is an owner, she is not actually involved in the decision making process. Patty will also not be included as being involved in the decision making process to sell an asset.

When a household member is considered as a decision maker(s) in bequeathing an asset, it means that he/she will be consulted prior to the decision to give away or leaving an asset to other individual(s) by a person

owning the asset, either orally or in a written will format after the death of the owner. The situation where a documented/reported owner is not included in the decision making process of bequeathing, an example could be a very old member of the household who might have documented ownership of the asset and is required to sign the final bequeath agreement but does not get involved in the decision making process. The emphasis here is on who is consulted regarding bequeathing related decisions for an asset.

#### An agricultural holding is described as follows:

- An economic unit of agricultural production under single management comprising all livestock kept and all land used wholly or partly for agricultural production purposes, without regard to title, legal form, or size;
- Single management may be exercised by an individual or household, jointly by two or more individuals or households, by a clan or tribe, or by a juridical person such as a corporation, cooperative or government agency;
- The holding's land may consist of one or more parcels, located in one or more separate areas or in one or more territorial or administrative divisions, providing the parcels share the same production means utilized by the holding, such as labour, farm buildings, machinery or draught animals;
- 4. The requirement of sharing the same production means utilized by the holding, such as labour, farm buildings, machinery or draught animals should be fulfilled to a degree to justify the consideration of various parcels as components of one economic unit.

A parcel - is any piece of land, regardless of type of tenure, entirely surrounded by other land, water, road, forest or other features not forming part of the holding or forming part of the holding under a different land tenure type. A parcel may consist of one or more plots within. Note that in urban areas, people may also refer to a parcel of land as a plot.

A plot - is a part or whole of a parcel on which a unique crop or crop mixture is cultivated.

**Valuables -** are produced goods of considerable value that are not used primarily for purposes of production or consumption but are held as stores of value over time. The nature of valuables is that they are held as a store of value in the expectation that their value will increase over time. Valuables include <u>precious metals and stones</u>, <u>antiques and art objects</u>. These are often regarded as alternative forms of investment. At various times, investors may choose to buy <u>gold</u> rather than a financial asset when the prices of financial assets were behaving in a volatile manner. Individuals/households may also choose to acquire some of these items knowing that they may be sold if there is a need to raise funds.

**Liability -** is established when one party (the debtor) is obliged to provide a payment or a series of payment to the other party (the creditor).

The **subsidiary** can be a company, corporation, or limited liability company. If a company belongs to another company, then the owned company is a **subsidiary**. When a large company bought your small business, it became a subsidiary of the company that acquired it. A **subsidiary** is a business that is wholly or partially owned by another business, sometimes called the parent company or holding company.

Comment [C652]: Delete if not needed.

#### Married in any of the following:

**Customary marriage -** is a marriage celebrated according to the rights of the local community of which one or both of the parties belong

**Civil marriage -** is a marriage solemnized before the Registrar of Marriages under the National Registration of Marriage Act

**Religious marriage -** is a marriage solemnized in a place of worship (e.g. mosque, church) by a recognized minister; includes, a marriage solemnized by a recognized minister in a place directed by the Minister's license

**Church marriage -** is a marriage solemnized by a priest/pastor/reverend/minister in church

**Widow/er -** refers to a person whose spouse/partner is deceased and who is not currently married

**Divorced -** refers to a person who has terminated legal marriage through the legal system

**Separated -** refers to a person who does not physically live with his or her spouse/partner without having gone through a legal divorce but may be considering divorce or a permanent separation. This does not include spouses in a polygamous marriage who live in separate houses

Single - refers to a person who has never been married

**Annulment** – is a legal procedure for declaring a marriage null and void. Unlike divorce, it is usually retroactive, meaning that an annulled marriage is considered to be invalid from the beginning almost as if it had never taken place.

## Chapter 3 Role of an Interviewer

Your job is to interview eligible adult members in the sampled households in the Enumeration Area (EA). You must make every effort to interview the selected respondent alone. If there are other people around before conducting the interview, politely ask them, or suggest that the respondent ask them, to leave. In doing so, local protocol and cultural practices must be followed.

Your task is to ask questions and record the answers <u>as stated on and required by the questionnaire.</u> You must make every effort to obtain complete and accurate answers and then record them correctly. The success of the survey depends on the respondents' willingness to co-operate and it is your job to obtain it by being polite, patient and tactful.

The information you obtain is <u>strictly confidential</u>. You are not permitted to discuss it, gossip about it or show your records to anyone not employed on the survey project. At no time should the questionnaire be left lying around where unauthorised people may have access to them. You may only ask such questions as are necessary to enable you to complete the questionnaire. It is the duty of adults in the sampled households to give you such information about themselves and other household members.

#### 3.1 DESIGNATION OF INTERVIEWERS

A service contract and identification card (ID) will be issued to you as evidences of your authority to conduct the survey. You should always wear your ID whenever you are in the field to prove that you are an authorized EDGE interviewer. This will help you to win the trust of the respondents and help facilitate the gathering of the needed information in the course of your interview.

Your service contract will be effective officially at the start of the training.

#### 3.2 TRAINING

Although some people are more adept at interviewing than others, one can become a good interviewer through experience. Your training will consist of a combination of classroom training and practical experience. Before each training session, you should study this manual carefully along with the questionnaire, writing down any questions you have. Ask questions at any time to avoid mistakes during actual interviews. Interviewers can learn a lot by asking questions to the Trainers and talking about situations encountered in practice and actual interview situations.

Each of you will receive the following materials:

Personal Identification

- EDGE Form 2 Household Questionnaire
- EDGE Form 3 Individual Questionnaire
- Interviewer's Manual
- Ballpen (blue or black)
- 2 pencils
- Sharpener
- Clipboard
- EDGE Form 5 (Transmittal/Receipt Form)
- List of Sample Households (Masterlist)
- EDGE Form 7 (Interviewer's Assignment Sheet)
- EDGE Form 11 (Folio Cover)
- Shoelace/Straw

Please ensure that you bring these materials each day during training and to the field during fieldwork. During training, the following activities will be done:

- 1. You will see and have demonstration interviews conducted in front of the class as examples of the interviewing process
- 2. You will practice reading the questionnaire aloud to another person several times so that you may become comfortable with reading the questions aloud.
- 3. You will also be asked to take part in role playing in which you practice by interviewing other trainees
- 4. You will interview household respondents during field practice
- 5. You will be required to check and edit the questionnaires just as you would do in the actual fieldwork assignments
- 6. You will be given examination to see how well you are progressing during your formal training period

During the training, sections of the questionnaire, items/questions, and instructions will be discussed in detail. At the end of the training course, the interviewers will be selected based on attendance, participation in training, test results and performance during the mock interviews and field practice.

The training you receive as an interviewer does not end when the formal training period is completed. Your supervisor meets you regularly to discuss your work since your training is continuing. This is particularly important during the first few days of fieldwork. As you run into situations you did not cover in training, it will be helpful to discuss them with your team. Other interviewers may be running into similar problems, so you can all benefit from each other's experiences.

#### 3.3 DUTIES AND RESPONSIBILITIES OF AN INTERVIEWER

As an interviewer, you should do your job to the best of your ability. Your task is to gather correct and precise information according to the instructions discussed in this manual and in accordance with the set timetable of activities

because there are other activities to follow after the enumeration as shown in the Timetable in *Annex 5*. Your basic <u>duties and responsibilities</u> are as follows:

- ☑ Asking the question correctly as discussed in this manual;
- ☑ Recording/noting down accurately the responses given to you; and
- ☑ Checking each response to see to it that it is reasonable and consistent with related responses.

#### Other duties and responsibilities as an interviewer are the following:

- 1. To attend the training for you to gain understanding of the concepts, definitions and instructions regarding the conduct of the survey;
- Locate the sample households in your assigned area and interview responsible adult household member using the Household and Individual Questionnaire;
- Identify at most three eligible respondents in the sample households and interview them using the Individual Questionnaire (EDGE Form 2);
- 4. Check completed interviews to be sure that all questions were asked and the responses were accurately and legibly recorded;
- Complete your enumeration area (EA) assignment and submit accomplished questionnaires within the specified period up to October 10 in order not to affect the time table;
- 6. Consult your Team Supervisor (TS) on any problems that you may encounter in your work; and
- 7. Keep all information collected strictly confidential as indicated in RA 10625 Sec. 26.

Interviewers should ensure that their dress code is acceptable within the community they are working. Act as though you expect to receive friendly cooperation from the public and behave as though you deserve it. Before you start, introduce yourself to the barangay officials of your EA. "Good Morning", I am Alden Richard" from the PSA. Start interviewing only when you have identified yourself and exchanged greetings, explained the purpose of the survey, and what it is about, and you have answered all the questions about the survey that people may ask.

During interviews, let people take their time. Do not suggest answers for them. Work steadily and make sure that answers are clear to you before you

record them. Do not accept at once any statement you believe to be mistaken but tactfully ask further questions (probe) to obtain the correct answers.

Someone may refuse to be interviewed because of a misunderstanding. Remain courteous and stress the importance of the survey and that the data collected is purely for statistical purposes only and it has nothing to do with taxation or any similar government activity. Further, point out that the information will be kept confidential and that the survey results will be published as numerical tables in such a way that it will be impossible to identify characteristics of individual persons and households.

You should be able to clear any misunderstandings, but if you cannot persuade a person to respond, or if his/her refusal is deliberate, tell the person that you will report the matter to your supervisor and do so at the earliest opportunity.

#### Friendly Reminder:

Any interviewer who is not performing at the level necessary to produce the high quality data required making the 2015 EDGE a success will be dismissed from service.

# Chapter 4 Conducting an Interview

Successful interviewing is an art and should not be treated as a mechanical process. Each interview is a new source of information, so make it interesting and pleasant. The art of interviewing develops with practice but there are certain basic principles that are followed by every successful interviewer. In this section you will find a number of general guidelines on how to build rapport with a respondent and conduct a successful interview.

#### 4.1 IDENTIFYING ELIGIBLE RESPONDENTS

Specific households will be selected to be interviewed, and you should not have any trouble in locating the households assigned to you if you use the listing sheet provided to you. The supervisor will assign an interviewer to make the first contact with each of the selected households selected for interview.

All respondents selected for interview should be 18 years of age or older, unless otherwise noted below.

#### 4.1.1 Household Questionnaire

The Household Questionnaire needs to be administered to only one respondent per household. The respondent is ideally the primary respondent for the household, i.e. person who has the most information related to assets owned by household members. If the primary respondent is not available the next person in line is his/her spouse if applicable. If this person is also not available then another adult (18 years old and over) member of the household should be chosen. Every effort MUST be made to get the primary respondent to serve as the respondent for the household questionnaire. The primary respondent (or any other respondent providing information) may consult other members of the household for specific information such as educational attainment, primary employment, etc that he/she might not be aware of.

The information collected in the Household Questionnaire <u>MUST</u> be recorded directly on the questionnaire by ALL interviewers who are assigned to the household for administering the Individual Questionnaire. The team leader will determine whether these data will be entered at the same time as the interview for the Household Questionnaire is taking place or prior to the start of the Individual Questionnaire depending on the workload of the enumerators of the [team].

After the information from the Household Questionnaire has been recorded for all other interviews in the household, the interviews for the Individual Questionnaire will begin at separate locations. These interviews must take place alone with the respondents.

#### 4.1.2 Individual Questionnaire

Household members 18 years old and over are to be interviewed using EDGE Form 3. In case of more than three ERs, we will identify the third ER randomly by the following rules:

 <u>Birthday method (only the date, without the month</u> – whichever date of of birth is nearest to the survey date counting forward.

For example, suppose the date of conducting the survey is 15 September and the principal couple is already chosen. In the roster, there are 3 adult members other than the principal couple. Their birthdays are 31 September, 18 July, and 22 Ferbruary. The third ER should be the one whose birthday is 18 July instead of the one whose birthday is 31 September since counting is forward. To illustrate further, below are some examples:

#### survey date (MM/DD/YYYY) - 9/15/2015

ER1 -12/12/1986

ER2 - 6/9/1960

ER3 - 7/6/1996 → third person to be interviewed in the household

#### survey date (MM/DD/YYYY) - 10/05/2015

ER1 -09/20/1995 → third person to be interviewed in the household

ER2 - 09/29/1997 ER3- 09/30/1987

#### survey date (MM/DD/YYYY) - 10/05/2015

ER1 - 08/19/1970

ER2 - 12/08/1985

ER3- 06/19/1990 → third person to be interviewed in the household

In the third example, the nearest date is 19<sup>th</sup> but there are two adult household members with the same date of birth of 19<sup>th</sup>. In this case, use nearest birth month to the month of interview counting forward. The third respondent will then be ER3.

The households in each sample EA will be grouped into to either Second Stage Stratum 1 (SSS-1) or Second Stage Stratum 2 (SSS-2). Number of individual interviews in a household will depend on whether it belongs to SSS-1 or SSS-2 as explained below.

Adhere to the following protocols for identifying respondents eligible for the Individual Questionnaire:

#### **Second Stage Stratum 1**

Second Stage Stratum 1-(SSS-1) comprises households with **three or more adult members**. A maximum of **three adult members** per household should be interviewed using the Individual Questionnaire. Adhere to the following protocols for identifying respondents eligible for the individual interviews in stratum 1:

- 1. In households with exactly three adult members, interview each of the three adult members separately.
- 2. In households where there are more than three adult members, this will require following protocol for selection of three adult members.
  - (i) In households with a principal couple, i.e. the household member (aged 18 or above) most knowledgeable about household assets and his/her spouse or partner (aged 18 or above) both members of the principal couple should be interviewed, as well as a third adult member of the household who is randomly selected for interview from the household roster. These interviews should be conducted **separately** and to the extent possible, **simultaneously**. If either member of the principal couple and/or the third adult member randomly selected are not available for interview at first try, assess whether they will return during the enumerator's time in the EA.
    - If they will return during the enumerator's time in the EA and all three
      members of the household will be available for interviews at the same
      time, schedule a callback/follow-up time to administer the Individual
      Questionnaire to all three members separately and
      simultaneously/sequentially.
    - If either member of the principal couple or the third adult member randomly selected will return during the enumerator's time in the EA but all three members will not be available for interview at the same time, interview the member(s) available at first try and schedule a callback/follow-up time to interview the additional member(s).
    - If either member of the principal couple or the third adult member randomly selected will not return during the enumerator's time in the EA, interview the available member(s) and randomly select an additional adult member from the household roster to interview. Randomly select as many adult members as needed to complete three individual questionnaires per household. If there are no additional adult household members, explain in the household questionnaire, under the "Remarks" portion at the end of the household questionnaire.

- (ii) In households with no principal couple If the selected household does not have a principal couple, interview the primary respondent, i.e. the household member who is most informed about household assets and randomly select two additional adult members from the household roster to interview.
  - If the primary respondent will not be available during the enumerator's time in the EA, randomly select three adult respondents from the household roster to interview.
  - Note that there may be cases in which a married/cohabitating couple are members of a household, but if neither member of the couple is the most informed about the household's assets, they should not be considered a principal couple.
- 3. If only one or two adult household members are available for interview during the enumerator's time in the EA despite best efforts to complete all the three interviews, interview the one or two available household adult members.

#### **Second Stage Stratum 2**

Second Stage Stratum 2 (SSS-2) comprises remaining households i.e. households with one or two adult members or no adult members (less than three adult members). These one or two adults should be administered the Individual Questionnaire.

- 2. In households with two adult members, if both members are available at first try, interview them **separately** and to the extent possible, **simultaneously**.
- 3. If one member is not available for interview at first try, assess whether he/she will return during the enumerator's time in the EA.
  - a. If he/she will return during the enumerator's time in the EA and both members of the household will be available for interviews at the same time, schedule a callback/follow-up time to administer the Individual Questionnaire to both members separately and to the extent possible simultaneously.
  - b. If he/she will return during the enumerator's time in the EA but both members will not be available for interview at the same time, interview the member available at first try and schedule a callback/follow-up time to interview the other member.
  - c. If one member will **not return** during the enumerator's time in the EA, interview the available member.
- 4. If there is only one adult member in the selected household, he/she will be interviewed in any case.
- In exceptional circumstances, there can be a household with no adult member. Such households may also be found in the sampling of households in SSS-2. This is an exceptional case. If such a household gets selected, a

primary respondent should be identified and individual questionnaire should be filled in. Further, if the primary respondent happens to be married/cohabiting, then both the primary respondent and the spouse (irrespective of their age) will be interviewed (See below). Suitable remarks may be noted in the Remarks column in the questionnaire.

#### For both Stratum

- In exceptional situation where one or both members of the principal couple are below the age of 18, interview them anyway.
- If the principal male in the selected household has two or more wives or partners who live in the household, the enumerator should ascertain which of the spouses/partners has been married to, or lived longer with, the principal male. This woman should be considered the principal spouse and interviewed. However, if she will not be available during your time in the EA, and the second spouse is available, the second spouse can be selected as the respondent for interview. This same protocol applies to households in which polyandry is practiced.
- If the respondent decides to terminate the interview after completing the household questionnaire, but before completing the Individual Questionnaire, ask the respondent if you can schedule a follow up interview to complete the individual questionnaire.
- If the selected household has a principal couple, but the primary respondent does not agree for his wife to be interviewed separately and alone, explain the importance of interviewing the couple separately and alone. Discuss with your team leader the possibility of a female enumerator to interview the wife if needed. If the primary respondent still refuses, enter the appropriate codes and responses and explain the reason in the questionnaire under "remarks."

**NOTE:** Each household in the selected sample, as mentioned above, will be assigned to **SSS-1** if it has three or more adult members and the remaining households will be assigned to **SSS-2**. If at the time of filling the household questionnaire, it is discovered that a household was wrongly classified in a stratum (i.e. a household that should have been assigned to SSS-1 is assigned wrongly to SSS-2) continue with filling the household questionnaire followed by the individual questionnaires and give remarks.

There will be NO replacement of households for refusals so you must do your best to communicate to the respondent the importance of this exercise so we can minimize the number of refusals.

#### Randomized selection of household members - Procedure

Randomized selection of household members will be needed <u>only for the households in SSS-1 that have more than 3 adult members</u>. For adult members that have to be selected randomly the following randomized selection procedure must be used.

### <u>CASE 1: Where the primary respondent has a spouse or a partner and both are above 18 years of age (a household with principal couple)</u>

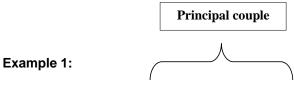
**Step 1:** Identify the line number of those adult household members that are 18 years and above.

**Step 2:** The first individual selected for the individual questionnaire will be the **primary respondent**, i.e. the member of the household that is most knowledgeable about assets. The second individual selected for the individual questionnaire will be the spouse/partner of the primary respondent, hereby forming the principal couple.

**Step 3:** Eliminate the line number of the primary respondent and his/her spouse or partner. You will be left with the remaining line number which is a list of adult individuals out of which a third respondent needs to be selected.

**Step 4:** Use the birthday method to select the third respondent discussed previously on page 20 of this manual.

#### **ILLUSTRATION 1 = 6 household members**



- ➤ LNs 01 (spouse of primary respondent), 02 (primary respondent), 03, 04 all 18 years and over
- **LNs** 05, 06 below 18 years

Let us assume a household has 6 members, line numbers 01, 02, 03, 04, 05, and 06. Individuals 05 and 06 are below 18 years of age while the remainder individuals are 18 years and above. Line number 02 has been identified as the primary respondent, while line number 01 is the spouse of the primary respondent. The two together form the principal couple. These two individuals are automatically chosen for the individual questionnaire. We are now left with

Individuals 03 and 04 out of which a third respondent needs to be selected. In this case, use the nearest birthday method described on page 20.

### CASE 2: Where the primary respondent has a spouse or a partner, the primary respondent is 18 years old and over but the spouse/partner is below 18 years of age

The procedure is similar to Case 1. You interview both the primary respondent and the spouse/partner, even though the spouse/partner is below 18 years of age. You select the third respondent who is 18 years and above using the same procedure outlined in Case 1.

#### ILLUSTRATION 1 = 4 household members

### Principal couple

#### Example 2:

- ➤ LNs 01 (spouse of primary), 02 (primary respondent), primary is 18 y.o. and over while spouse is below 18 = Interview both with one EDGE Form 3 each
- ➤ **LNs** 03 and 04 = 18 y.o. and over

Again, use the nearest birthday method in selecting the third ER.

### <u>CASE 3: Where the primary respondent is 18 years and above but does not have a spouse or a partner (A household without a principal couple)</u>

**Step 1**: Identify the Line number codes of those adult household members that are 18 years and above.

- **Step 2**: The first individual selected for the individual questionnaire will be the primary respondent, i.e. the member of the household that is most knowledgeable about assets. In this case, we do not have a spouse for the primary respondent, which means that we now need to select **two** individuals for individual selection.
- **Step 3**: Eliminate the Line number code of the primary respondent. You will be left with the remaining Line number codes which is a list of adult individuals out of which a second and third respondent needs to be selected.
- **Step 4**: Use the nearest birthday method in selecting the second and third respondents.

**Example 2:** Let us assume a household has 10 members, Line numbers 01, 02, 03, 04, 05, 06, 07, 08, 09, and 10. Individuals 03, 07 and 08 and 10 are below 18

years of age while the remainder individuals are 18 years and above (Line numbers 01, 02, 04, 05, 06, 09). Line number 04 has been identified as the primary respondent, but this individual is not married or does not have a partner. So the first respondent to the individual questionnaire is the primary respondent, line number 04. Hence the second and third individual respondents need to be randomly selected from the remainder list of individuals that are 18 years or above (line numbers 01, 02, 05, 06, 09) using the nearest birthday method. We continue with the same list that was being used in the previous example.

### CASE 4: Where the primary respondent has a spouse, but both are below 18 years of age

In the event that the primary respondent identified is below 18 years of age, do not refute the respondent and proceed with the interview of both the primary respondent and that of the spouse. Ensure that the primary respondent identified is the most knowledgeable about the assets of the household. Having selected the principal couple, select the third member (who will be an adult) using the procedure described in Examples 1 and 2.

#### 4.2 BUILDING RAPPORT WITH THE RESPONDENT

As an interviewer, your first responsibility is to establish a good rapport with a respondent. At the beginning of an interview, you and the respondent are strangers to each other. The respondent's first impression of you will influence their willingness to cooperate with the survey. Be sure that your manner is friendly as you introduce yourself. Before you start to work in an area, your supervisor will have to inform the local leaders, about the survey who will in turn inform selected households in the area that you will be coming to interview them. You will also be given a letter and an identification card that indicates that you are working with the PSA.

#### 4.2.1. Make a good first impression

When you arrive at the household, do your best to make the respondent feel at ease. With a few well-chosen words, you can put the respondent in the right frame of mind for the interview. Open the interview with a smile and greeting such as "good afternoon" and then proceed with your introduction.

#### 4.2.2 Always have a positive approach

Never adopt an apologetic manner, and does not use word such as "Are you too busy?" Such questions invite refusal before you start. Rather, tell the respondent, "I would like to ask you a few questions" or "I would like to talk with you for a few moments."

#### 4.2.3 Confidentiality of responses when necessary

If the respondent is hesitant about responding to the interview or asks what the data will be used for, explain that the information you collect will remain

confidential, no individual names will be used for any purpose, and all information will be grouped together to write a report. Also, you should never mention other interviews or show completed questionnaires to the supervisor or field editor in front of a respondent or any other person.

#### 4.2.4 Answer any questions from the respondent frankly

Before agreeing to be interviewed, the respondent may ask you some questions about the survey or how he/she was selected to be interviewed. Be direct and pleasant when you answer.

The respondent may also be concerned about the length of the interview. If he/she asks, tell him/her that the interview usually takes about 30 to 40 minutes. Indicate your willingness to return at another time if it is inconvenient for the respondent to answer questions then.

The respondent may ask questions or want to talk further about the topics you bring up during the interview. It is important not to interrupt the flow of the interview so tell him/her that you will be happy to answer his/her questions or to talk further after the interview.

#### 4.3 TIPS FOR CONDUCTING THE INTERVIEW

#### 4.3.1 Understand the difference between probing and prompting

It is very important to understand the difference between probing and prompting. Probing refers to asking questions like "Is that all?", "Anything else?" in trying to help the respondent to remember all the relevant information. If the respondent gives an ambiguous answer, try to probe in a neutral way, asking questions such as the following:

- "Can you explain a little more?"
- "I did not quite hear you; could you please tell me again?"
- "There is no hurry. Take a moment to think about it."

Prompting is mentioning the possible answers to the respondent. The questionnaire will often indicate whether or not you have to prompt or probe. Follow these instructions carefully.

#### 4.3.2 Be neutral throughout the interview.

Most people are polite and will tend to give answers that they think you want to hear. It is therefore very important that you remain absolutely neutral as you ask the questions. Never, either by the expression on your face or by the tone of your voice, allow the respondent to think that he/she has given the "right" or "wrong" answer to the question. Never appear to approve or disapprove of any of the respondent's replies.

The questions are all carefully worded to be neutral. They do not suggest that one answer is more likely or preferable to another answer. If you fail to read the

complete question, you may destroy that neutrality. That is why it is important to read the whole question as it is written.

#### 4.3.3 Never suggest answers to the respondent.

If a respondent's answer is not relevant to a question, do not prompt her/him by saying something like "I suppose you mean that... Is that right?" In many cases, she/he will agree with your interpretation of her/his answer, even when that is not what she/he meant. Rather, you should probe in such a manner that the respondent herself/himself comes up with the relevant answer.

#### 4.3.4 Do not change the wording or sequence of questions.

The wording of the questions and their sequence in the questionnaire must be maintained. If the respondent has not understood the question, you should repeat the question slowly and clearly. If there is still a problem, you may rephrase the question, being careful not to alter the meaning of the original question. Provide only the minimum information required to get an appropriate response.

#### 4.3.5 Handle hesitant respondents tactfully.

There will be situations where the respondents simply say, "I don't know," give an irrelevant answer, act very bored or detached, or contradict something they have already said. In these cases, you must try to re-interest them in the conversation. For example, if you sense that they are shy or afraid, try to remove their shyness or fear before asking the next question. Spend a few moments talking about things unrelated to the interview (for example, their town or village, the weather, their daily activities, etc.).

If the respondent is giving irrelevant or elaborate answers, do not stop them abruptly or rudely, but listen to what they have to say. Then try to steer them gently back to the original question. A good atmosphere must be maintained throughout the interview. The best atmosphere for an interview is one in which the respondent sees the interviewer as a friendly, sympathetic, and responsive person who does not intimidate them and to whom they can say anything without feeling shy or embarrassed.

If the respondent is reluctant or unwilling to answer a question, explain once again that the same question is being asked all over the country and that the answers will all be merged together. If the respondent is still reluctant, simply note in your remarks the modules or questions that the respondent was hesitant to answer. Some of the more sensitive questions relating to valuing different items have "refusal" codes, as well. Remember, the respondent cannot be forced to give an answer.

#### 4.3.6 Do not form expectations.

You must not form expectations of the ability and knowledge of the respondent. For example, do not assume female respondents from rural areas or those who are less educated or illiterate do not know about the value of assets.

#### 4.3.7 Do not hurry the interview.

Ask the questions slowly to ensure the respondent understands what is being asked. After you have asked a question, pause and give the respondent time to think. If the respondent feels hurried or is not allowed to formulate their own opinion, they may respond with "I don't know" or give an inaccurate answer. If you feel the respondent is answering without thinking just to speed up the interview, say to the respondent, "There is no hurry. Your opinion is very important, so consider your answers carefully."

#### 4.4 CORRECT FIELD PROCEDURES TO FOLLOW

The fieldwork will proceed according to timetable, and the survey will be successful <u>only</u> if each member of the interviewing team understands and follows correct field procedures. This chapter discusses also the procedures and describe the proper procedures for keeping records of selected households. Here are the following:

#### 4.4.1 Making callbacks

Because each household has been carefully selected, you <u>must</u> make every effort to conduct interviews with the selected respondents in that household. Sometimes, the selected respondent will not be available at the time of your first visit. You need to make at least 3 visits at three separate times of the day or days when trying to obtain the selected respondent to maximize the possibility of successfully completing the interview. The interviewer <u>must never</u> substitute the selected respondent with another household member.If no appointments were made, make your call-backs to a respondent at a different time of the day than the earlier visits; for example, if the initial visits were made in the early afternoon, you should try to arrange your schedule so you make a call-back in the morning or late afternoon. Scheduling call-backs at different times is important in reducing the rate of non-response (i.e., the number of cases in which you fail to contact a household).

#### 4.4.2 Keeping information confidential

You are responsible for ensuring that the information is kept confidential. Do not share the information with other interviewers. You should not attempt to see the completed questionnaires for a household nor discuss the interview results with your colleagues.

#### 4.4.3 Supplies and documents needed for fieldwork

Before starting fieldwork each morning, ensure that you have everything you need for the day's work. Some necessary supplies include:

- Interview questionnaires (EDGE Forms 2 and 3)
- Interviewer's manual
- Your personnel identification (ID)
- Clip board
- Pencil and erasers

#### · A bag to carry materials

As interviewers, you must understand how to ask each question, what information the question is attempting to collect, and how to handle problems that might arise during the interview. You must also know how to correctly record the answers the respondent gives and how to follow special instructions in the questionnaire.

#### 4.5 ASKING QUESTIONS

- It is very important that you ask each question exactly as it is written in the questionnaire. When you are asking a question, speak slowly and clearly so that the respondent will have no difficulty hearing or understanding the question. At times you may need to repeat the question to be sure the respondent understands it. In those cases, do not change the wording of the question but repeat it exactly as it is written.
- If, after you have repeated a question, the respondent still does not understand it, you may have to restate the question. Be very careful when you change the wording, however, that you do not alter the meaning of the original question.
- In some cases, you may have to ask additional questions to obtain a complete
  answer from a respondent (we call this 'probing'). If you do this, you must be
  careful that your probes are "neutral" and that they do not suggest an answer
  to the respondent. Probing requires both tact and skill, and it will be one of the
  most challenging aspects of your work as an interviewer.

#### **4.6 RECORDING RESPONSES**

Most of the questions in the EDGE Household and Individual Questionnaires have pre-coded responses.

#### 4.6.1Questions with pre-coded responses

- Be informed that questions requiring more than one entries are letter coded and must follow the order of the alphabet in answering or filling up the questionnaire
- For numeric code, only one answer is required
  For such questions, we can predict the types of answers a respondent will
  give. The responses to these questions are listed in the questionnaire. To
  record a respondent's answer, you merely enter the number (code) that
  corresponds to the reply.

**Example**: Q. 416 – Is there an ownership document for this [PARCEL]?

**01** – A title deed **Answer:** | **9** | **6** 

02 – A certificate of customary ownership

Philippine Statistics Authority

```
03 – A certificate of occupancy
04 – A will
05 – A sales agreement
06 – NO → skip to Q.418
96 – Other, specify Tax declaration
98 – Don't know → skip to Q.418
```

If an ownership document for this [PARCEL] is a title deed, record 01 in the box provided. If an ownership document for this [PARCEL] is a certificate of customary ownership, record 02, etc.

The "Other (specify)" code should be entered when the respondent's answer is different from any of the pre-coded responses listed for the question. Before using the "Other (specify)" code, <u>you should make sure the answer does not fit in any of the specified categories</u>. When you enter the code "Other" for a particular question you must always write the respondent's answer in the space provided legibly.

#### 4.7 FOLLOWING INSTRUCTIONS

Throughout the questionnaire, instructions for the interviewer are printed in CAPITAL LETTERS or in <u>bold</u>, whereas questions to be asked of the respondent are printed in small letters. You should pay particular attention to the skip and filter instructions that appear throughout the questionnaire.

It is very important not to ask a respondent any questions that are not relevant to his/her situation. In cases where a particular response makes subsequent questions irrelevant, an instruction is written in the questionnaire directing you to skip to the next appropriate question. It is important that you carefully follow skip instructions.

#### Example: Q.416 - Is there an ownership document for this [PARCEL]?

01 - A title deed
Answer:

0 6 go to Q.418
02 - A certificate of customary ownership
03 - A certificate of occupancy
04 - A will
05 - A sales agreement
06 - NONE

→ skip to Q.418
96 - Other, specify

98 - Don't know

→ skip to Q.418

In Module 4, take note of the skipping pattern if the answer is code "6" or "98" for Q.416, you should no longer ask the next question but skip to Q.418. Leave Q.417 blank or do not write anything in the boxes.

#### **4.8 CHECKING COMPLETED QUESTIONNAIRE**

It is the responsibility of the interviewer to review each questionnaire when the interview is finished. This review should be done before you leave the household so that you can be sure every appropriate question was asked, thereby, reducing call backs.

#### 4.9 SUBMISSION OF COMPLETED QUESTIONNAIRES TO SUPERVISOR

After reviewing the questionnaires for obvious errors, you will handover the completed questionnaires to your field supervisor for an initial round of review. The field supervisor will review the questionnaires for completeness, consistency, and accuracy, and highlight mistakes and inconsistencies to be corrected by the interviewer. The field supervisor will then return the questionnaires with errors to the enumerators for correction.

#### **4.10 DATA QUALITY**

It is the responsibility of the Supervisors to review the questionnaires from a sample EA while the interviewing team is still in the EA. It is especially important for the supervisor to conduct thorough edits of questionnaires at the initial stages of fieldwork. The supervisor should also discuss with each interviewer the errors found in the collection of data. It may sometimes be necessary to send an interviewer back to a respondent in order to correct some errors.

## Chapter 5 How to Accomplish EDGE Form2

The purpose of this section is to:(i) Obtain a complete listing of all members of the household; (ii) Provide basic demographic information, such as age, sex and marital status of each household member; (iii) Provide basic economic information about each household member.

This chapter discusses the correct procedure in accomplishing the 2015 EDGE Form 2 - (Household Questionnaire). This generally gathers information about the socio-economic and demographic characteristics of the household. From this form, eligible respondent will be identified and will be the respondent to the EDGE Form 3 – (Individual Questionnaire).

Copy from the given List of Samples the codes for the region, province, municipality, barangay, sample household serial number (SHSN), household control number (HCN), replicate, and stratum. The Booklet \_\_ of \_\_ Booklets in the upper right hand corner of the questionnaire will be filled up after completing the interview. You will use **two booklets** if the household exceeds ten (10) members and renumber the second booklet accordingly to 11, 12, 13, and so on. The different sections of this questionnaire are described below:

#### **SECTION A - GEOGRAPHIC IDENTIFICATION AND OTHER INFORMATION**

The geographic identification portion consists of panels I to VI as follows:

I Geographic Identification Codes

01	Region	<b></b>	two digits
02	Province	<b></b>	two digits
03	Municipality	<b></b>	two digits
04	Barangay	<b></b>	three digits
05	Enumeration	Area →	six digits
06	SHSN	<b></b>	three digits
07	HCN	<b></b>	four digits

#### II Design Codes

- 9 Stratum → one digit (SSS 1 or SSS- 2)
- **10** Number of Households in the housing unit
- 11 Name of Primary Respondent
- 12 Name of Primary Respondent's Spouse/Partner
- 13 Name of Household Head

- 14 Name of Household Questionnaire Respondent
- 15 Address of household
- 16 Total number of individual questionnaires attached
- III Record of Respondents to the Individual Questionnaire
  - 17 Line No. of adult member selected for interview
  - 18 Result Code
- IV Record of Individual Visit

1	2	3

Visit:

Time: (use the 24 hour format), HH:MM

- 19 Began:
- 20 Ended:
- 21 Date of Interview: format is: (DD/MM/YYYY)
- 22 Result Codes (valid codes 01 -12)
- **01-** Completed Interview, (when all information were given by the respondent)
- 02- Refusal do not want to spend time/busy
- 03- Refusal invasion of privacy
- **04-** Refusal Other, specify \_\_\_\_\_ Example: sick or disabled
- **05-** No Household Member at Home or No Competent Respondent at Home at the Time of Visit Respondent at Home at the Time of Visit, (when the housing unit is occupied but no one is at home or no competent respondent is at home at the time of visit). This is not accepted as result of final visit.
- **06-** Entire Household Absent for Extended Period of Time (no one is at home and neighbor say the household will return after several days or weeks)
- **07-** Vacant Housing Unit (if vacant in the list of sample and still vacant at the time of visit)
- **08-** Housing Unit Demolished, Destroyed by Fire, Typhoon, etc., (no more existing housing unit at the time of visit)
- 09- Address Not a Housing Unit (when the housing unit is already

- converted into commercial or establishment or no longer a residential housing unit)
- **10-** Housing Unit Not Found (if after exerting effort and thorough search for the household head in the given address and still cannot be located or not found)
- **11-** Critical or Flooded Area (if affected by calamities or natural disaster or flooded or affected by insurgencies at the time of visit)
- **12-** Other, specify **Example:** <u>permanently moved out (PMO), or moved out (MO)</u>
- V Household Size and Number of Adult Members Interviewed or Partially Interviewed

Household Size	Listing Enumeration			
No. of Adults (18 or over) in the household	Listing	Enumeration		

Record the household size from the listing operation and during the enumeration.

Similarly, number of adults 18 years old and over from the listing operation and during the enumeration will be filled up.

#### VI Manner in which the individuals conducted

- **01** Simultaneously if the eligible household members were separately interviewed at the same time
- **02** Sequentially if the eligible household members were interviewed sequentially one after the other
- 03 Simultaneously and sequentially if two members were interviewed simultaneously by two enumerators followed by the third member being interviewed thereafter immediately or similar such combination
- **04** Other those not under the codes 01 to 03 like one man household

The certification portion will be signed by you and your supervisor after thoroughly reviewing the accomplished questionnaire and the date signed.

If there are OFWs/OCWs in the household, write the name(s) and line number(s) in the space provided.

#### **SECTION B - DEMOGRAPHIC CHARACTERISTICS**

#### Column 1- Line Number

Encircle the two digit line number **(LN)** or sometimes referred to as **ID** of the respondent who provided most of the information needed. In case respondent was not interviewed alone, but instead with the presence of husband, etc. interviewer should determine who provided most of the answers.

#### Q.201, Column 2 - Name of household member/s

You will record the names of all the household members as given by the respondent, starting with the surname followed by a comma, then the first or given name. If the surname of a member is the same as the one immediately preceding him/her, simply draw a horizontal line (\_\_\_\_\_\_) to indicate the same surname, and then write the first name of the person. In case of long names, you will record the surname and an initial for the other name. Newly born babies without names may be recorded as 'Baby Boy' or 'Baby Girl'. For a member of a tribe that does not carry surnames, write the name as given and place a remark that there is no surname.

Be guided by this additional member depending on the situation:

- i) Adopted and illegitimate children who are living in the same housing unit are included as household members;
- **ii)** If you encounter a stay-in domestic helper, then add him/her as household member;
- iii) Persons who are present at the time of visit whose usual place of residence is the sample household regardless of their length of stay in the household;
- **iv)** Persons who are present at the time of visit whose usual place of residence is outside the sample household but have stayed temporarily with the sample household for at least 30 days;
- v) Persons who are present at the time of visit whose usual place of residence is outside the sample household but have stayed with the sample household even for less than 30:
- vi) If a household member has a spouse (or other relative) who has not lived in the household for more than 6 months and this spouse <u>DOES NOT</u> maintain a household elsewhere, he or she is considered to be a Regular Member of the household; and
- vii) If a household member has a spouse (or other relative) who has not lived in the household for more than 6 months and this spouse <u>DOES</u> maintain a household elsewhere, he or she is NOT considered to be a Regular Member of the household and will be omitted from the interview.

The following steps must be followed in listing the names of the household members:

- Head is usually or regular member of the household even if he/she is not the respondent and even if he/she is absent on the day of the survey or who ever is regarded as such by the respondent;
- Wife/spouse If there is more than one wife, start with the first wife, followed by her children living with the household in order of age, then the second wife and her children in order of age, and so on;
- Unmarried children of present marriage from oldest to youngest, regardless of sex
- Unmarried children of head by previous marriage (if any) from oldest to youngest, regardless of sex
- Ever-married children of head/spouse and their families from oldest to youngest (son-in-law first, followed by daughter-in-law/daughter and grand children)
- Other relatives of head (Parents, grandparents, brothers, sisters, parent in-laws and other relatives of the head or wife/spouse)
- Non-relatives of head
- Border/Guest (including relatives who are mere borders)
- Domestic helpers (DH) including relatives who are employed as DH

If head has more than one spouse living in the same household, list down the name of the **first spouse** and their children, followed by the **second wife** and their children and so on keeping the nuclear family together, if possible.

Ascertain those who have left the household permanently or died in the last 12 months. After entering the names of all the members, ask the three questions at the bottom of the questionnaire and put an **X** mark in the applicable box provided. If all family members had already been listed, add the omitted name in the list if there is one and do not renumber anymore. Note: draw a diagonal line in the row after the last member listed and do not forget to answer the question at the bottom of the questionnaire after listing all the household members.

#### Q.202, Column 3 - What is \_\_\_\_'s relationship to household head?

<u>This question is to be asked to all household members</u>. Remember that the person whose name appears in the first line of column 2 is the head of the household. All other members should be related to him/her in some way. This relationship should be indicated in column 3 through the use of appropriate codes as provided at the bottom of the questionnaire.

#### Q.203, Column 4 - Is \_\_\_ male or female?

This question is to be asked to all household members. Always ask for the sex of the household member before recording it. This is because some names are given to both men and women; assuming a sex of the household member without checking can lead to mistakes. Write 1 for 'male' and 2 for 'female. Do not depend solely on the name of a person to determine for his/her sex. Such names as Loren, Trinidad, Rosario, Chris, etc. are used as names for both males and females.

#### Q.204, Column 5 - In what month and year was \_\_\_\_ born?

<u>This question is to be asked to all household members</u>. Ask for each household member's exact date of birth. This will serve to check the accuracy of ages of household members. Record the person's exact date of birth as follows:

- i). Month is also a 2 digit code ranging from 01 to 12 that is January to December; and record a 2 digit code of <u>98 if month is unknown</u>
- ii). Year is a 4 digit code; and record a 4 digit code of 9998 for unknown

For example, if somebody was born on February 7, 2011, record the Date of Birth as 02/2011.

There should be consistency between the age of an individual and his/her date of birth in column 6. Correction must be made before leaving the sample household.

#### Q.205, Column 6 - What is 's age as of last birthday?

<u>This question is to be asked to all household members</u>. This refers to age at last birthday. <u>Count the completed years only</u>. Be careful to record the correct duration for children aged less than one year.

**For example,** if the person is an infant (age is 3 days or less than 1 year), write '00'; if the person is aged seven years and nine months but not yet eight, write '07'.

Some people may not know their age but may know when they were born.

Ask, "When was this person born?" If the age is not known but the year of birth is given, then you will compute the age of the person. If the person has already had her/his birthday subtract the year of birth from the current year (2015), otherwise subtract the year of birth from last year (2014). If the month of birth is not known but the year of birth is known then you subtract year of birth from current year (2015). If the date of birth is known, calculate the age.

The age of a person should not be left blank. Documents like birth certificates, immunization cards, baptismal certificates, etc. can be used to ascertain age. If the person does not know his/her age, refer to events of national or historical importance to estimate his/her age or age will be indirectly estimated based on another member of the household. A reliable date of birth of one of the household members may help you to work out the ages of other members if it is known whether they are older or younger and by how many years. If all fails, make the best estimate you can, judging by such things as the person's appearance and position in the Household and by using your common sense knowledge, that women do not usually bear children below the age of twelve or over fifty years, that people who were in the same class at school are generally in similar age bracket and so on. There should be consistency between the age of an individual and his/her date of birth.

If age of the household member is 97 years old or over, still record **97.** If column 5 is not consistent with column 6 or vise versa, enumerator should probe which is correct and make the necessary correction before leaving the sample household.

#### Q.206, Column 7 - What is \_\_\_\_'s marital (civil) status?

This question is to be asked to all household members. The present marital status refers to the person's marital status as of the date of the interview. Make sure that only those people who have never been married are classified as code 1 - "single/never married." Individuals who have been married in the past, but are not currently married, should be recorded as code 4- "divorced/separated or annulled". Similarly, those who were married but whose partners have died should be recorded using code – 3 "widowed". For persons below 10 years old, enter code "1" for single in column 7 without asking the question.

If the answer is not code "2", or if not married go to Section D (Ethnicity), Otherwise continue to column 8.

#### **SECTION C- RESIDENCE OF SPOUSE/PARTNER**

Q.207, Column 8 - Is \_\_\_\_\_'s spouse/partner a member of this household?

This question is to be asked only about married or cohabiting household member. Ask whether the household member's spouse/partner lives in the household. This is answerable by code "1" for Yes or code "2" for No. If the answer is code 2, Go to col. 11 and ask Q. 210 (Ethnicity).

**Q.208, Column 9 - Copy the Line Number of the spouse or partner**Copy the two digit line number in the Line Number column.

Q.209, Column 10 - In what year did \_\_\_\_ marry or form a consensual union with \_\_\_\_\_''s spouse or partner?

Enter the year married/consensual union for all wives if residing in the same household. If not known enter **9998** in the box provided.

#### **SECTION D - ETHNICITY**

#### Q.210, Column 11 - What is \_\_\_'s ethnic group/tribe?

This question is to be asked for all household members. Ethnicity refers to a primary sense of belonging to an ethnic group. It is consanguine in nature, meaning, the ties are reckoned by blood and traced through the family tree. Thus, ethnicity refers to the household member's identity, by blood and not by choice nor by adoption/confirmation for any ethnic group, primarily the Indigenous People's (IPs). Indicate the ethnic group/tribe the individual belongs to using the codes below the questionnaire. In case of children with parents having different ethnic affiliation, follow the ethnicity as declared by the respondent. In most cases, it follows the ethnicity of the mother.

Based on the results of the 2010 Census of Population and Housing, the most common ethnicity groups in Cavite with its corresponding codes are the following:

<b>01</b> - Tagalog	<b>06</b> - Hiligaynon
02 - Caviteño	07 - Ilocano

03 - Bisaya/Binisaya04 - Bicol09 - Cebuano

**05** - Waray **96**- Others, specify

#### **SECTION E - RELIGIOUS AFFILIATION**

Religious affiliation refers to a particular system of beliefs, attitudes, emotions, and behaviors constituting man's relationship with the powers and principalities of the universe. Indicate the religious affiliation of the individual member using the codes below the questionnaire.

#### Q.211, Column 12 - What is \_\_\_\_\_'s religious affiliation?

<u>This question is to be asked for all household members.</u> For infants not <u>yet baptized follow the religious affiliation of the mother</u>. Use the following codes below:

<b>00</b> - None	<b>06</b> - Baptist
01 - Roman Catholic (RC)	07 - Methodist
02 - Iglesia Ni Cristo (INC)	08 - Born Again
03 - Aglipay	96 - Others, specify

04 - IslamExample:Jesus Is Lord05 - Evangelist(JIL) or AngDating Daan

212, Column 13 - Indicator for all household members 5 years old and over.

Encircle Line Number of household members 5 years old and over

#### **SECTION F - HIGHEST GRADE COMPLETED**

### Q.213, Column 14 - What is the highest educational attainment completed by ?

This question is to be asked for all household members aged 5 years old and over. Highest educational attainment refers to the highest grade or year completed in school, college or university. The codes for highest educational attainment are found at the bottom of the questionnaire (page 3).

For a college graduate or graduate in master's degree/PhD, write on the space provided the specific course or degree obtained. For example, write "BS Mathematics" (BS Math.), "BS Electrical Engineering" (BS Elec'l Eng'g.), "Master in Applied Statistics" (MS Stats.), among others. These will be coded during manual processing.

Be sure to record the highest educational level a family member has attained and not the level he/she is currently enrolled. For example, a person who is currently in 2nd Year High School should be reported to have finished 1st Year High School, with code 310 in column 11. Completing a level of schooling means having passed the formal examinations at the end of the academic year – the last full grade completed. For instance, for a person who dropped out in 3rd year high school without completing the end of year examinations, then the highest grade completed will be second year high school since he/she did not complete 3rd year high school. Never attended applies to those household members who report never having attended any formal schooling code "000". Similarly for children aged 5 years old not attending school will be coded "000".

The answer "Elementary", "High School" or "College" is insufficient. If the answer given is in terms of the level of the schooling only and not the specific grade or year completed, determine the specific grade or year by asking a follow-up question. It is necessary to know the highest grade or year passed in elementary school, high school or college.

For those who have pursued and completed two or more degrees of the same level and duration, say Nursing and Psychology (both are 4-year courses), report only one degree, the one preferred to be reported by the member himself/herself. If a person is currently in 1st year college or post-secondary, do not assume that he/she is only a high school graduate if he/she is more than 18 years old. Verify if he/she has taken/completed other course (degree or non degree course).

For example, a member of the family finished BS Chemistry but currently 1st Year in Certificate of Agri-Business, the entries in highest Grade Completed or HGC (column 11) is BS Chemistry. If the family member has been accelerated or passed the Accreditation and Equivalency (A & E) Test administered by DepEd; if he/she is qualified as 1st year high school based on the A & E Test, then report his/her highest grade completed as elementary graduate. If a child was accelerated from Grade 3 to Grade 5, report Grade 4 as his/her highest grade completed. For these cases, put remarks to serve as guide during processing.

<u>214, Column 15 - Indicator for all household members 15 years old and over.</u>

<u>Encircle Line Number of household members 15 years old and over. Check in column 6 (Q.205).</u>

#### **SECTION G - STATUS IN EMPLOYMENT**

Q.215 - Q.216, Columns 16 and 17 - In what kind of status in employment did \_\_\_\_ spend most of his/her time in the last 12 months/7 days?

Q215 and Q216 are asked to all household members 15 years old and over. The purpose is to find out about the economic activity (EA) in which the person spent the most time over the course of the past 12 months (7days). Q215 (Q216) asks for the status in employment in which the person spent the most time in an economic activity over the course of the past 12 months for Q215 (past 7 days for Q216). It refers to the status in which the respondent has worked at least one month or 30 days during the past 12 months for Q215 (at least one hour during the past 7 days for Q216). Minimum time spent in economic activity (minimum meaning that the economic activity takes priority over being inactive or unemployed, i.e., if at least one month (one hour) is spent in (all kinds of) economic activities for Q 215 (Q216), one will be considered as employed and will be assigned relevant employment status code 1 to 7 depending on in which employment status maximum time is spent in the reference period of 12 months). Thus in Q215 one will be assigned code 8 (not engaged in economic activity) if he/she was engaged in economic activity for less than one month in the last 12 months and code 9 (not engaged in economic activity) in Q216 if he/she was engaged in economic activity for less than one hour in the past 7 days.

- Note that if the household member has been engaged in two or more different types of economic activity and the usual hours of work are the same in each activity, the main activity is the one that generates the highest income. We are interested in the economic activities that the reference person was engaged in during the last 12 months preceding the date of data collection.
- <u>For example</u>, if the data is collected on September 16, 2015, we would be interested in knowing about the activities carried out among months **September 15, 2014** through **September 15, 2015**.

Work - is any economic activity that a person does for pay, profit or without pay on family farm or enterprise or an activity done by a farm operator or

member of his family on another's farm on "exchange labor" arrangement. In addition, any activity that a person does during the reference period in relation to minor activities such as home gardening, planting crops or fruits, raising hogs or poultry, fishing, etc. for home consumption and manufacturing for home use are also considered as work. Discussed below are the different categories.

- **01** Wage employment/Paid Trainee (not including casual labor)
- **02** Self-employment (employer)
- **03** Self-employment (own account worker)
- **04** Self-employment (Members of producers' cooperative)
- **05** Contributing Family Member (unpaid family worker)
- 06 Casual labor
- 07 Unpaid Trainee
- 08 Not Engaged in Economic Activity (Those who did not worked at all or who have worked for less than one month during the last 12 months) for Q.215
- 09 Not Engaged in Economic Activity (Those who did not worked at all or who have worked for less than one hour during the last 7 days) for Q.216
- 96 Other, specify\_\_\_\_\_

A person engaged in **wage employment** (**code '1')** holds a paid employment job(s) and is typically remunerated by wages and salaries, but may also be paid by commission from sales, or by piece-rates, bonuses or in-kind payments, such as food, housing or training.

A person is considered self employed if he/she is engaged in all kinds of employment **(employer)** (**code '2'**) holds a self-employment job and has engaged on a continuous basis one or more persons to work for him/her in his/her enterprises as employees.

A person is considered self employed if he/she is engaged in all kinds of employment (own-account worker) (code '3') holds a self-employment job but has not engaged the services of any employees services on a continuous basis.

A person is considered self employed if he/she is engaged in all kinds of employment (members of producers' cooperative) (code '4') holds a self-employment job in a cooperative producing goods and services, in which each member takes part on an equal footing with other members in determining the organization of production, sales and/or other work of the establishment, the investments and the distribution of the proceeds of the establishment amongst their members. (It should be noted that "employees" of producers' cooperatives are not to be classified to this group.)

A contributing family worker (code '5') holds a self-employment job in a market-oriented establishment (i.e., enterprise or farm) operated by a relative living in the same household, who cannot be regarded as a partner because

his/her degree of commitment to the operation of the establishment is not at a level comparable to that of the head of the establishment.

A **casual laborer** (**code** '6') refers to a person whose normal employment consists of a series of short-term jobs. Casual labour is usually hired by the hour or day or for the performance of specific tasks. A typical casual labourer of the late 19<sup>th</sup> and early 20<sup>th</sup> centuries was the dock worker. Other major industries that have relied heavily on casual labour are construction, logging, sawmilling, agriculture, and the service trades.

An **unpaid trainee** (code '7') refers to a person of working age who performs any unpaid activity to produce goods or provide services for others, in order to acquire workplace experience or skills in a trade or profession. Note that "unpaid" is interpreted as the absence of remuneration in cash or in kind for work done or hours worked; nevertheless, these workers may receive some form of support, such as transfers of education stipends or grants, or occasional in cash or in kind support (e.g. a meal or drinks).

Not Engaged in Economic activity (code '8' or code '9') will be used if the minimum time spent <u>in economic activity</u> (minimum meaning that the economic activity takes priority over being inactive or unemployed - i.e. if <u>worked for less than one month during the last 12 months</u> is spent in (all kinds of) economic activities for Q.215 - one will be considered as employed and will be assigned relevant employment status code "08"). Thus in Q.216 one will be assigned code "09", if he/she was engaged in economic activity for less than one hour during the last 7days.

For the purpose of this survey, the following activities are non-economic or non-gainful activity or did not work at all still to be considered in Q215 - code "08" and code "09" in Q216.

Preparing and serving meals

- Housekeeping in own home (mending, washing and ironing clothes, shopping)
- Building, repairing household durables or painting own house
- Caring for siblings and sick/disabled household members
- Transporting household members and their goods and the like
- Activities of students in Civic Action (CIVAC)
- Begging or gambling
- Students
- Pensioner

A person has a job/business if he reported to his/her place of work and performed his duties or activities for at least one month during the last 12 months (Question 215 should have an answer of code"08") or had worked for at least one hour during the last 7 days (Question 216 should have an

**answer of code"09").** Also, persons who may not have reported to work but actually have a job which they are temporarily not reporting to, e.g. an employee on maternity leave are considered with a job/business.

**Note:** Agricultural activities **exclude** any subsequent processing of the agricultural products beyond that required to prepare them for the primary markets. **For example,** manufacturing of food products, beverages or tobacco products are NOT considered agricultural activities. **Also excluded** is field construction (e.g. agricultural land terracing, drainage, preparing rice paddies, etc.).

Note: If a household member is (code '01'), (code 02), (code '05'), (code '06'), or (code '07'), skip to Q219 . If (code '09'), skip to Q220 (Section K-Housing Characteristics)

#### SECTION H - SELF-EMPLOYED DURING THE LAST 7 DAYS

Q.217, Column 18 – In what economic activity was \_\_\_\_ engaged as selfemployed during the last 7 days?

This question is asked to all household members 15 years old and over. and should be asked only for household members whose reported status in employment in Q.217 is self-employment (codes 2, 3, or 4). This question asks the household member if he/she was engaged in non-agricultural or agricultural type of self-employment job during the last 7 days. Enter the code whichever is applicable. If the answer is <u>yes</u>, enter code"1" and continue or proceed to ask Q.218 in column 19. Otherwise, **go to Q.219**.

#### **SECTION I – PRIMARY WORK**

A person may have one or more job as his/her sources of income. However, if a household member has two or more economic job, determine as to longer time devoted to work and if with equal period, consider primary job with higher salary or the one preferred by the respondent.

#### Q.218, Column 19 – Is \_\_\_ in his/her primary work?

This question is asked to all household members 15 years old and over who are not engaged in agricultural activity or code '1' in column 18. This question is asked to all household members 15 years old and over who are engaged for at least one hour in the last 7 days, in a non-agricultural activity (code 1 in column 18/Q217) as employers, own account workers or members of producers' cooperative (codes 2, 3 or 4 in column 17/Q216).

A **limited liability company**, or "LLC," is a separate and distinct legal entity. This means that an LLC can obtain a tax identification number, open a bank account and do business, all under its own name. The primary advantage of an LLC is that its owners, known as members, have "limited liability", meaning that, under most circumstances, they are not personally liable for the debts and liabilities of the LLC. **For example**, if an LLC is forced into bankruptcy, then, absent special circumstances, the members will not be required to pay the LLC's debts with their own money. If the assets of the LLC are not enough to cover the debts and liabilities, the creditors generally cannot look to the members, managers or officers for recovery. Among the categories are the following:

- **01** Sole director of [NAME's] own limited-liability enterprise is the one who starts an enterprise on his/her own, has registered the enterprise as a limited liability enterprise and is responsible for taking all decisions relating to the enterprise. The liability of the director/owner is limited if the enterprise runs into trouble.
- **02 A partner/associate in one's own limited-liability enterprise** A partnership is a legal form of **business o**peration between two or more individuals who manage the enterprise and assume responsibility for the partnership's debts. A limited liability partnership is a separate legal entity to its partners, limiting how much partners are liable if the enterprise runs into bankruptcy
- **03 Running alone one's own enterprise-** are those persons who start an enterprise on their own and operate as a sole trader.
- **04 A partner in an enterprise** refers to a partner in an enterprise operation between two or more individuals who share management and profits. Partners are personally liable for the partnership's obligations and debts. Each partner can act on behalf of the partnership, take out loans and make decisions.
- **96 Other activity, specify -** describe the type of organization of the enterprise and activity.

### SECTION J. NON-AGRICULTURAL ENTERPRISE OWNED AND OPERATED IN SUBSIDIARY CAPACITY

Q.219, Column 20 - In addition [to this primary status in employment], is [NAME] owning and operating a non-agricultural enterprise in subsidiary capacity?

This question is asked to all household members 15 years old and over. This question aims to determine if a household member is owning and operating a non-agricultural enterprise in subsidiary capacity (aside from his primary status in employment in Q.216)

#### SECTION K. HOUSING CHARACTERISTICS

For each question under this section, **encircle** the appropriate code that <u>corresponds to the answer and **enter** it in the box provided</u>. These questions are to be answered by the Interviewers, through observation. Even before entering the building, you should already figure out the answers to these questions. However, if in doubt, ask the respondent.

#### Q.220, - TYPE OF CONSTRUCTION MATERIALS OF THE ROOF

You need not ask this anymore if you can classify the construction materials used in the roof by observation. Strong materials include galvanized iron/aluminum, tile, concrete, brick, and stone and the most recent strong material is glass. Cogon, nipa and anahaw are considered as light materials. Examples of salvaged/makeshift materials are scrap GI sheets, used planks of wood or pieces of *lawanit*, dilapidated boxes, tarpaulin, etc. which are usually salvaged from burned or condemned structure. For example, the roof is made of a combination of more than two types of materials stated above, encircle the code for the most predominant material used and enter in the box provided. Code 7 (Not Applicable) should be entered in the box if the dwelling is located under the bridge.

- 1 Strong materials (galvanized iron, aluminum, tile, concrete, brick, stone, glass, etc.)
- 2 Light materials (cogon, nipa, anahaw)
- 3 Salvaged/makeshift materials
- 4 Mixed but predominantly strong materials
- 5 Mixed but predominantly light materials
- 6 Mixed but predominantly salvaged materials
- 7 Not Applicable

#### Q. 221, -TYPE OF CONSTRUCTION MATERIALS OF THE OUTER WALL

Use as a guide the instructions for **K1** above in selecting the type of construction materials of the outer walls. Classify the construction materials used in the outer walls through your observation. <u>Code 7</u> (Not Applicable) should be encircled and entered in the box if the housing unit is constructed in between two concrete building/structures, put remarks.

- **1** Strong materials (galvanized iron, aluminum, tile, concrete, brick, stone, glass, etc.)
- 2 Light materials (cogon, nipa, anahaw)
- 3 Salvaged/makeshift materials
- 4 Mixed but predominantly strong materials
- 5 Mixed but predominantly light materials

- 6 Mixed but predominantly salvaged materials
- 7 Not Applicable

#### Q.222, -TYPE OF MAIN MATERIALS OF THE FLOOR

Enter the code in the box which indicates the type of construction materials for the flooring of the house is made of. You need not ask this anymore if you can classify the construction materials used in the floor by observation.



 01 - Earth/Sand
 06 - Ceramic Tiles

 02 - Wood Planks
 07 - Cement

 03 - Palm/Bamboo
 08 - Marble

**04** - Parquet or Polished Wood **96** - Other, specify\_\_\_\_\_

05 - Vinyl or Asphalt Strips

#### Q.223, - What kind of toilet facility does the household use?

This question is intended to determine the type of toilet the members of the family use and not necessarily what they have in their house because in some cases, these two may be different. There are instances, especially in rural areas, that a separate toilet is constructed in the backyard. If there is more than one toilet facility of the household, record the most sanitary.

01 Flush Toilet (Water-Sealed) - As the name implies, it is the type of toilet where after water is flushed or poured into the bowl, a small amount of water is left in the bowl and seals the bottom of the bowl from the pipe leading to the depository. Examples of this are flush type with septic tank (commonly known as Poso Negro) or pour-flush type connected to a pit.



02 Closed Pit - This is a non-water carriage type of toilet facility where pit is dug to a depth of 4-6 feet, large enough to hold wastes for several years. A floor cover at the top of the pit is provided together with a riser, seat, and self-closing lid, all made as fly-tight as possible. Vents may be provided. Example of this is Antipolo.



**03 Open Pit -**This is a squat-type of toilet over a pit with no provision for fly prevention.

- **04 Drop/Overhang Type -** A drop type of toilet has enclosures or with roofing but no pit is made (pig system). Overhang type is a toilet constructed over a body of water (either sea or river).
- **05 Pail System -** This type of toilet facility will be reported if human waste is accumulated in a pail to be picked up for disposal from time to time.



96 Other, specify\_\_\_\_\_ - This will be reported if a family has no toilet facility and the members resort to defecate along the railway, in river/canal, in the field or behind the bushes, in Jollibee, McDo, or Max, etc.

#### Q.224, - What is your household's main source of electricity?

The family uses electricity, which is either provided by national or community electric companies or cooperatives or generated by the household through the use of a generator or solar panel, etc. For households with more than one source of electricity, choose the one most often/frequently use.

#### Q.225, - What is your household's main source of drinking water?

Usually, residents of the same community share the same source of water supply. If a particular family reports a source, which is different from most of the others that you have interviewed in the same area, try to do some probing to ascertain whether or not the source is really different. For households with more than one source of drinking water choose the one most commonly use by majority of the members. Determine which one of the following is the main source of water supply for the family:



#### **□Community Water System**

The family gets the water supply from:

- □01 a faucet inside the dwelling
- □**02** yard/Plot
- □**03** a public tap

It is either for own use or shared, directly connected to a water pipeline from the community water system such as the Maynilad Water Services Incorporated (MWSI) or the local water network system. Water system with deep well as source should also be reported under this category as long as it subscribes to a community water system, such as those provided by developers to homeowners.

#### **□Point Source**

**04- Protected Well** - Includes tube well or borehole and protected dug well.

- a. **Tubewell or Borehole** refers to water tapped by digging a hole or sinking pipes into the ground and installing water drawing equipment such as pumps.
- b. **Protected dug wells** are excavations that are circular or rectangular in shape, with diameter usually ranging from 1 to 1.5 meters. They are protected if they have a lining made of permanent materials like the masonry or brickworks of reinforced concrete materials which serve as protection against surface or outside contamination. They may further be provided with roofs or removable covers which protect the wells from falling materials.

#### 05- Unprotected (Open Dug Well)

Unprotected (open dug well) is an undeveloped dug well, hence, unprotected from external contamination unlike the ones mentioned above.

#### 06- Developed Spring

Spring water, on the other hand, occurs when water in water-bearing stratum reaches the surface of the ground. Spring can be developed by enlarging the water outlet and constructing an intake structure for water catchment and storage. It is considered as protected if efforts were made to develop or shield it from external contamination such as filters, roof, among others. The source of water coming from a developed spring piped into the dwelling is developed spring. However, if the spring was developed to supply the needs of the community, it is a community water system.

#### 07- Undeveloped Spring

This refers to spring water that is not protected from external contaminations.

#### 08 - River/Stream/Pond/Lake/Dam

These are bodies of water which are mixtures of surface run-off or ground water (surface water).

#### 09- Bottled Water/Refilling Station

The container does not matter as long as it is in bottle or coming from refilling stations whether delivered or by pick-up.

#### 10 - Rainwater

Rainwater is reported if it is used as source of water supply by the household. It may be collected and stored through cisterns, collectors, catchers, reservoirs, tanks or other storage vessels.

#### 11 -Tanker Truck/Peddler/Neighbor

Water comes from moving tanker trucks or ambulant/roving vendors, regardless of where the water originally came from. During emergency situations or in case of extreme water crisis, water from trucks was sometimes distributed for free or for a minimal fee. On the other hand, ambulant vendors usually sell drinking water or are hired to provide water to the household. This category also includes getting water from the neighbor.

#### 96 - Other, specify

**Note**: In case the household is using bottled water for feeding the baby but the rest of the members of the household are drinking from the tap water, the latter will prevail as the primary source of drinking water.

Encircle and enter in the box provided the appropriate code for the reported source of water supply.

**REMARKS:** Something that could help during the editing/processing of the questionnaires.

## Chapter 6 How to Accomplish EDGE Form 3

The same procedure is applied in the filling up of the geographic identification portion of this questionnaire which consists of the <a href="region">region</a>, <a href="province">province</a>, <a href="municipality">municipality</a>, <a href="barangay">barangay</a>, and etc. This portion should be done at home and copy the codes from the given List of Sample while the Booklet \_\_\_\_ of \_\_ Booklets in the upper right hand corner of the questionnaire will be filled up after the interview. The other information will be filled up upon interview with the respondent like the name of the respondent, address, month and year of last birthday, age, sex, religion, ethnicity, employment in status, etc.

Note that, there are some changes in the result code from EDGE Form 2 as shown below:

#### **RESULT CODES**

01	-	Completed Interview
02	-	Not at Home
03	-	Postponed
04	_	Refused- Do not want to spend time/busy
05	_	Refused- Invasion of privacy
06	_	Refused-Other
		(Specify
07	_	Partly completed
80	_	Respondent Incapacitated
09	_	OCW/OFW
40		Othor

Note that codes "02", "03", "07" should not be accepted as a final result code but it can appear only when there are callbacks in the first visit, second visit or third visit. Moreover, EDGE Form 2 for codes "04", "05", "06", "08", "09" and "10" will be replaced from the replacement list or from the listing booklet if the replacement list was already exhausted. However, if an OCW/OFW was completely interviewed, assign code "01".

(Specify)

This questionnaire gives information on ownership of the dwelling unit occupied by the usual members of the household, agricultural land, enterprise and enterprise assets, real estate owned by any of the household members and other possessions like jewelries and bank accounts.

**Comment [C653]:** Does this mean that there will be replacement of household with interview status codes 04, 05, 06,08, 09, & 10?

#### **MODULE 3- DWELLING**

These questions should be asked about the dwelling in which this household lives. It may be a house, apartment, compound, or rooms in a compound. If this household owns more than one dwelling, these questions should be about the one that the respondent lives in. If they own more than one dwelling, list the primary one in which they live in this section and the other(s) in Module 8, i.e. Other Real Estate.

#### Q.301 - What type of dwelling is this?

This question seeks to establish the type of dwelling unit occupied by the household. The response should refer to the characteristics of the **biggest part** of the dwelling unit. Be guided by the following definitions in identifying the type of building occupied by the household:

- one that stands alone without being attached in any way to another building. It includes "up and down", "nipa hut" or "barong-barong".

  NOTE: This includes storied houses structurally built for use by one household
- **O2- Duplex** commonly refers to two or more separate residences, attached side-by-side. This type of dwelling unit can appear as a single house with two different entrances, though some times the houses have a shared entrance but with two separate doors. It is divided vertically or horizontally into two separate housing units
- 03- Apartment/ multi-unit residential buildings with three or more Accesoria/ housing units. Condominium/ **Townhouses** a. Apartment structure usually having several stories with three or more independent entrances from internal halls. b. Accesoria a one or two-floor structure divided into three or more housing units, each housing unit having its own separate entrance from the outside. It is also known as row house. c.Residential a high-rise building where the housing units are owned condominium individually but the land and other areas and facilities are commonly owned

#### 04- Commercial/ Industrial/ Agricultural Bldg. House

(Ex: store, ricemill, barn, etc.)

a. Commercial

a building built for transacting business or for rendering professional services, such as store, office, warehouse, rice mill, etc.

b. Industrial

a building built for processing, assembling, fabricating, finishing and manufacturing or packaging operations, such as factory, plant, etc.

c. Agricultural

any structure built for agricultural purposes such as barn, stable, poultry house, granary, etc

### 05- Servant's quarters

servants' quarters are those parts of a building, traditionally in a private house, which contain the domestic offices and staff accommodation used to form the various stores, kitchens and communal rooms for the workers of the house. Sometimes they are an integral part of a smaller house - in the basements and attics, especially in a town house, while in larger houses they are often a purpose-built adjacent wing or block.

#### 06- Tenement

is a building for human habitation especially one that is rented to tenants. It is a rundown, low rented apartment building where facilities and maintenance barely meet minimum standards, often over crowded especially in a poor section of an urban area. It is also a multi-unit dwelling made up of several apartments.

#### 07- Garage

The household may occupy a unit which is not intended for habitation, e.g. a garage.

### 96- Other, (Specify)

(Ex. container van, tent – collapsible shelter made of fabrics, and trucks)



Single House

**Row Houses** 



Duplex

Tenement



Store converted for habitation



Garage converted for habitation

#### Q.302 - What is the present ownership status of this dwelling?

This is the screening question to determine whether any household member owns the main dwelling of the household. We will be asking additional questions about what it means to own the dwelling, so at this point we want the respondent's sense of whether or not someone in the household is the owner. They may or may not have the title or an ownership deed. It may be necessary to read the responses to the respondent in order for them to understand in the local language what you are asking. Use the following codes to answer the two boxes in the questionnaire.

<ul><li>02- Rente</li><li>03 - Free</li><li>04 - Gove</li><li>05 - Com</li></ul>	Go to Q.316  rnment provided munity provided r specify, rent-free lot without the consent of the owner (informal settlers/squatters) Go to Q.316
<b>30</b> Don't	NIOW
Owned-	the household is the owner and has legal possession of the housing unit and lot or household claims to own. Consider those being amortized or paid on installment basis as owned.
Rented-	the household pays rent either in cash or in- kind
Free-	the household occupies the house and lot with the permission of the owner
Government	the National Housing Authority (NHA) offered a low-cost
provided-	housing to households which later on will be given the title upon completion of payment within the prescribed period of years to pay
Community provided-	household within their community sponsored a housing project in their area free of charge courtesy of an NGO,

Any of the codes above other than codes "01", "04", and "05" will go to  $\underline{\textbf{Q.316}}$ .

#### Q.303 - Which household member/s owns this household dwelling?

politician, etc.

Enter the household Line Number of the person who is the owner of the dwelling. If the dwelling is owned by more than one person in the household, enter the Line Number of all adult owners in the boxes. Add additional boxes if more than 4 adult household members own the dwelling. If the dwelling is owned jointly with someone from outside of the household, enter code "99". We will be

asking additional information about ownership, so the owner in this question is not necessarily the person who is listed on the title as the owner. We want the person or people within the household and outside of the household who claim ownership. Refer the Line Number in EDGE Form 2.

Note: For code "99" succeeding questions (Questions 304-307) will no longer be asked

Answer: Line Number	0	1							$\ $		
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Q.304 - In what year was the dwelling or plot of land on which the household dwelling is located acquired by the owner (s)?

Record the Line Number/s of all adult owners in the household in the box provided as they are listed in EDGE Form 2. Enter the line number/s of each owner and the year the owner acquired it since each owner may have acquired the asset in a different year. Record code '98' if don't know. **Note:** Line Number in **Q. 303** and **Q. 304**should be in the same box order, i.e. whether in the first two boxes, in the next two boxes and so on.

Answer:	Line Number	0 1	
	Year	1980	

THE IDS IN Qs.303 and 304 SHOULD CORRESPOND AND BE WRITTEN IN THE SAME ORDER. THE YEAR CORRESPONDS WITH THE ID THAT IT FOLLOWS

- Q. 303 = Line Number 01, in the 1<sup>st</sup> two boxes;
- Q. 304 = The year of acquisition corresponding to Line Number 01 should also be in the 1<sup>st</sup>box.
- Q.305 How did the owner(s) acquire the dwelling or the plot of land on which the household dwelling is located? Indicate the mode of acquisition (MOA)

This question asks how the adult owner(s) of the dwelling or the plot of land on which the dwelling is located acquired it. If the dwelling/plot of land is jointly owned by two or more people, enter the line of each owner and the code for the mode of acquisition (MOA) since each owner may have acquired the asset in a different way. For example, a husband may have purchased the dwelling (Code 1) and his wife may have become a joint owner through marriage (Code 4). If inherited after the death of a natal family member, code 2. If inherited after the death of a marital family member, code 3. Gifts or allocations from a household member is code 5. Gifts or an allocation from a non-household member is code 6. If acquired through a Government Program, code 7. If

someone deliberately enters property without permission and lives there, or intends to live there it is referred to as encroachment. **Code this option as 8.** If Other, specify, **code 96** and explain the mode of acquisition. If Don't Know, **code 98** 

Enter the Line number of the owner starting from the first two boxes first, then the next boxes and so on. If owner or MOA is 5 or more, add additional boxes.

01 - Purchased		
02 - Inherited afte	r the death of a na	ital family member
03 - Inherited afte	r the death of a ma	arital family member
04 - Acquired due	to marital law/cus	tom
05 - Allocated/gift	from household m	nember
06 - Allocated/gift	from non-househousehousehousehousehousehousehouse	old member
07 - Government	program	
08 - Encroachmei	nt	
96 - Other (specif	y)	
98 - Don't know		
Answer:	Line Number	<b>  d</b>   1
	MOA	0 1

THE IDS IN Qs.303 and 305SHOULD CORRESPOND AND BE WRITTEN IN THE SAME ORDER. THE YEAR CORRESPONDS WITH THE ID THAT IT FOLLOWS

### Q.306 - What is the tenure status of the dwelling or plot of land on which the household dwelling is located?

Here we are trying to get at the legal tenure status of the dwelling or the plot of land on which the dwelling is situated. These categories regulate formal property rights. It may be necessary to read the responses to the respondent in order for them to understand in the local language what you are asking. Use the two digit codes below in answering the two boxes whatever is applicable.

<b>01-</b> Owned/Amortized)	0	1
02- Leasehold (rent)		
03- Freehold (occupying free)		
<b>96</b> - Other (specify)		
98 - Don't know		

Q.307- Is there an ownership document for the dwelling or plot on which the household dwelling is located?

We are asking whether there is an ownership document for the dwelling or plot of land on which the dwelling is located and what type of document it is. It may or may not have the name of someone in the household on it. If there is more than 1 type of document, list the one that is held by someone in the household. For example, if there is a deed, but the household member doesn't have it, but has an invoice or sales receipt, list the invoice, not the deed. If there is no ownership document or the respondent does not know, go to Q.309. Use the two digit codes below in answering the two boxes.

01-	A title deed	0	3
02-	A certificate of customary ownership		

- **03-** A certificate of occupancy (from developer)
- **04-** Yes, a will (a written agreement notarized or not)
- **05-** Yes, a sales agreement
- 06- NONE go to Q.309
- **96-** Other, specify <u>Tax declaration</u>
- 98-Don't know go to Q.309

Q.308 - Whose names are listed as adult ownership document for the dwelling or plot of land on which the household dwelling is located?

Record the Line Number/s of all adult members in the household in the box provided as they are listed in EDGE Form 2. Add additional boxes if necessary. If someone from outside of the household is listed, enter code "99" or code "98" for don't know in the first two boxes. If the dwelling is owned by all household members and someone from outside the household, use all the boxes provided and enter code "99" in one of the boxes provided.

Line Number	0	1			
	-	- 1			

FOR QUESTIONS 309-310, LIST ALL ADULTS FROM THE HOUSEHOLD, ADD ADDITIONAL SPACE FOR LISTING IDs IF REQUIRED. IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD HAS THE RIGHT, ENTER "99".

Q.309 - If this dwelling or plot of land on which your household dwelling exists were to be sold, who would be involved in the decision to sell?

Record the Line Number of the person who is involved in the decision to sell the dwelling or the plot of land on which the dwelling is located even if he or she needs to obtain the consent or permission of someone else. If more than 1 person are involved in the decision to sell, enter all the household members line numbers (from the household roster) or code "99" for someone from outside of the household. A person involved in the decision is someone who is consulted before the final decision to sell. It may or may not include the owner(s).

	- 51	.,	0
Answer: Line Number 0 1			

#### Q.310 - Which member(s) of this household would be involved in the decision to bequeath this dwelling or plot of land on which your household dwelling exist?

Record the line number of the person who are involved in the decision to bequeath the plot of land on which the dwelling is located even if he or she needs to obtain the consent or permission of someone else. If more than 1 person is involved in the decision to bequeath the dwelling or plot of land on which the dwelling is located, enter line numbers of all the household members or code "99" for someone from outside of the household. Note that code 99 will be recorded only after recording the code(s) of the member(s) of the households who is (are) involved in decision making for bequeathing. Also, code 99 will appear only once even if there are more than one person from outside the household involved in decision making. A person involved in the decision to bequeath is someone who is consulted before the final decision to bequeath is made. It may or may not include the owner(s).

Answer: Line Number 0 1 9 9

#### Q.311- Do dwelling owners sell dwellings in or around this community?

Ask whether dwelling owners sell dwellings in and around the community and if the respondent is informed regarding the value of recent sales of dwellings transactions. Enter the appropriate code of "01" if owner had selling transaction in the boxes provided. If the respondent reports no sales transactions or does not know in Q. 311, go to Q. 313.

01-Yes, dwelling owners sell

02-No sales transaction 
→ go to Q. 313

98- Don't know 
→ go to Q. 313

Note: Flowchart for Questions 311-315 is shown in the next page

### Q.312 - Are you informed regarding the value of recent dwelling <u>sales</u> transactions?

Ask whether dwelling owners were informed of the sales transaction and enter the appropriate answer of code of "1" or "2".

1 – Informed of the transactions
2 – Not informed of the transactions

### Q.313 - If this dwelling and the plot of land on which it is located were to be sold today, how much could be received for it?

Ask the respondent to estimate the value in Philippine peso how much could be received for the dwelling and the plot of land on which the dwelling is located if it were to be sold today. The estimate should be based on the location and condition of their particular dwelling. **Note:** If the estimated value of asset is obtained, **go to Q.315**. If he/she can't estimate this, enter "98" if don't know or "97" if he/she refuses to answer and if answer is either of the codes "97 or "98", go to Q. 314. There is a need to fill the boxes with 9 to distinguish the special codes. If other denominations were given, convert to peso and start entering answer to the left going to the right. Round off to the nearest peso. Prefix zero for boxes not occupied.



If the respondent does not know how much their dwelling might sell for, ask about the prices of similar homes in the neighbourhood that have been sold recently.

Answer: Refusal → | 9 | 9 | 9 | 9 | 9 | 9 | 7 | go to Q. 314

Figure 1 below shows the flow of skipping patterns in Q.311 – Q.315.

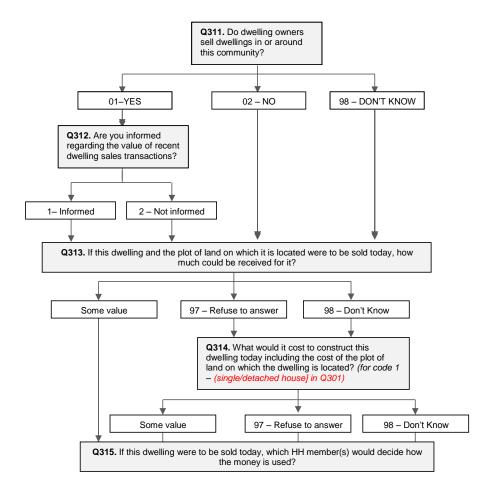


Figure 1. Flow Chart for Q.311 - Q.315

### Q.314-What would it cost to construct this dwelling today including the cost of the plot of land on which the dwelling is located?

FOR CODE '1'- SINGLE/DETACHED HOUSE IN Q.301 AND CODE '97' OR CODE '98' IN Q.313

The question should be asked to respondent who reported "code 1- single/detached house in Q.301 and code "97-refuse" or "code 98-don't know" in Q.313. Ask the respondent to estimate in Philippine peso how much it would cost to construct this type of dwelling today, including the cost of the plot of land on which the dwelling is located. If he/she can't estimate, enter "98" or "97" if he/she refuses to answer. If other denomination, convert to peso. Start entering answer from the left and a decimal point occupies one box.

### Q.315 - If this dwelling were to be sold today, which household member(s) would decide how the money is used?

Ask the respondent, if the dwelling were to be sold today, who would decide how the money would be used. Note that this question is hypothetical so the respondent should answer even if there are no plans to sell the dwelling. Enter the line number of the household member who would decide how to use the money. If more than 1 person would be involved in deciding how the money would be used, list the line numbers of all such persons. If someone from outside of the household would decide how the money from the sale of the dwelling would be used, enter code "99". Note that code 99 will be recorded only after recording the code(s) of the member(s) of the households who is (are) involved in decision making for bequeathing. Also, code 99 will appear only once even if there are more than one person from outside the household involved in decision making Add additional boxes for more than 4 adults in the household would decide.

Answer: LINE NO. 0 1 9 9

Figure 1 shows the flow of skipping patterns in Q.311 to Q.315.

### Q.316 - CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult males and females and children present (code '6'). If the respondent was not able to be interviewed alone, put remark/s.

# REMARKS:

#### **MODULE 4 - AGRICULTURAL LAND**

### Q.401- Do you or any member of your household currently own any agricultural parcels exclusively or jointly with someone else?

This is the screening question to determine whether any household member owns agricultural parcels, either exclusively or jointly with someone else. (Agricultural parcels may include those that are cultivated by your own household currently fallow, cultivated by someone in the household, rented out, or given away for free on a temporary basis as they owned, they DO NOT include those held through use/access rights).

01- Yes 02- No go to Q.427 98 - Don't know po to Q.427

We will be asking additional questions about what it means to own agricultural parcels, so at this point we want the respondent's sense of whether or not someone in the household owns any agricultural parcels. He/she may or may not possess the title or an ownership deed to the parcel. If no household member owns an agricultural parcel, or the respondent doesn't know, go to **Q.** 427.

#### Q.402- Parcel Name

List all of the agricultural parcels owned by any member of the household from <u>largest to smallest</u>. For each parcel, ask the respondent to give the parcel a "name". For example, "road parcel" or "swamp parcel". Start with the largest parcel first, going down to the smallest parcel. Asking the respondents about the largest parcel first is done so that if the household has more than 10 parcels, the smallest parcels will be omitted.

#### Q.403 - Location and Description

Write a brief description of each agricultural parcel so that you can make sure that when you refer to each parcel of land, you are able to match the parcel no. with the actual parcel. Descriptions might include the type of crop grown on the parcel, the type of land, or the location (irrigated rice plot; vegetable plot near the main road; wife's parcel north of village). Provide the location and description for each parcel before asking **Q. 404**.

#### Q.404 - Where is this [parcel] located?

If the parcel is located in the same barangay, write "the same codes" indicated in the identification particulars on the space provided for province, city/municipality, and barangay.

#### Q.405 - What is the area of the [parcel]?

Ask the respondent to estimate the area of the agricultural parcel in hectares. If the respondent is not able to give a response, you can relate the size to a football pitch or some other common area unit. **Note:** add one box for entries ten or more hectares.

If the answer of the respondent is in square meters, use the conversion factor of 10,000 sq. m = 1 hectare.

**Example:** 150,000 sq. m x 1 hectare/10,000 sq. m = 15 hectares

### Q.406 - Is this [parcel] the same piece of land on which the house is located?

Ask the respondent if the parcel described in Q.403 is the same parcel on which the principal household dwelling is located. Record "1" for Yes or "2" for No in the box provided. If the answer is Yes, go to next row (parcel). The questions from Q.407to the end of module are not asked about this parcel. For parcels other than the one on which the house is located, record "2" for No in the box provided and continue with Q.407.

### Q.407- What is/was the primary use of the [parcel] in the most recent cropping season)?

Record the primary use of the parcel in the most recent cropping season using the codes provided below the guestionnaire.

- **01-** Own cultivated (annual crops) yearly or once a year
- **02-** Own cultivated (perennial crops) permanent
- **03-** Livestock, Grazing, Rearing/Pasture meadow or farm animals (pig, cattle, sheep and horse)
- **04-** Fallow (unplanted or crop-free or uncultivated or empty)
- **05-** Woodland/Forest
- **06-** Swamp (moist or muddy or wet)
- 07- Rented out
- **08-** Given out (free)
- 96- Other, specify \_\_\_\_\_
- 98- Don't know

**Own cultivated** refers to crops that were grown by one or more of the household members. **Annual crops** mean the crops are grown on an annual basis (such as rice), while **perennial crops** mean the crops are grown perennially (like rubber). Code according to response provided by the respondent.

If the land is used for livestock, grazing, rearing or pasture, **code** "3". If the land is left fallow, **code** "4". If the land is woodland/forest, **code** "5". If land is a swamp, **code** "6." If the land is rented out, **code** "7". Rented out means the land has been given out to someone else in exchange for money or in-kind benefits. If the land is given out for free, **code** "8". For Other Specify, **Code** "96" and write the other category that is not listed in the options provided, and for don't know, **code** "98".

### Q.408 - Which household member(s) decided on the primary use of this [parcel] in the most recent cropping season?

Enter the line numbers of all adult person(s) who makes the decisions across the plots on the agricultural parcel on the primary use of plots listed in Q.407 in the most recent cropping season. If more than one household member makes these decisions, all adult household members involved in the decision should be listed. If someone from outside of the household is a decision maker "code 99". If more than 1 plot on the parcel and each plot have a different decision-maker, enter the codes of each decision-maker. Boxes can accommodate at most 5 members, add additional when necessary.

#### Q.409 - What soil type is this [parcel]?

Record the soil quality of the parcel using the following codes provided. If the respondent does not know the soil type, show the sample illustrations to identify the soil type. If the respondent is still unable to answer, enter code 98 for "Don't know".

- 01- Sandy soil
- 02- Salty soil
- 03- Clay soil
- **04-** Peaty soil (muddy, wet, swampy)
- 05- Saline soil (salty, brackish)
- **06-** Loamy soil
- **96-** Other (specify) \_\_\_\_\_
- 98- Don't know

In its traditional meaning, soil is the natural medium for the growth of plants. Soil has also been defined as a natural body consisting of layers (soil horizons) that are composed of weathered mineral materials, organic material, air and water. (Refer: http://www.fao.org/soils-portal/about/all-definitions/en/).

#### Types of soil are described below:

**Sandy soil** has the largest particles among the different soil types. It's dry and gritty to the touch, and because the particles have huge spaces between them, it can't hold on to water.

**Silty soil** has much smaller particles than sandy soil so it's smooth to the touch. When moistened, it's soapy slick. When you roll it between your fingers, dirt is left on your skin. Silty soil retains water longer, but it can't hold on to as much nutrients as you'd want it to though it's fairly fertile. Due to its moisture-retentive quality, silty soil is cold and drains poorly.

Clay soil has the smallest particles among the three so it has good water storage qualities. It's sticky to the touch when wet, but smooth when dry. Due to the tiny size of its particles and its tendency to settle together, little air passes through its spaces. Because it's also slower to drain, it has a tighter hold on plant nutrients. Clay soil is thus rich in plant food for better growth.

**Peaty soil** is dark brown or black in color, soft, easily compressed due to its high water content, and rich in organic matter. Peat soil started forming over 9,000 years ago, with the rapid melting of glaciers. This rapid melt drowned plants quickly and died in the process. Their decay was so slow underwater that it led to the accumulation of organic area in a concentrated spot.

**Saline soil** The soil in extremely dry regions is usually brackish because of its high salt content. Known as saline soil, it can cause damage to and stall plant growth, impede germination, and cause difficulties in irrigation.

**Loamy soil** The type of soil that gardens and gardeners love is loamy soil. It contains a balance of all three soil materials—silt, sand and clay—plus humus. It has a higher pH and calcium levels because of its previous organic matter content. Loam is dark in color and is mealy—soft, dry and crumbly—in your hands. It has a tight hold on water and plant food but it drains well, and air moves freely between soil particles down to the roots.



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## Q.410 - ENUMERATOR: IS RESPONSE FOR Q. 407 IS EITHER CODE"1" OR CODE "2"?

Record '1' for Yes or '2' for No in the box provided.

Note: For an answer of No, go to Q. 412

# Q.411 - What crops are (were) cultivated on this [parcel] during the most recent cropping season?

Record the crops starting with the crop with the largest area under cultivation using the crop codes in *Annex 7* that are (were) cultivated during the most recent cropping season. The order of the crops should be listed in terms of the largest to smallest area planted. If there are two crops which are intercropped and have equal area, list first the one which has the largest revenue. Write the name of the crops below the code box.

#### Q.412- What is the tenure status of this [parcel]?

Here we are trying to get at the legal tenure status of the parcel, as reflected in the official categorization of the Constitution of the country concerned. These categories regulate formal property rights. It may be necessary to read the responses to the respondent in order for them to understand in the local language what you are asking. Use the following codes for ownership status:

- **01-Fully owned** the land is operated with a title of ownership in the name of the holder and consequently, the right to determine the nature and extent of the use of the land. Included in this category are lands whose absolute ownership is vested in the holder through sale, and inheritance.
- **02-Ownerlike possession** the land is under conditions that enable a person to operate it as if he/she is the owner although he/she does not possess a title of ownership. A land held under the ownerlike possession include those that are held under heirship which the title of ownership has not been transferred to heirs. Also included are inherited lands without title of ownership and those held under tax declaration.
- **03-Tenanted** the land is cultivated by a person belonging to, or possesses by another with the latter's consent for purposes of production, sharing the produce with the landholder under the share tenancy system, or paying to the landholder a certain amount or ascertainable in produce or in money or both, under a leasehold tenancy system.

- **04-Leased/Rented** the land is cultivated by a lessee, which belongs to or is a legally possessed by another, the lessor. The rental payment is in the form of a fixed amount of either money, produce or both.
- **05-Rent Free** the land is operated without title of ownership and without paying rent but with the consent or permission of the landowner.
- **06-Held under Certificate of Land Transfer (CLT) or Certificate of Land Ownership Award (CLOA)** the land is still being paid by the holder under the government land reform program of Operation Land Transfer (OLT). OLT is a systematic transfer of ownership of tenanted rice and corn lands from the landowners to the tenant-tillers while CLOA are titles issued to farmers for their farm lot as covered by RA6657 otherwise known as Comprehensive Agrarian Reform Law. It must be noted that this category covers only those that are currently paying their amortization.
- **07-Held under Certificate of Ancestral Land Title (CAD/CALT)** the land is possessed by the Indigenous Cultural Communities/Indigenous People (ICCs/IPs) in accordance with RA 837 of the Indigenous Act (IPRA) of 1997. CADT refers to a title formally recognizing the rights of possession and ownership of ICCs/IPs over their ancestral domains identified and delineated in accordance with RA 1837. CALT, on the other hand, is a title formally recognizing the rights of ICCs/IPs over their ancestral lands.
- **08-Held under Community-Based Forest Management Agreement (CBFMA) Stewarship** the forest land is cultivated by a person under the (CBFMA)/Stewardship Agreement. CBFMA is a production sharing agreement between the Dept. of Environment and Natural Resources (DENR) and the participating people's organization for a period of 25 years and shall provide tenurial security and incentives to develop, utilize and mange specific portions of forest lands. The Certificate of Stewardship Contract, on the other hand, is a contract issued to individual occupants in the Integrated Social Forestry (ISF) areas before the implementation of the CBFM program in 995 pursuant to Letter of Instruction 260 for a period 25 years renewable for another 25 years.
- 96 Others, specify\_\_\_\_\_ lands held as mortgage and all other lands not falling under forms not categories 1 8 above including lands occupied without consent of owner for less than 30 years and forest land occupied without any agreement with the DENR. The land used by a person, wherein such land is mortgaged to him/her should be code 04 (Leased/Rented). If the land tilled by a person is his/her own but already mortgaged to another person, the tenure status of the land will also fall under code 01 (Fully owned).

#### Q.413 - Which household member(s) owns this [parcel]?

Enter the line numbers of household member(s) who is/are the owner(s) of the parcel. If the parcel is owned by more than one person in the household, enter the line numbers of all adult members of the household who are owners should be listed. If the parcel is owned jointly with someone from outside of the household, enter code "99". We will be asking additional information about ownership, so the owner in this question is not necessarily the person who is listed on the title as the owner. We want information on the person or people within the household and outside of the household who claim ownership.

#### Q.414 - In what year was this/these [parcel] acquired by this owner(s)?

Record the year the owner(s) acquired the agricultural parcel. If the parcel is jointly owned by two or more people, enter the line number of each owner and the year the owner acquired it since the each owner may have acquired the parcel in a different year. The IDs in **Q.413** and **Q.414** should be written in the same order and the corresponding year. ENTER CODE "98" FOR DON'T KNOW

THE IDS IN **Qs.413** and **414** SHOULD CORRESPOND AND BE WRITTEN IN THE SAME ORDER. THE YEAR CORRESPONDS WITH THE ID THAT IT FOLLOWS

## Q.415 - How did the owner(s) acquire this (these) [parcel]? Indicate the mode of acquisition (MOA)

This question asks how the owner(s) of the parcel acquired it. Boxes can accommodate all adult members of the household who are owners should be listed. If the parcel is jointly owned by two or more people, enter the ID code of each owner and the code for the MOA (mode of acquisition) since each owner may have acquired the parcel in a different way. All adult members of the household who are owners should be listed. The IDs in **Q. 413** and **Q. 415** should be written in the same order and the corresponding MOA. Categories are as follows:

- 01 Purchased
- **02** Inherited after the death of a natal (biological) family member
- 03 Inherited after the death of a marital family member
- 04 Acquired due to marital law/custom
- **05** Allocated/gift from household member
- **06** Allocated/gift from non-household member
- 07 Government program (Comprehensive Agrarian Reform Program, CARP)
- **08-** Encroachment (violation, breach)
- **96** Other (specify) \_\_\_\_\_
- 98 Don't know

#### Q.416 – Is there an ownership document for this [parcel]?

We are asking whether there is an ownership document for the parcel and what type of document it is. It may or may not have the name of someone in the household on it. If there is more than 1 type of document, list the one that is held by someone in the household. For example, if there is a deed, but the household

member doesn't have it, but has an invoice or purchase receipt, list the invoice under "96-Other (specify)", and not the deed. <u>If there is no ownership document or the respondent does not know, code 98 and **go to Q.418**.</u>

01- A Title Deed

02 - Certificate of Customary Ownership

03 - Certificate of Occupancy

**04**- A Will

**05**-Purchase Agreement

**96**- Other, (specify) Tax declaration

**06**- None **go to Q.418** 

98 - Don't know — **go to Q.418** 

# Q.417- Whose names are listed as owners on the ownership document for this [parcel]?

List all adult owners from the household roster should be listed. Record the line numbers of the household member whose name is listed as an owner on the ownership document for the parcel. If more than 1 household member is listed as an owner on the document, all adult owners from the household should be listed. If the name of someone from outside of the household is listed as an owner, enter code "99".

### Q.418 - If this (these) [parcel] were to be sold, which member(s) of this household would be involved in the decision to sell?

Record the line number of the adult person who is involved in the decision to sell the agricultural parcel even if he or she needs to obtain the consent or permission of someone else. If more than 1 person is involved in the decision to sell, the line numbers of all such members (from the household roster) and/or code "99" if someone from outside of the household. A person involved in the decision is someone who is consulted before the final decision to sell. It may or may not include the owner(s). Add additional boxes if not sufficient.

# Q.419 - Which member(s) of this household would be involved in the decision to bequeath this (these) [parcel]?

Record the line number of the adult person who has the right to bequeath the parcel even if he or she needs to obtain the consent or permission of someone else. If more than 1 person is involved in the decision to bequeath the parcel, all adult members who are owners should be listed (from the household roster) and/or code "99" for someone from outside of the household can be recorded. A person involved in the decision is someone who is consulted before the final decision to bequeath. It may or may not include the owner(s).

### Q.420 - Do land owners sell out any land in or around the community where the parcel is located?

Enter the appropriate code given at the bottom of the questionnaire. For an answer of **code "2"** or **code "98"** go to **Q. 422**.

01 - Yes, Land owners sell

02 - No, Land transactions — → go to Q. 422

98- Don't know — → go to Q. 422

### Q.421- Are you informed regarding the value of recent land <u>sales</u> transactions?

Ask the respondent if he/she was given information on the recent value of land sales within the community where the parcel is located. Answer code "1" for informed transactions and code"2" for not informed of transaction.

### Q.422- If this [parcel] were to be sold today, how much could be received for it?

Ask the respondent to estimate in Philippine peso how much could be received for the parcel if it were to be sold today. The estimated value should be based on the location and condition of their particular parcel. If he/she can't estimate this, enter code "98" for don't know value, or code "97" if he/she refuses to answer in the rightmost space provided and fill the rest of the boxes with "9".

Answer: DON'T KNOW 9 9 9 9 9 9 9 8

# Q.423 - If this [parcel] were to be <u>sold</u>, who which household members would decide how the money is used?

List all adults from the household roster who would decide how the money is used. Ask the respondent, if the parcel were to be sold today, who would decide how the money would be used. Note that this question is hypothetical so the respondent should answer even if there are no plans to sell the parcel. Enter the IDs (line number) of the household member who would decide how to use the money. If more than one person would be involved in deciding how the money would be used, all adult members of the household who are owners should be listed. If someone from outside of the household would decide how the money from the sale of the parcel would be used, record code "99".

## Q.424 - ENUMERATOR: IS RESPONDENT ONE OF THE OWNERS OF [PARCEL] IN Q. 413?

Select only one answer below and enter in the box provided. If the answer is code "2" for No, go to next row.

## Q.425 – Are there any household member 18 years old and over that do not know about your ownership of this [parcel]?

Ask the respondent whether there is anyone in his/her household 18 years old and over who does **not know** about the agricultural parcel the respondent owns either solely or jointly with another person(s). If 'no', go to the next row (parcel) or else go to **Q.427** if there are no other parcels owned by a member of the household.

### Q.426- Which household member 18 years old and over who does not know about your ownership to this [parcel]?

Enter the household line number of the person(s) 18 years old and over who do not know about the respondent's ownership of the agricultural parcel. Up to 3 line numbers can be listed.

#### Q.427- Code for ability of respondent to be interviewed alone

Upon completion of this module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult males and females and children present (code '6'). If the respondent was not able to be interviewed alone, put remarks.

#### **MODULE 5 - LIVESTOCK and POULTRY RAISING**

This module gives us information whether any member of the household owns any livestock, either exclusively or jointly with someone else. The enumerator must read the categories of animals listed row by row from livestock code 501- 517. Note that someone may own livestock that is not kept on the premises; the livestock may be in another location in the care of a caretaker. Also note that livestock does not include pets, except for income generating like dog breeding.

# Q.501- Does any member of your household own any [livestock] either exclusively or jointly with someone else?

Ask **Q. 501** for all categories of livestock listed by row before proceeding to the remaining questions in the module. If any member of the household owns any of the codes listed, enter code"01" opposite. If nobody in the household owns

<u>any</u> of the categories of livestock listed, enter code "02" and skip to next item or the respondent doesn't know, enter code "98" and skip to next item. If all livestock are coded as No or don't know, then go to the Q.503.

#### Q.502 - Who in your household owns at least one of this [livestock]?

Enter the line number of any household member that owns one or more animals in this category of livestock. Line numbers of all adult members of the household who are owners should be listed.

#### Q.503- Code for ability of respondent to be interviewed alone

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult males and females and children present (code '6'). If the respondent was not able to be interviewed alone, explain why in the remarks portion.

#### **MODULE 6A: LARGE AGRICULTURAL EQUIPMENT**

# Q.601- Do you or any member of your household own any [agricultural Equipment] exclusively or jointly with someone else, including tractors, plough, trailers or other types?

This is the screening question to determine whether any household member owns any large agricultural equipment, either exclusively or jointly with someone else. The enumerator must read the categories of equipment listed. Example of large agricultural equipment may include tractor, plough, carabao, trailer, sheller, and others (*Annex 8*).

We will be asking additional questions about what it means to own large agricultural equipment, so at this point we want the respondent's sense of whether or not someone in the household owns any agricultural equipment. If no household member owns large <u>agricultural equipment</u>, or the respondent doesn't know, skip to Q.615.

# Q.602 - ENTER NAME AND THE CODE OF EACH PIECE OF LARGE AGRICULTURAL EQUIPMENT THAT SOMEONE IN THE HOUSEHOLD OWNS IN ORDER OF MOST RECENTLY PURCHASED

For each piece of large agricultural equipment owned by someone in the household, list the type of equipment by entering the name and the appropriate

code. If 2 or more of the same type of large agricultural equipment are owned (for example, if two tractors are owned within the household), enter the name and the code for each asset within the category by year of manufacture.

IF MORE THAN ONE OF THE SAME EQUIPMENT, LIST IN DECREASING ORDER, FROM MOST RECENT TO OLDEST YEAR OF ACQUISITION BY THE HOUSEHOLD AND GIVE THE EQUIPMENTS DIFFERENT NAMES.

**EXAMPLE:** JOHN'S TRACTOR 1, MYRA'S TRACTOR 2

01 - Tractor

**02** - Plough

03 - Ox-Plough

04 - Trailer

05 - Other, (Specify)

#### Q.603 - Who is/are adult owner(s) of this [large agricultural equipment]?

Enter the line number of the person(s) who are the owner(s) of the large agricultural equipment. If the agricultural equipment is owned by more than one person in the household, enter the line number of all adult owners from the household. If the agricultural equipment is owned jointly with someone from outside of the household, enter code "99". We will be asking additional information about ownership, so the owner in this question is not necessarily the person who is listed on the title as the owner. Add additional boxes when necessary. We want the person or people within the household and outside of the household who claim ownership.

	LN	1	L	N	L	N	L	.N
Answer:	0	1	0	2				

# Q.604 - In what year was this these [large agricultural equipment] acquired by the owner(s)?

Record the year the owner(s) acquired the agricultural equipment. If the equipment is jointly owned by two or more people, enter the line number of each owner and the year the owner acquired it since each owner may have acquired the equipment in a different year. The line numbers in Q.603 and Q.604 should correspond and be written in the same order.

Example:	Line No	0 1 02	
		2005205	

### Q.605 - How did the owner(s) of this (these) [large agricultural equipment acquired it? Indicate mode of acquisition (MOA)

This question asks how the owner(s) of the agricultural equipment acquired it. If the equipment is jointly owned by two or more people, enter the line number of each owner and the code for the MOA since each owner may have acquired the piece of equipment in a different way. The line numbers in Q.603 and Q.605 should correspond and be written in the same order.

- 01 Purchased
- 02 Inherited after the death of a natal (biological, natural) family member
- 03 Inherited after the death of a marital family member
- 04 Acquired due to marital law/custom
- **05** Allocated gift from household member
- 06 Allocated gift from non-household member
- **07** Government program
- **08** Encroachment (violation, intrusion, invasion, assault)
- 96 Other (specify), \_\_\_\_\_Example: prize
- 98 Don't know

# Q.606 - If this (these)[agricultural equipment] were to be sold, which your member(s) of this household would be involved in the decision to sell?

Record the line number of the person who would be involved in the decision to sell the agricultural equipment even if he or she needs to obtain the consent or permission of someone else. If more than 1 person is involved in the decision to sell, the line numbers of household members (from the household roster) and/or code "99" for someone from outside of the household can be recorded. A person involved in the decision is someone who is consulted before the final decision to sell. It may or may not include the owner(s).

### Q.607- Which member(s) of this household would be involved in the decision to bequeath this [agricultural equipment]?

Record the line number of the person who would be involved in the decision to bequeath the agricultural equipment even if he or she needs to obtain the consent or permission of someone else. If more than 1 person is involved in the decision to bequeath the agricultural equipment, the line numbers of all adult household members (from the household roster) and/or code "99" for someone from outside of the household can be recorded. A person involved in the decision is some who is consulted before the final decision to bequeath. It may or may not include the owner(s).

### Q.608 - Do individuals <u>sell</u> out any [agricultural equipment] in or around this community?

Ask whether individuals sell large agricultural equipment in and around the community. Enter the appropriate code provided. <u>If the respondent reports no transactions or if he/she answers does not know in Q.608, go to **Q.610**.</u>

01- Yes, sell
02- No transactions go to Q. 610
98- Don't know go to Q. 610

## Q.609 - Are you informed regarding the value of recent [large agricultural equipment] <u>sale</u> transactions?

Ask if the respondent is aware regarding the value of recent agricultural equipment sale transactions. This question is to be asked if the answer to Q.608 is code 1 "Yes". Record code 1 if the respondent is informed of recent transaction(s) and code 2 if the respondent is not informed of recent transaction(s).

- 1 Informed of transaction
- 2 Not informed of transaction

# Q.610 - If this [agricultural equipment] were to be <u>sold</u> out today, what would be the total price received, given the age and state of this equipment?

Ask the respondent to estimate in Philippine peso how much could be received for the large agricultural equipment if it were to be sold today. The estimate should be based on the age and current state of the equipment. If he/she can't estimate this, enter "98" or code "97" if he/she refuses to answer.

### Q.611- If this [agricultural equipment] were to be <u>sold</u> out today, which household member(s) would decide how the money is used?

Ask the respondent, if the large agricultural equipment were to be sold today, who would decide how the money would be used. Note that this question is hypothetical so the respondent should answer even if there are no plans to sell the agricultural equipment. Enter the line number of the household member who would decide how to use the money. If more than 1 person would be involved in deciding how the money would be used, all adult members of the household should be listed. If someone from outside of the household would decide how the money from the sale of the agricultural equipment would be used, record code "99".

### Q.612 - ENUMERATOR: IS RESPONDENT ONE OF THE OWNERS OF [AGRICULTURAL EQUIPMENT] IN Q. 603?

If the answer is 'no', go to next row, if end of row go to Q.615

- 1 Yes
- 2- No, go to next row or if end of row, go to Q.615
- Q.613-Q.614 should only be asked to respondents identified as a sole or joint owner of the agricultural equipment in Q.603.
- Q.613- Are there any household members 18 years old and over who does not know about your ownership of this [agricultural equipment]?

Ask the respondent whether there is anyone in his/her household 18 years old and over who does <u>not know</u> about the agricultural equipment the respondent owns either solely or jointly with another person(s). <u>If the answer is 'no', go to next row, or if end of row, go to **Q. 615**.</u>

- 1 Yes
- 2- No, go to next row or if end of row, go to Q.615

### Q.614 - Which household member 18 year old and over does not know about your ownership of this [agricultural equipment]?

Enter the household line number/s of the person(s) 18years old and over who do not know about the respondent's ownership of the agricultural equipment. Up to 3 IDs can be listed.

#### Q.615 - Code for ability of respondent to be interviewed alone

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code'3'); with both adult males and females present (code '4'); with children present (code'5'); or with both adult males and females and children present (code'6'). If the respondent was not able to be interviewed alone, explain why in the remarks portion.

#### **MODULE 6B - SMALL AGRICULTURAL EQUIPMENT**

Equipment Codes starts from 606 to 625 and detailed list of small agricultural equipment is in the questionnaire and pictures in **Annex 7**. A small agricultural equipment may cost around Php 5,000.00 or less.

# Q.616 - Do you or any member of your household own any [agricultural equipment] exclusively or jointly with someone else, regardless of whether or how it is used?

This is the screening question to determine whether any household member owns any small agricultural equipment, either exclusively or jointly with someone else. The interviewer must read the categories of equipments listed.

**Example** of small agricultural equipment may include hoe, axe, cutter, watering can, pail, and others. **Read each piece of small agricultural equipment.** If the answer is no in the first row, go to next row of equipment until all was asked. However, if end of the row and no household member owns any small agricultural equipment, or the respondent doesn't know, skip to Module 7.

Enter the codes of small agricultural equipment that was identified as being owned by a member of the household in **Q.617.** 

### Q.617- Which household member(s) own of at least one of this [agricultural equipment]

Enter the household line number of the person(s) who is the owner(s) of small agricultural equipment. If the agricultural equipment is owned by more than one person in the household, enter the line numbers in separate boxes. If the agricultural equipment is owned jointly with someone from outside of the household, enter code "99". All adult owner members of the household should be listed

#### Q.618 - Code for ability of respondent to be interviewed alone

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult males and females and children present (code '6'). If the respondent was not able to be interviewed alone, explain why.

### MODULE 7- NON-AGRICULTURAL ENTERPRISES AND ENTERPRISE ASSETS

This module collects detailed information on enterprises owned by any member of the household. An enterprise is an undertaking which is engaged in the production and/or distribution of some goods and/or services meant mainly for the purpose of sale whether fully or partly no matter how small. We are interested in non-agricultural enterprises that are currently operating.

closed temporarily, or operating seasonally. We are **not interested** in non-agricultural enterprises that are **closed permanently**. The enterprise may be run from the premises of the household or outside of the household. It can be an informal enterprise or a formal one of any size. For instance, one-person operations providing goods/services for other non-household members/groups, i.e. working independently on their own-account, MUST be classified as enterprises.

Enterprises might include the following:

- making mats, bricks, or charcoal;
- working as a mason or carpenter;
- firewood selling; metalwork;
- running a street corner stall;
- owning a major factory on making local drinks, straw mats, carpets or baskets:
- any trade (in food, clothes or various articles);
- any professional activity (like that of a private lawyer, a doctor, a carpenter, etc.) offering services for payment in cash or in-kind.

Note: Although you must not list household farms in this module, you must list household enterprises based on post-harvest processing AND trading of own- produced agricultural by-products, such as starch, juice, beer, jam, oil, seed, bran, etc. and household enterprises based on trading of agricultural crops purchased from non-household members. Thus, if rice is purchased by a household member from another seller or shop and is then sold in the market or another location of that household member, that is NOT considered as an agricultural activity but a trading activity. You must report such activities. Likewise, if the household member grows and sells agricultural products like grapes, this is not considered as non-agricultural activity. But if the household member sells home-made wine, then it is considered as a non-agricultural activity (manufacturing). These distinctions on concepts between agricultural and non-agricultural activities should be emphasized in training of enumerators.

#### Q.701–Q.709: Enterprise Screening Questions

The following are the screening questions to determine whether any member of the household owns an enterprise. If no member of the household owns an enterprise, go to Q.748.

### Does any member of your household do the following with the main intention of earning income?

Maintain the notion that the main intention of entrepreneurial activity must be the sale of goods and/or services for pay or profit in the market.

Enter code "1" or code "2" for each described economic activity whichever is applicable. *Do not leave each question blank*. Ensure that his/her entrepreneurial activity is captured in **Q.701-Q.708**. **Q.709** is to determine

whether any member of the household owns an enterprise. If no member of the household owns an enterprise, **go to Q748.** 

- Q.701 Does any member of your household .....own a non-agricultural manufacturing enterprise or provided a non-agricultural service from home or a household-owned shop, as a carwash owner, metal worker, mechanic, carpenter, tailor, barber, etc.?
- Q.702 Does any member of your household .....<u>process</u> and sell any agricultural by-products, including flour, starch, juice, beer, jam, oil, seed, bran wine, etc.
- **Q.703 -** Does any member of your household .....<u>own</u> a trading enterprise at a fixed or mobile location on a street, at home, or in a market?
- Q.704 Does any member of your household....offer any service or sold anything on a street or in a market including firewood, home-made charcoal, curios (stuff, ornaments, bric-a-brac) construction timber, woodpoles, traditional medicine, mats, bricks, cane furniture, weaving basket, thatch grass, etc.
- **Q.705** Does any member of your household ..... <u>own</u> a professional office offered professional services from home as a doctor, accountant, lawyer translator, private tutor, midwife, mason, etc.
- **Q.706** Does any member of your household .....<u>drive-owned</u> taxi or pick-up truck to provide transportation or moving services?
- **Q.707-** Does any member of your household .....<u>own</u> a drinking place (ex. bar) or eating place (ex. restaurant)
- **Q.708 -** Does any member of your household ......own any other non-agricultural enterprise not mentioned above, even if it is a small enterprise run from home or on a street?

### Q.709 - ENUMERATOR: IS THERE A CODE "1" IN ANY OF THE QUESTIONS 701-708?

Enter code "1" for yes or code "2" for no for each question and for any yes answer proceed to ask **Qs.710 – 748** whichever is applicable. **If no, go to Q.748.** The interviewer needs to go through all filter questions before moving to Q.710 and others.

Enterprise ID is from E1 to E5. If with more than five enterprises, use another form and fill-up the Geo-ID first before you continue to the 6<sup>th</sup> enterprise.

#### Q.710 - Name and Address of [Enterprise]

Write the name currently used by the enterprise and address where it is found regardless if it is only a branch or the head office. This is an important question because it will aid in identifying enterprises owned by household members who administered the enterprise module. Be very precise in capturing information. If there is no location address, include landscapes or any features near the enterprise that could serve as an identifier. For instance, if a household is close to a church, major road, school etc. include that in the address. Note that if the location of the enterprise in Q711 is classified as fixed premises/location, write the complete name and address of the enterprise.

#### Q.711- Location of the [Enterprise]

The objective of this question is to evaluate the extent of permanency of the business work place and the physical location. Ascertain the location of the enterprise as to permanent (fixed) or not permanent (temporary or not fixed). Identify from the codes below the questionnaire and enter the appropriate code. We make the difference between fixed location (specific place for the enterprise, independent from home and public life) and with no fixed location (improvised or moving business place). Any activity that is made in a specific place/room will be classified in "with fixed location" (examples: shop on the first floor, workshop of tailoring). Otherwise, consider that the activity is not in a fixed location (e.g, a hair dresser at home without salon). Choose the appropriate option and write the code accordingly. If 'other, specify', record code '96'. Specify the type of location.

#### **FIXED PREMISES**

- 01- At home with no special work space
- **02-** At home with work space inside/attached to the home
- **03**-Business premises with fixed location independent from home
- **04-**Home or workplace of the client
- 05-Construction site
- 06-Market bazaar stall, trade fair
- **07-**Street pavement or highway with fixed post

#### **NO FIXED PREMISES**

- **08** Transport Vehicle
- **09** No fixed location (e.g. mobile, door to door, street without fixed post)
- 96 Others, specify\_\_\_\_

#### Q.712 - Please describe the kind of activity each enterprise is engaged in.

Describe briefly the type of activity the enterprise is engaged in. The description should be short and succinct (direct to the point), sufficient for classifying the enterprise by industry. **Examples** include:

- vegetable seller in market
- bicycle repair
- palm mat weaving
- furniture or coffin making
- used clothes trading
- beer brewing
- charcoal making

Enter descriptions of all enterprises owned by members of the household before proceeding to the remaining questions in the module, all of which should be asked one enterprise at a time before proceeding to the next enterprise. (For industry codes, see *Annex 8.* Note: the description of each enterprise can be classified at the two-digit level industry code following the completion of the interview, but the enumerator and supervisor **MUST** ensure it is done).

#### Q.713 - How many months per year is the [ENTERPRISE] operational?

Enter the number in completed months of the last 12 months that the enterprise operated. Prefix 0 if less than 10 months. Enter "00" if less than a month and put appropriate remarks.

## Q.714 - Which household member(s) are the owner(s) of this [ENTERPRISE]?

Enter the household line number of the person who owns the enterprise. If the enterprise is owned jointly by more than one person in the household, enter the line number of all adult owners of the enterprise should be listed. If the enterprise is owned jointly with a person(s) from outside of the household, enter code "99". For enterprises that are owned jointly, record the percentage of the enterprise that each owner owns. There's no need to write the percentage for single or individual (no partners) owners.

# Q.715 - What was the average number of hours per week that each owner spent managing or working on this [ENTERPRISE] over the last operational months?

This question has reference to  $\underline{\mathbf{Q.714.}}$ Enter the average number of hours per week that each owner spent working on the enterprise in the last operational month. Reference should be the last month in operation. Operational month means the month in which the business last conducted business activity. Enter the line number of owner with the corresponding number of hours worked in the enterprise. Provide clear instructions in getting the average number of hours per week over the last operational month. For example, suppose the last operational month was May, which has 4 weeks. Joel worked for 36 hours on the 1<sup>st</sup> week, 40 hours on the 2<sup>nd</sup> week, 60 hours on the 3<sup>rd</sup> week, and 50 hours on the 4<sup>th</sup> week. The average hours per week = ((36+40+60+50)/4 weeks) = 186/4 = 46.5 hours. Therefore, record code '05-41 to 59 hours'. Add additional space for listing

**Comment [C654]:** We do not suggest that the percent share of single or individual owners be left blank.

IDs of adult owners, if required. The IDs in Q714 and Q715 should correspond and be written in the same order.

- **01** None
- 02- Less than 20
- 03-20 39 hours
- **04** 40 hours
- 05-41 59 hours
- 06-60 hours and more
- 98- Don't know

### Q.716 - Which household member(s) has the main responsibility for providing services and/or producing goods?

Enter the line number of the person who has the <u>main</u> responsibility for providing services and/or producing the goods in the enterprise. If more than one person has the main responsibility, all adult members of the household should be listed. Enter code "99" if non-household owner or hired manager has this responsibility or code "98" if don't know.

## Q.717- Which household member(s) has the main responsibility for managing day-to-day operations?

Enter the line number of the person who has the <u>main</u> responsibility for managing the day-to-day operations of the enterprise. If more than one person has the main responsibility, all adult members of the household should be listed. Enter code "99" if non-household owner or a hired manager has this responsibility or code "98" if don't know.

### Q.718 - Which household member(s) has the main financial control, including the ability to sign loans, leases and contracts?

Enter the line number of the person who has the <u>main</u> financial control of the enterprise, including the ability to sign loans, leases, and contracts on behalf of the enterprise. If more than one person has the main responsibility, all adult members of the household should be listed. Enter code "99" if non-household owner or a hired manager has this responsibility or code "98" if don't know.

#### Q.719 - How was this [ENTERPRISE] acquired?

This question asks how the owner(s) acquired the enterprise. If the enterprise has more than one owner, the owners may have acquired the enterprise in different ways. For example, one owner could have inherited the enterprise and his/her partner could have purchased, or bought into, the enterprise. Thus, allow for multiple modes of acquisition if the enterprise has

more than one owner. <u>Note that this question has reference to **Q. 714** and must follow the same order in entering the answer.</u>

- 01 Founded
- 02 Purchased
- 03 Inherited after the death of a natal (biological, natural) family member
- 04 Inherited after the death of a marital family member
- 05 Acquired due to martial law/custom
- **06** Allocated gift from household member
- **07** Allocated gift from non-household member
- **08** Government program
- 09 Encroachment (violation, intrusion, invasion, assault)
- 96 Other (specify) prize
- 98 Don't know

The IDs in **Q. 714** and **Q. 719** should correspond and be written in the same order.

# Q.720 - ENUMERATOR: IS THE RESPONDENT AMONG THE OWNERS OF THE [ENTERPRISE] ACCORDING TO Q. 714?

If the answer is 'no', go to Q.740.

Q721-739 should only be asked to respondents identified as a sole or joint owner of the enterprise in Q714.

# Q.721- ENUMERATOR: WAS THIS [ENTERPRISE] FOUNDED IN Q. 719, (CODE "1") OR PURCHASED (CODE "2")

If the answer is 'no', go to Q.723.

### Q.722 - What was the main source of funding used for purchasing or founding this [ENTERPRISE]?

This question seeks to find out the main source of capital used for purchasing or starting the enterprise. **Do not read out the codes** for source of capital but allow the respondent to answer this question and code the response appropriately. If the respondent mentions several sources of money, ask him/her to rank them in order of importance and record the **main** one. <u>Own savings could</u>

also include money obtained from the sale of crops, livestock, or any other household possession.

- 01 Own household savings (ex. piggy bank)
- 02 Friends/Relatives
- 03 Private money lender
- 04 Employees
- 05 Commercial/Development Bank
- 06 Deposit Taking/Microfinance Institution
- 07 Credit Institution (ex. CEMCO, Provident)
- 08 Trader/Shop keeper (ex. storekeeper, merchant)
- **09** Self Help Group(ex. paluwagan, association)
- 10 NGO
- 11 -Government (ex. DTI, LGU, Partylist)
- **12** -Didn't expand enterprise make capital improvements or face unexpected expenses
- 96 Other, specify sell land
- 98 Don't know

#### Q. 723 – In what year was this [enterprise] acquired by the owner(s)?

Record the year in which the owner acquired the enterprise. The year should be recorded using four digits e.g. 1996, 2004, etc. Note that order of answering this question must be in accordance with the line number of the owners in **Q. 714** and **Q.723**. Enter code "98" for don't know in the rightmost space provided and fill the rest of the boxes with "9".

**Answer**: Don't know

9 9 9 8

#### Q. 724 - Is this [ENTERPRISE] registered?

This question is intended to check if the enterprise is registered. Choose from among the categories in the next page:

- **01** With Local government (city/municipality permit)
- 02 With national government (DTI or SEC)
- 03 Not registered
- **04** Registration in process
- 96 Other, specify\_\_\_\_
- 98 Don't know
- 97 Refuse to respond

#### Q.725 - What type of records or accounts this [ENTERPRISE] maintained?

Please record the code on the manner in which the financial accounts are maintained by the enterprise. Choose from among the categories below:

- 01- No written account kept
- 02- Informal record for personal use
- 03- Simplified accounting format (required for tax payment)
- **04** Detailed formal account (balance sheet and income statement)
- 98- Don't know
- 97- Refuses to respond/answer

### Q.726 - How many paid employees does this [ENTERPRISE] currently employ on a continuous basis excluding the owner(s)?

Enter the number of <u>paid</u> employees that work for the enterprise, <u>excluding</u> the owner(s). If the number of paid employees varies/changes, record the number of paid employees during a <u>"normal" month</u> when the enterprise is operating.

On a "**continuous basis**" implies a period of employment which is longer than a specified minimum determined according to national circumstances. (If interruptions (on leave) are allowed in this minimum period, their maximum duration should also be determined according to national circumstances.)

# Q.727- During the past year, what was the primary source of funding used to finance expansion and capital improvements or to face unexpected expenses for this [ENTERPRISE]?

This question seeks to find out the main source of capital used to expand or improve the enterprise or to pay for unexpected expenses in the past 12 months. Do not read out the sources of capital but allow the respondent to answer this question and code the response appropriately. If the respondent mentions several sources of money, ask him/her to rank them in order of importance and record the **main** one. Own savings could also include money obtained from the sale of crops, livestock, or any other household possession.

### Q.728 - During the last twelve months, did the [ENTERPRISE] apply for loans or line(s) of credit?

Record whether the enterprise applied for a loan or a line of credit in the past 12 months (September 2014 – September 2015). <u>If code 2 or 'no,' go to Q.730</u>.

 **Comment [C655]:** As discussed on training of trainers, PHI to provide threshold for the minimum.

#### Q.729 - Was the loan application accepted?

This question should only be asked if the enterprise applied for a loan or a line of credit in the last 12 months (code '1' in Q. 728).

Record whether the loan application was accepted or not. <u>If the enterprise applied for more than 1 loan in the **last 12 months**, consider the most recent loan. **Note**: any answer to this question will have to go to Q. 731.</u>

### Q.730 - What was the main reason your enterprise did not apply for a line of credit or loan?

This question should only be asked if the enterprise did not apply for a loan or a line of credit or answer in Q. 728 is code '2'. Ask the respondent the main reason why the enterprise did not apply for a loan or a line of credit in the past year. Do not read out the reasons. If the respondent mentions several reasons he/she did not apply for a line of credit/loan, ask him/her to rank them in order of importance and record the main one.

- 01 No need for a loan, enterprise has sufficient capital
- **02** Application procedures for loans or line of credit are complex
- 03 Interest rates are not favorable
- **04** Collateral requirements for loans or line of credit are unattainable
- **05** Size of loan and maturity are insufficient
- 06 Did not think it would be approved
- 96- Other, specify\_\_\_\_\_

# Q.731 - What was the approximate monthly turnover from the [ENTERPRISE]? (total value of sales of goods or services, consider an average over the last three operational months)

Ask for the approximate monthly turnover of the enterprise in Philippine peso. Respondents should consider an average over the last 3 operational months.

**Turnover is the gross revenue** that is generated from the sale of goods and services produced without deducting expenses.

In case of the service enterprises, it is the gross revenue receivable from the services provided.

In case of trading enterprises, it is defined as the value of sales.

Record the gross revenue without deducting cost of purchases of goods for resale. For the non-financial enterprises, turnover does not include receipts on account of interest and dividends. For financial sector enterprise engaged in financial intermediation, the turnover will be equal to the net interest earned or accrued to the enterprise plus other earnings like fees, brokerage on trading, commission, costs of financial advice, etc.

Q.732 - What was the approximate total monthly income earned from the [ENTERPRISE] after paying all expenses, including wages of employees, but not including any income paid to yourself and other owners (consider average over the last three operational months)?

Ask for the total monthly income in Philippine peso the enterprise earns after paying all expenses. Respondents should consider an average over the last operational 3 months. Expenses include purchase of raw material and wages of employees, but do not include any wages paid to the respondent or other owners if the enterprise is jointly owned. Estimate value in local currency.

Estimated value = Php 36,666.67 3 6 6 6 6 . 6 7

Provide clear instructions. The average of last three operational months should be equal to the total income for the last three months in which the enterprise was operational then divided by 3. For example, suppose the last three operational months are August to October. The total income of the enterprise on August was PhP 20,000.00, for September PhP 35,000.00, and for October PhP 55,000.00. The average monthly income = ((20000 + 35000 + 55000)/3 months = (110,000/3) = PhP 36,666.67.

Q.733 - Over the past three years, has the number of paid employees increased, decreased or remained the same?

Ask the respondent whether the number of paid employees has increased, decreased, or remained the same over the past three years. Refer to the codes in the next page.

01 - Increased

02 - Decreased

03 - Remained the same

98 - Don't know

Q.734 - Is the [enterprise] your desired size or did you wish to grow it and weren't able to?

Ask the respondent if the enterprise is his/her desired size or he/she wished to grow it but was not able to. If the answer is code "1", go to **Q.736**.

- 1 Enterprise is the size I prefer po to Q.736
- 2 I wanted to grow the enterprise

Q.735 - What factors have constrained the business owner's ability to increase the size of the [ENTERPRISE] to the desired size?

Ask this question only if code "2" was entered in Q.734. Ask the respondent whether any of the factors listed have constrained his/her ability to grow the enterprise to the desired size.

Do not read out the factors listed but allow the respondent to answer this question and code the response appropriately. Enter all of the factors that apply. Maximum of three answers are allowed.

A- Did not make efforts to grow it J - Lack of market information

**B**- Lack of demand **K** - High tax rates

C-Lack of inputs L - Lack of clear ownership of land

**D**- Lack of finance **M** - High crime rates

**E**- Poor quality of supply of electricity **N** - Economic policy uncertainty and phone

F- Lack of trained employees O - Corruption

G - Cost of hiring employees P - Lack of time to work on business

due to household chores

H - Legal regulation X - Other, specify\_\_\_\_\_

I - Poor quality roads Y - Don't know

Q.736 - Have you ever taken part in any course or training activity, whether formal or informal, on how to start an enterprise, such as training on how to develop a business plan, assess market opportunities, identify and access start-up capital, develop business network, etc.?

Ask the respondent if he/she has ever participated in any course or training activity, whether formal or informal, on how to start an enterprise. Types of training may include how to develop a business plan, assess market opportunities, identify and access start-up capital, develop business networks, etc.

**Note** that training of any length **(e.g. one hour or several months)** should be included. Maximum of three answers are allowed.

- A- As part of any regular education at school
- **B** -At my initiative
- **C** -As a condition for receiving a loan for participating in a program
- **D** -Training from government
- E- Training from NGO
- X Other, specify\_\_\_\_
- F No (should have no combination answer)

Comment [C656]: What does this mean?

# Q.737- Various people may give you advice on managing your enterprise. From whom do you regularly (once or more per month) receive advice for managing your enterprise?

Ask the respondent whether he/she receives managerial advice, <u>once or more per month</u>, from any of the persons listed below. Do not read out the factors listed, but allow the respondent to answer this question and code the response appropriately. Maximum of three answers are allowed.

- A- Spouse/Partner
- **B** Other family members/relatives
- C -Friends
- **D** A public advising service (bank, lawyer, accountant)
- X Other, specify refuse
- E- None/Refuse (should have no combination answer)

Types of advice may include advice on identifying new clients, investment opportunities, diversifying goods or services, managing employees, etc. <u>If the respondent refuses to respond after exhausting all possibilities to obtain response, enter code "E".</u>

### Q.738 - What is your main motivation for running/operating this [ENTERPRISE]?

Ask the respondent what his/her **main reason** for running/operating the enterprise. **Do not read out the reasons listed** <u>but allow the respondent to answer this question and code the response appropriately</u>. If the respondent mentions several reasons for starting the enterprise, ask him/her to rank them in order of importance and record the **main** one.

- **01-** To fill a need seen in the market for an entirely new products/services or for an improvement in an existing products/services
- **02-** Because the owner identified a very profitable area for enterprise (low wages, cheap inputs, new Fashion)
- **03-** To make more money
- 04- To gain greater flexibility, control of time
- **05-** To fulfill a dream, realize a passion
- **06-** To supplement the family income
- **07-** Because it is the family enterprise (it is what is expected)
- **08-** To make a positive contribution to life in the community

- **09-** Because the owner did not have other choices/lost job, laid off/to feed the family
- **10-** Owner inherited the enterprise from another person
- **96-** Other, specify\_\_\_\_\_
- 98- Don't know

## Q.739 - What factors would increase your happiness/satisfaction as an entrepreneur?

Ask the respondent what factors would increase his/her happiness or satisfaction as an entrepreneur. **Do not read out the factors listed** <u>but allow the respondent to answer this question and code the response appropriately. Enter up to three factors that apply.</u>

- A More money at the end of the month for me and my family
- **B** More support from my spouse/partner/family and community
- C Having more time to spend with family
- **D** Having less problems complying with public regulations/spend less time to fill paperwork, get authorization and pay taxes
- **E** Feeling less concerned about my personal security and the security of my assets
- **F** Having capable and motivated help (from my paid and unpaid employees)
- **G** Nothing, I am fully satisfied with my work as enterprise owner (should have no other combination)
- **X** Other, specify

#### Q. 740- Q. 744 should be asked to all respondents.

#### Q.740-Does this [ENTERPRISE] currently own any of the following assets?

Enter "1" in the appropriate column if the enterprise currently owns any equipment, machinery, or furniture. Enter "1" in the appropriate column if the enterprise currently owns any stocks of material. If "no" or the respondent doesn't know, skip to Q.745. For unincorporated enterprises the assets which cannot be segregated/distinguished from the household assets due to mixed use should not be duplicated in this module and will be recorded in other household assets modules. If any household member owns an incorporated enterprise, the assets owned by the incorporated enterprise will be recorded here and the value will be estimated in Q741.

<b>01</b> - Yes		
<b>02</b> - No	<b></b>	go to Q. 745
98 - Don't know	<b></b>	go to Q. 745

Note: Any land or buildings that the enterprise owns should be included in Module 8 on Other Real Estate, not here.

### Q.741- How much would be received for all of the [ENTERPRISE ASSETS] if it were sold today? Estimate total value per type of asset

Ask the respondent to estimate in local currency how much could be received for all of the equipment, machinery, and furniture belonging to the enterprise if it were to be sold today. If he/she can't estimate this, enter "98" in the appropriate column or code "97" if he/she refuses to answer.

Ask the respondent to estimate in local currency how much could be received for all of the stocks of material belonging to the enterprise if it were to be sold today. If he/she can't estimate this, enter "98" in the appropriate column or code "97" if he/she refuses to answer.

## Q.742 - If the (ENTERPRISE ASSET) were to be sold today, which household member(s) would decide how the money is used?

Ask the respondent, if the enterprise asset were to be sold today, who would decide how the money would be used. Note that this question is hypothetical so the respondent should answer even if there are no plans to sell the enterprise asset. Enter the line number of the adult household member who would decide how to use the money. If more than one person would be involved in deciding how the money would be used, all line numbers of such persons can be listed. If someone from outside of the household would decide how the money from the sale of the enterprise asset would be used, enter code "99".

## Q.743 - If this [ENTERPRISE] were to be sold today, which member(s) of this household would be involved in the decision to sell?

Record the line number of the person who is involved in the decision to sell the enterprise even if he or she needs to obtain the consent or permission of someone else. If more than 1 person is involved in the decision to sell, all line numbers of household members and/or code"99" for someone from outside of the household can be recorded. A person involved in the decision is someone who is consulted before the final decision to sell. It may or may not include the owner(s).

### Q.744 - Which member(s) of this household would be involved in the decision to bequeath this [ENTERPRISE]?

Record the line number of the person who is involved in the decision to bequeath the enterprise even if he or she needs to obtain the consent or permission of someone else. If more than 1 person is involved in the decision to bequeath, all line numbers of household members can be recorded and record code "99" if the person is someone from outside of the household. A person

involved in the decision is someone who is consulted before the final decision to bequeath. It may or may not include the owner(s).

### Q. 745- ENUMERATOR: IS THE RESPONDENT AMONG THE OWNERS OF THE ENTERPRISE ACCORDING TO Q. 714?

If the answer is 'no', go to next enterprise, if there are no other enterprise owned by the member of the household go to Q.748.

**Note**: Q.746- Q.747 should only be asked of enterprises for which the respondent was identified as a sole or joint owner in **Q.714.** 

### Q. 746 - Are there any household members 18 years old and over do not know about your ownership of this [enterprise]?

Ask the respondent whether there is anyone in his/her household aged 18 years old and over who does **not know** about the enterprise the respondent owns either solely or jointly with another person(s). If 'no', go to the next enterprise or to Q. 748if there are no other enterprises owned by a member of the household.

### Q.747 - Which household member 18 years old and over does not know about your ownership of this [enterprise]?

Enter the household line number(s) of the person(s) aged 18 years old and over who do not know about the respondent's ownership of the enterprise. Up to 3 line numbers can be listed.

#### Q.748 - Code for ability of respondent to be interviewed alone

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult males and females and children present (code '6'). If the respondent was not able to be interviewed alone, explain why.

#### **MODULE 8 - OTHER REAL ESTATE**

Q.801 - Do you or any member of your household currently own any other real estate exclusively or jointly with someone else, including other dwellings/buildings, flats, other real estate, such as stores owned

### by household enterprise, non-agricultural plots, either rural or urban?

This is the screening question to determine whether any member of the household owns any other real estate, either exclusively or jointly with someone else. Other real estate includes dwellings other than the principal dwelling (such as a house in another village), buildings (completed or uncompleted), flats, and non-agricultural plots, either rural or urban. Also included is any land or stores belonging to a household enterprise. We will be asking additional questions about what it means to own the real estate, so at this point we want the respondent's sense of whether or not someone in the household is the owner. They may or may not have an ownership document. If no household member owns any other real estate, or the answer is don't know, **go to Q. 823**.

### Q.802 - List each piece of real estate that someone in your household owns.

The enumerator must read the categories of other real estate listed. For each piece of real estate owned by someone in the household, list the type of real estate by entering the appropriate code. If 2 or more of the same type of real estate are owned in the household (for example, 2 detached houses), list them according to the year they were acquired, from most recent to oldest. List all other real estate owned by members of the household before proceeding to the remaining questions in the module, all of which should be asked one piece of real estate at a time before proceeding to the next piece of real estate.

#### Q. 803 - Where is this [real estate] located?

Ask where the real estate is located. Based on the answer provided by the respondent, help respondent identify whether the location is rural or urban and enter the appropriate code.

- 1 Urban
- 2 Rural

#### Q. 804 - Location address of [real estate]

Write the name of City/Municipality and province where the real estate is located.

**Example**: Magallanes, Cavite - Commercial (apartment rented out)

If the real estate is located in the same barangay, write "the same codes indicated in identification particulars on the space provided for province, city/municipality and barangay

For the purpose of this survey commercial use means use for commercial activities by the owner.

#### Q. 805 - What is this [real estate] used for?

Ask the respondent what the real estate is used for. **Do not read out the codes for use of real estate** <u>but allow the respondent to answer this question and code the response appropriately</u>. If household <u>non-commercial</u> use, rented out, "other" or respondent doesn't know, go to **Q.807**.

- 01- Household, Non-commercial use → go to Q.807
- **02** Household, Commercial use (by the owner)
- **03** Rented out **→** go to **Q.807**
- **96 -** Other, specify\_\_\_\_ go to **Q.807**
- **98 -** Don't know **→** go to **Q.807**

**Example:** code 01 - (my daughter uses the apartment while studying at university)

- **Code 02 -** (we are using the apartment as a store to sell some goods)
- **Code 03 -** (we are renting out the apartment for a sum of money regardless of how the renter is using it)

### Q.806 - Which non-agricultural enterprise ID (code) is this [real estate] used for?

This question should only be asked for real estate that is used by a member(s) of the household for commercial use or code 02 in Q805.

Ask the respondent which enterprise belonging to a member of the household the real estate is used for and enter the appropriate enterprise ID (code) from Module 7 (Enterprise Assets and Enterprises) based on the respondent's description.

#### Q.807- Who owns this [real estate]?

Enter the household line number/s of the person(s) who is the owner(s) of the real estate. If the real estate is owned by more than one person in the household, enter the line number of all adult members of the household who are owners. If the real estate is owned jointly with someone from outside of the household, enter code "99". We will be asking additional information about ownership, so the owner in this question is not necessarily the person who is listed on the title as the owner. We want the person or people within the household and outside of the household who claim ownership.

#### Q. 808 - In what year was the [real estate] acquired by the owner(s)?

Record the year the owner(s) acquired the real estate. If the real estate is jointly owned by two or more people, enter the line number of each owner and the year the owner acquired it since each owner may have acquired the real estate in a different year. Enter code "98" for don't know. The IDs in Qs.807 and 808 should correspond and be written in the same order.

### Q.809 - How did the owner(s) of this (these) [real estate] acquire it? Indicate the mode of acquisition (MOA)

This question asks how the owner(s) of the real estate acquired it. If the real estate is jointly owned by two or more people, enter the ID code of each owner and the code for the MOA (mode of acquisition) since each owner may have acquired the real estate in a different way.

- 01 Purchased
- 02 Inherited after the death of a natal (biological, natural) family member
- 03 Inherited after the death of a marital family member
- 04 Acquired due to marital law/custom
- **05** Allocated gift from household member
- 06 Allocated gift from non-household member
- 07 Government program
- 08 Encroachment (violation, intrusion, invasion, assault)
- 96 Other (specify), prize
- 98 Don't know

Enter code "98" for don't know. The IDs in **Qs.807 and 809** should correspond and be written in the same order.

#### Q.810- Is there an ownership document for this [real state]?

We are asking whether there is an ownership document for the real estate and what type of document it is. It may or may not have the name of someone in the household on it. If there is more than 1 type of document, list the one that is held by someone in the household. For **example**, if there is a deed, but the household member doesn't have it, but has an invoice or sales receipt, list the invoice under code 96- other (specify) and not the deed. If there is no ownership document or the respondent does not know, code "98" and go to Q.812.

- 01 A Title Deed
- 02 Certificate of Customary Ownership
- 03 Certificate of Occupancy (developer)
- **04** A Will (written testament notarized or not)
- 05 A Purchase Agreement (deed of sale)
- 96 -Other (specify) tax declaration
- 98- Don't know → go to Q. 812

### Q.811 - Whose names are listed as owners on the ownership document for this [real estate]?

Record the line number(s) of the household member whose name is listed as an owner on the ownership document for the real estate. If more than 1 household member is listed as an owner on the document, enter the line numbers of all adult owners listed on the document as owners. If the name of someone from outside of the household is listed as an owner, enter code "99".

## Q.812 - If this real estate were to be sold, which member(s) of this household would be involved in the decision to sell?

Record the line number of the adult person who is involved in the decision to sell the real estate even if he or she needs to obtain the consent or permission of someone else. If more than 1 person is involved in the decision to sell, all line numbers of household members can be recorded. If the name of someone from outside of the household is listed as an owner, enter code "99". A person involved in the decision is someone who is consulted before the final decision to sell. It may or may not include the owner(s).

# Q.813 - Which member(s) of this household would be involved in the decision to bequeath this [real estate]?

Record the ID code of the person who is involved in the decision to bequeath the real estate even if he or she needs to obtain the consent or permission of someone else. If more than 1 person is involved in the decision to bequeath, all line numbers of household members can be recorded. If the name of someone from outside of the household is listed as an owner, enter code "99". A person involved in the decision is someone who is consulted before the final decision to bequeath. It may or may not include the owner(s).

### Q.814- Do individuals <u>sell</u> any [real estate] around where the real estate is located?

Ask whether real estate owners sell or rent out real estate in and around the real estate is located. Enter the appropriate code. If the respondent reports no transactions or does not know in Q.814, go to Q.816.

Note: Flowchart for Questions 814-819 is shown in the next page

### Q.815 - Are you informed regarding the value of recent [real estate] sales transactions?

Ask if the respondent is aware of the value of recent sales transactions of real estate. This question is to be asked if the answer to Q.814 is code 1 "Yes". Record code 1, if the respondent is informed of recent transaction and code 2, if the respondent is not informed of the recent transaction.

- 1 Informed of transaction
- 2 Not informed of transaction

### Q.816 - If this [real estate] were to be sold today, how much could be received for it?

Ask the respondent to estimate in Philippine peso how much could be received for the real estate if it were to be sold today. The estimate should be based on the location and condition of their particular real estate. If he/she can't estimate this, enter "98", or code "97" if he/she refuses to answer. If the respondent does not know how much their real estate might sell for, ask about the prices of similar real estate in the neighborhood that have been sold recently.

If the estimated value is obtained, go to **Q.819**.If 97- Refuse to answer or 98- don't know, go to **Q.817**.

**Answer**: DON'T KNOW | 9 | 9 | 9 | 9 | 9 | 9 | 8 |

#### Q.817 ENUMERATOR: WAS THIS [REAL ESTATE] CODE 1 IN Q.802?

Check in accordance to Q.802. If the real estate owned by the household member is classified as "code 1-single/detached house in Q.802. Otherwise, code 2 and skip to Q.819.

Figure 2 below shows the flow of skipping patterns in Q.814 – Q.819.

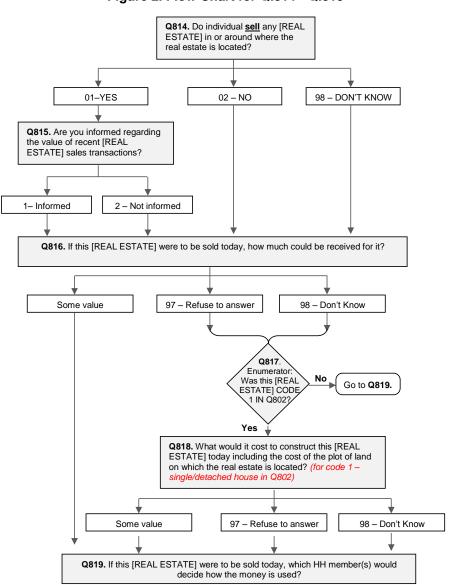


Figure 2. Flow Chart for Q.814 - Q.819

### Q.818-What would it cost to construct this [real estate] today, including the cost of the plot of land on which the real estate is located?

This question should only be asked if real estate is code 1–single/detached house, in Q. 802 and code "97" or code "98" in Q.816.

Ask the respondent to estimate in Philippine peso how much it would cost to construct this type of real estate today, including the cost of the plot of land on which the real estate is located. If he/she can't estimate this, enter code "98" or code "97" if he/she refuses to answer. If the respondent does not know the cost of constructing the real estate, ask about the prices of similar real estate in the neighborhood that have been constructed recently.

## Q.819 - If this [real estate] were to be sold today, who would decide how the money is used?

Ask the respondent, if the real estate were to be sold today, who would decide how the money would be used. Note that this question is hypothetical so the respondent should answer even if there are no plans to sell the real estate. Enter the line number of the household member who would decide how to use the money. If more than 1 person would be involved in deciding how the money would be used, all adult owners involved in the decision should be listed. If someone from outside of the household would decide how the money from the sale of the real estate would be used, enter code "99".

### Q.820 ENUMERATOR: IS RESPONDENT THE OWNER/ONE OF THE OWNERS OF [REAL ESTATE] IN Q.807?

Check with Q.807. If respondent is reported as an owner or co-owner in Q.817, code 1 in Q.820. If no, code 2 and go to next real estate, or if there are no other real estate owned by a member of the household, go to Q.823.

1 - Yes

2 - No

**Note:** Q.820-821 should only be asked of real estate for which the respondent was identified as a sole or joint owner in **Q.807**.

### Q.821- Are there any household members 18 years old and over that do not know about your ownership of this [real estate]?

Ask the respondent whether there is anyone in his/her household member 18 years old and over who does **not know** about the real estate the respondent owns either solely or jointly with another person(s). If 'no', go to the next piece of real estate or to Q.823 if there are no other pieces of real estate owned by a member of the household.

# Q.822 - Which household member 18 years old and over does not know about your ownership of this [real estate]?

Enter the household line number(s) of the person(s) 18 years old and over who do not know about the respondent's ownership of the real estate. Up to 3 line numbers can be listed.

#### Q. 823 - Code for ability of respondent to be interviewed alone

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult males and females and children present (code '6'). If the respondent was not able to be interviewed alone, explain why.

#### **MODULE 9- CONSUMER DURABLES**

# Q.901- Do you or any member of your household own any [consumer durable] exclusively or jointly with someone else?

This is the screening question to determine whether any member of the household owns any consumer durables, either exclusively or jointly with someone else. The enumerator must read the categories listed.

Ask Q. 901 for all categories of consumer durables listed before proceeding to the remaining questions in the module. If nobody in the household owns any of the categories of consumer durables listed, or the respondent doesn't know, skip to Q.903 before going to module 10.

# Q.902 - Who in your household owns at least one of this [consumer durables]

Enter the line number(s) of any household member that owns one or more pieces of the consumer durables. Line numbers of all adult members of the household who are owners should be listed.

#### Q. 903 - Code for ability of respondent to be interviewed alone

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult males and females and children present (code '6'). If the respondent was not able to be interviewed alone, explain why.

#### **MODULE10 - FINANCIAL ASSETS**

Q.1001-Do you or any members of your household own any financial asset, exclusively or jointly with someone else, including commercial banks accounts, microfinance accounts, informal savings program, equity (stock/shares), bonds, pension fund, life insurance or other types?

This is the screening question to determine whether any household member owns a financial asset, either exclusively or jointly with someone else. The enumerator must read the categories of financial assets listed.

Ask Q.1001 for all categories of financial assets listed before proceeding to the next question in the module. <u>If nobody in the household owns **any** of the categories of financial assets listed, or the respondent doesn't know or refuses to respond, go to Q.1108.</u>

# Q.1002 - ENUMERATOR: - LIST EACH FINANCIAL ASSETS THAT SOMEONE IN YOUR HOUSEHOLD OWNS

The enumerator must read the categories of financial assets listed. Enter code of financial asset as mentioned by the respondent. For each type of financial asset owned by someone in the household, list the type of financial asset by entering the appropriate code. If 2 or more of the same financial asset are owned (for example, if two bank accounts are owned within the household), enter the code for each asset within the category (for example, for each bank account), list them separately and order them, first based on type of financial asset and next based on decreasing value of this financial asset. In case of equity, list by company. List all financial assets owned by members of the household before proceeding to the remaining questions in the module, all of which should be asked one financial asset at a time before proceeding to the next financial asset.

#### Q.1003 - Whose name is on the [financial asset]?

Enter the household line number of the adult person whose name is on the financial asset. If more than 1 household member's name is on the account, all line numbers of household members can be entered. If the name(s) of someone from outside of the household is on the account, enter code "99".

#### Q.1004 - What is the current value of the [financial asset]?

Ask the respondent to estimate in Philippine peso the current value of the financial asset. If the respondent doesn't know, enter "98". If the respondent refuses to answer, enter "97". in the rightmost space provided and fill the rest of the boxes with "9". Suppose someone in the household answers "life insurance" as financial asset in Q.1002. Record the value of the total premium paid till date by the respondent.

 Answer: DON'T KNOW
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## Q.1005-ENUMERATOR: IS RESPONDENT THE OWNER/ONE OF THE OWNERS OF FINANCIAL ASSET LISTED IN Q. 1003?

If the answer is 'no', go to next financial asset or if no other financial asset is owned by any of the household member go to Q.1008.

- Q.1006-Q.1007 should only be asked of financial assets for which the respondent was identified as a sole or joint owner in Q.1003.
- Q.1006- Are there any household members 18 years old and over that do not know about your ownership of this financial asset?

Ask the respondent whether there is anyone in his/her household member 18 years old and over who does **not know** about financial asset the respondent owns either solely or jointly with another person(s). If 'no', go to the next financial asset or to **Q.1008** if there are no other financial assets owned by a member of the household.

Q.1007- Which household member 18 years old and over does not know about your ownership of this financial asset?

Enter the household line number/s of the person(s) 18 years and over who do not know about the respondent's ownership of the financial assets. Up to 3 line numbers can be listed.

**Note: Q.1008 - Q.1015** is about loans of the household members made to others.

Q.1008 - Does any person or any enterprise owes you or any member of your household any money?

This question asks whether any person, group of persons or institution has borrowed money from any member of the household, for which money is still owed. We do not want to know about loans that members of the household have made to others which have been completely repaid. If the household member has a limited liability company, loans made out to others by the company should not be included here. If no person or enterprise owes anyone in the household money, enter '2' and go to Q.1015 and module 11. If the respondent doesn't know, enter '98' and go to Q.1015 and module 11. If the respondent refuses to answer, enter code "97" and go to Q.1015 and module 11.

#### Q.1009- Who was the money lent to?

For each loan made by a member of the household, enter a brief description of whom the money was lent to. Based on this description, enter the appropriate code from the list provided. Obtain a complete listing of all loans before proceeding to other questions. If two or more of the same type of borrowers exist, then make sure the written descriptions are separate to allow for distinction of the loans.

- 01 Family and/or household member
- **02** Friend
- 03 Employer
- 04- Client/Customer
- 05 Self help group
- 96- Other, specify \_\_\_\_\_
- **97** Refused to respond
- 98- Don't know

#### Q.1010 - Which members of the household lent the [money]?

Enter the line number/s of the household member who lent the money. If more than one household member jointly lent the money, the line numbers of adult lender(s) can be listed. Enter "99" for the lender outside the household.

# Q.1011 - What is the remaining amount to be received on the loan (principal + interest)?

Ask the respondent to estimate in Philippine peso the total amount outstanding on the loan. This is the amount that still has to be received back by the household member. Include both principal and interest. If the respondent does not know, enter '98' and if the respondent refuses to answer, enter "97" in the rightmost space provided and fill the rest of the boxes with "9".

Answer: DON'T KNOW 9 9 9 9 9 9 8

# Q.1012- ENUMERATOR: IS THE RESPONDENT A SOLE/JOINT LENDER OF THE MONEY IN Q. 1010

Refer to Q.1010. If yes, code 1. If no, code 2 and skip to the next loan,

Q.1013-Q.1014 should only be asked on loans for which the respondent was identified as a sole or joint lender in Q.1012.

# Q.1013- Are there any household members 18 years old and over that do not know about your ownership of this [money]?

Ask the respondent whether there is anyone in the household member 18 years old and over who does <u>not know</u> about the money the respondent lent out. If 'no', go to the next loan or to **Q.1015** if there are no other loans made by a member of the household.

# Q.1014 - Which household member 18 years old and over does not know about ownership of this [money]?

Enter the household line number(s) of the person(s) 18 years old and over who do not know about the loan the respondent made. Up to 3 line numbers can be listed.

#### Q.1015 - Code for ability of respondent to be interviewed alone

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult males and females and children present (code '6'). If the respondent was not able to be interviewed alone, explain in the remarks portion why.

#### **MODULE11 - LIABILITIES**

People may be sensitive about providing information on their borrowing activities. You must do your best to ensure that the respondent has confidence in you: remind the respondent that the information he or she gives is confidential. You should also probe carefully here. Make sure you ask these questions in private as much as possible.

# Q.1101- Do you or any member of your household owe money to anyone or any institution?

This is the screening question to determine whether any member of the household <u>currently</u> owes money to any individual, group of individuals, or institution. Money may be owed to individuals or institutions whose main function is the provision of financial services or to traders, employers, landlords, or relatives of the borrower who lend money only in particular circumstances. Exclude daily borrowing due to forgetting to bring money at a particular time that is repaid immediately to the lender. We also do not want to know about loans that a household member took which have been completely repaid. If nobody in the household currently owes money or the respondent doesn't know or refuses to respond, go to Q.1110.

<b>01</b> - Yes		
<b>02</b> – No —	<b></b>	go to Q.1110
96 - Refused to respond	<b></b>	go to Q.1110

98 - Don't know — → go to Q.1110

#### Q.1102 - Who was the money borrowed from?

For each loan taken out by a member of the household, enter a brief description of whom the money was borrowed from. Based on this description, enter the appropriate code from the list provided. Obtain a complete listing of all loans before proceeding to other questions. Do not lump loans even if it is in the same borrower. List each loan separately.

- 01 Another household member
- 02 Friends/Relative
- **03** Private money lender–(5/6,Bombay)
- 04 Employer
- 05 Commercial/Development Bank-(Citibank, HSBC, BDO, etc.)
- **06** Microfinance Institution— (Kabayan)
- 07 Cooperative Credit Societies-(CEMCO, Provident)
- **08** Trader/Shop keeper
- **09** Self help group (Association, Paluwagan)
- 10 NGO
- 11 Government-(GSIS, SSS, Pag-ibig)
- 12 Pawnshop
- **96** Other, specify \_\_\_\_\_

#### Q.1103 - What was the main purpose for seeking this [loan]?

Record the **main** reason for which the loan was sought. <u>Do not read the list of possible answers</u>; rather directly ask the respondent why he/she applied for the loan and record the main reason in case of more than one answer. The categories have been divided into non-agricultural household enterprises and household or agricultural enterprises. If the option is one from the household or agricultural enterprises, enter appropriate code and skip to **Q.1105.** 

Any answer from codes **02** to **98**, go to **Q.1105**. Otherwise continue to Q. 1104

#### For Non-Agricultural Household Enterprise

01 - Purchase inputs/working capital for non-agricultural enterprise

#### For household or Agricultural Enterprise

02-	Buy land	$\longrightarrow$	Q.1105
03-	Buy livestock	<b></b>	Q.1105
04-	Buy agricultural tools and implements	<b></b>	Q.1105
05-	Buy agricultural inputs	<b></b>	Q.1105
06-	Buying house/Pay for building materials		

O6- Buying house/Pay for building materials
Repair/renovation of houses —→ Q.1105

07-	Buy consumption goods and services	Q.1105
-80	To pay educational expenses	Q.1105
09-	To pay health expenses	Q.1105
10-	To pay for ceremonial expenses	—→ Q.1105

# Q.1104 ENUMERATOR: (IF CODE 01 IN Q.1103) IF THE LOAN WAS DRAWN FOR A NON-AGRICULTURAL ENTERPRISE, ENTER THE ENTERPRISE ID FROM MODULE 7

Refer back to Module 7. If the Loan referred to in Q.1103 was for a non-agricultural enterprise corroborate which enterprise it was for and enter the enterprise id.

#### Q.1105 - Which household member(s) borrowed the [money]?

Enter the household line number(s) of the adult person(s) who borrowed the money. If more than one member of the household borrowed the money, list line numbers of all members. If the money was borrowed jointly with someone from outside of the household, enter code "99".

# Q.1106 - What is the remaining amount to be paid on the loan (principal+interest)?

Ask the respondent to estimate in Philippine peso the total amount outstanding on the loan. This is the amount that still has to be paid back to the lender. Include both principal and interest. If the respondent does not know, enter '98'. If the respondent refuses to answer, enter "97".

## Q.1107 ENUMERATOR: IS RESPONDENT A SOLE/JOINT BORROWER OF THIS MONEY IN Q.1105?

If the answer is yes, code "1" continue to ask the next question. Otherwise, if the answer is code "2" and got to next row and ask for other loans.

# Qs.1108 - 1109 should only be asked on loans for which the respondent was identified as a sole or joint borrower in Q1105.

# Q.1108 - Are there any household members18 years old and over that do not know about your ownership of this [loan]?

Ask the respondent whether there is anyone in his/her household members 18 years old and over who does <u>not know</u> about the loan the respondent borrowed, either solely or jointly with another person(s).

If 'no', go to the next loan borrowed or to **Q.1110** if there are no other loans borrowed by a member of the household.

# Q.1109-Which household member/s aged 18 years old and over does not know about your ownership of this [loan]?

Enter the household line number(s) of the person(s) age 18 years and over who do not know about the loan the respondent borrowed. *Up to 3 line numbers* can be listed.

#### Q.1110 - Code for ability of respondent to be interviewed alone

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult males and females and children present (code '6'). If the respondent was not able to be interviewed alone, explain why.

#### **MODULE12 - VALUABLES**

Valuables are produced goods of considerable value that are not used primarily for purposes of production or consumption but are held as stores of value over time. Valuables are expected to appreciate or at least not to decline in real value, nor to deteriorate over time under normal conditions. They consist of precious metals and stones, jewellery, works of art, etc.

# Q.1201- Do you or any member of your household own any [valuable] exclusively or jointly with someone else?

This is the screening question to determine whether any member of the household owns any valuables, either exclusively or jointly with someone else. The enumerator must read the categories listed. *Ask Q.1201 for all categories* of valuables listed before proceeding to the remaining questions in the module.

If respondent mentioned any antique article as valuables, write in the "Others" category and specify (antique car, antique sewing machine, antique china wares, etc.). If nobody in the household owns any of the categories of valuables listed, or the respondent doesn't know, go to Q.1203before proceeding to the next module.

#### Q.1202 - Who in the household owns at least one of this [valuables]?

Enter the line number(s) of any adult household member that owns at least one of the valuables listed. The line numbers of all adult members can be listed.

#### Q.1203 - Code for ability of respondent to be interviewed alone

Upon completion of the module, enter the appropriate code for whether the respondent was interviewed alone (code '1'); with adult females present (code '2'); with adult males present (code '3'); with both adult males and females present (code '4'); with children present (code '5'); or with both adult

males and females and children present (code '6'). If the respondent was not able to be interviewed alone, explain why.

#### **MODULE13: END OF QUESTIONNAIRE**

Q.1301 - Q.1305 should be completed by the interviewer.

# Q.1301 ENUMERATOR: ENTER RESPONSE CODE FOR COMPLETION STATUS

Enter the appropriate code after each interview conducted. For obtaining all the information needed (code '1'); Use partially completed (code '2') if not administered/interviewed because a household member refused to allow the interview to take place. If not administered/interviewed for another reason (code '3') and specify the reason. Reason for "partially done" or "not interviewed" should be explained in the "remarks by enumerator" at the back of this questionnaire. If completed, go to Q.1303.

- 1 Completed
- 2 Partially Done
- 3 Not interviewed

# Q.1302 ENUMERATOR: REASON FOR PARTIALLY DONE OR NOT INTERVIEWED

If code '2', or '3' was reported in Q1301, enter the reason why this individual questionnaire was partially completed or the individual was not interviewed.

#### Q.1303 ENUMERATOR: INDICATE NUMBER OF CALL BACKS

Enter the number of call backs made to the household in order to interview the respondent. If no call backs were needed, **enter '0.'** 

#### Q.1304 ENUMERATOR: RECORD TIME OF END INTERVIEW

Record end time of the individual questionnaire using the 24 hour format (HH:MM).

REMARKS/COMMENTS:		

# ANNEXES

#### **ANNEX 1 – EDGE BACKGROUND**

The ADB project R-CDTA 8243: Statistical Capacity Development for Social Inclusion and Gender Equality is collaborating with UNSD and UN-WOMEN, the agencies leading the global EDGE initiative in developing standard methodological guidelines for measuring ownership and asset entrepreneurship from a gender perspective. To test the methodology, R-CDTA 8243 is supporting the conduct of pilot surveys using the EDGE methodology in three countries (Georgia, Mongolia, and the Philippines). The EDGE seeks to accelerate existing efforts to generate comparable gender indicators on health, education, employment, entrepreneurship, and asset ownership. It specifically focuses on the following:

- the development of a platform for international data and metadata compilation covering education, employment and health indicators;
- (ii) the development of international definitions and methods for measuring gender-disaggregated entrepreneurship and asset ownership, and
- (iii) testing the newly developed methods in selected countries. The project is guided by a steering committee composed of national statistical offices that are members of the Inter-agency and Expert Group on Gender Statistics, regional commissions, regional development banks, and key international agencies in the development of gender statistics, including the World Bank and OECD.

### **ANNEX 2-Training Schedule**

### TASK FORCE TRAINING

Time	Sept. 7	Sept 8	Sept 9	
8:00-10:00	Registration Opening Program	Chapter 5. How to Accomplish EDGE Form 2	Chapter 6.How to Accomplish ence Form 3 (cont'n) Module 6A-Large Agricultural Equipment up to	
8:00-10:00	Orientation - (Ms. Tess)	Section A-GEO ID and Other Information up to Section F - (Mr. Elpidio)	Module 7-Enterprises and Enterprise Assets - (MS. Precy)	
10:00-10:15	<b>**</b>	BREAK		
10:15-12:00	Chapter 1. Introduction - (Ms. Net)	Chapter 5. How to Accomplish EDGE Form 2 (cont'n)	Chapter 6. How to Accomplish cncc Form 3 (cont'n)  Module 8-Other Real Estate	
	Chapter 2. Definition of Concepts and Terms – (Dr. Gulab)	Section <b>G</b> -Status in Employment up to Section <b>J</b> - ( <b>Mr. Joshi</b> )	up to Module 10 - Financial Assets - (MS. Edna)	
12:00-1:00		LUNCH BREAK	9	
1:00-3:00	Chapter 3. Role of Interviewer - (Mr. Randy)	Chapter 5. How to Accomplish EDG Form 2 (cont'n)	Chapter 6. How to Accomplish	
		Section K Housing Characteristics - (Mr. Randy)	Module 11-Liabilities up to Module 12-Valuables (MS. Edna)	
3:00-3:15		BREAK		
3:15-5:00	Chapter 4. Conducting an Interview - 4.1 (Mr. Joshi)	Chapter 6. How to Accomplish EDGE Form 3	Field Operations Guidelines (FOG) and Administrative Matters	
3: IS-5:UU	Chapter 4. Conducting an Interview 4.2 - 4.10 (MS. Edna)	Module 3-Dwelling - up to Module 5-Livestock and Poultry Raising - (Ms. Precy)	Ms. Benny	

### SECOND LEVEL TRAINING

Time	Sept. 10	Sept 11	Sept 12	Sept 14
8:00-10:00	Registration Opening Program House Rules	4. Definition of Concepts and Terms	6.How to Accomplish EDGE Form 3 Module 3-Dwelling - Module 5-Livestock and Poultry Raising	Mock Interview Discussion
10:00-10:15		BREA	К	
10:15-12:00	2015 EDGE Survey Chapter 1. Introduction 2. Role of an Interviewer	5. How to Accomplish EDGE Form 2 Section A-GEO ID and other Information Section E-Highest Grade Completed	6.How to Accomplish EDGE Form 3 (cont'n) Module 6A-Large Agricultural Equipment Module 7-Enterprises and Enterprise Assets	Field Operations Guidelines  Administrative Matter
12:00-1:00		LUNCHB	REAK	
1:00-3:00	Conducting an Interview     A.1 Identifying Eligible Respondents     Correct Field Procedures     to Follow	5. How to Accomplish EDGE Form 2 (cont'n) Section F-Status of Employment Section I-Housing Characteristics	6. How to Accomplish EDGE Form 3 (cont'n) Module 8-Other Real Estate Module 10-Financial Assets	Preparation of Enumeration Materials
3:00-3:15		BREA	К	
3:15-5:00	Conducting an Interview (cont'n)     S.5 Asking Questions-     3.10 Data Quality      Definition of Concepts and Terms	Mock Interview Discussion	6.How to Accomplish EDGE Form 3 (cont'n) Module 11-Liabilities Module 12-Valuables	Preparation of Enumeration Materials

### **ANNEX 3-Questionnaires**

_	PSA Approval No.: PSA-1533-02 Expires: June 30, 2016
l i	Expires. Julie 30, 2016
Republic of	the Philippines
	TISTICS AUTHORITY
	SET OWNERSHIP AND ENTREPRENEURSHIP ER PERSPECTIVE
HOUSEHOLD	QUESTIONNAIRE
CONFIDENTIALITY: This survey is authorized by RA 10625	
taxation, investigation, or law enforceme	Booklet of Booklets
A GEOGRAPHIC IDENTIFIC	ATION AND OTHER INFORMATION
I GEOGRAPHIC IDENTIFICATION CODES	IV RECORD OF INDIVIDUAL VISIT
1 REGION	Visit 1 2 3
2 PROVINCE	Time
3 MUNICITY	18 Began
4 BARANGAY	20 Ended
6 EA	21 Date
e SHSN	21 Date
7 HCN	22 Result Code
II DESIGN CODES	
8 PSU No.	RESULT CODES
9 Stratum	01 - Completed Interview
10 Number of Households in the Housing Unit	02 - Refusal - do not want to spend time/busy 03 Refusal - Invasion of privacy
LINE NO.	04 - Refusal - Other, specify 05 - No Household Member at Home or No Competent Respondent at Home at Time of Visit
11 Name of Primary Respondent:	06 - Entire Household Absent for Extended Period of Time 07 - Vacant Housing Unit
LL	08 - Housing Unit Demoilshed, Destroyed by Fire, Typhoon, etc.
12 Name of Primary Respondent's Spouse/Partner:	09 - Address Not a Housing Unit 10 - Housing Unit Not Found
	11 - Ortical Area, Flooded Area 12 - Others, specify
13 Name of Household Head:	V HOUSEHOUD SIZE AND NUMBER OF ADULT MEMBERS FOR
14 Name or Housenoid Questionnaire Hespondent	V HOUSEHOLD SIZE AND NUMBER OF ADULT MEMBERS FOR INTERVIEWED HOUSEHOLDS
	Household Size No. of Adults (18 or above) in the Household
16 Address of Household:	Usting Enumeration Listing Enumeration
18 Total Number of Individual questionnaires attached	
III RECORD OF RESPONDENTS TO THE INDIVIDUAL QUESTIONNAIRE	VI 23 - Manner in Which Individual Interviews conducted
Respondent 1 2 3	
17 Line number of Adult Member Selected for Interview	01 - Simultaneously 02 - Sequentially 03 - Simultaneously and sequentially 04 - Other
18 Result Code	u4 - Other
·	es - 01; No - 02
Name and Line Number of OFW/OCW	Ш
VI CERTIFICATION	
I hereby certify that the data gathered in this questionnaire we accordance with instructions stated in the interviewer's Manual.	re obtained/reviewed by me personally and in
Signature Over Printed Name of Interviewer	Signature Over Printed Name of Reviewer/Supervisor
Sale Learner links of	Sale Salesand

			ALI	L HOUSEHOLD N	IEMBERS				
	B C RESIDENCE OF SPOUSE/PARTNER								
L-NE NUMBER (LN)	201 Please give me the names of the persons who usually live in your household starting with the head of the household.  LIST THE PERSONS OR HOUSEHOLD MEMBERS IN THE ORDER SPECIFIED IN CODES FOR COL. 3  ENCIRCLE LINE NO.  OF RESPONDENT	What is S relation-ship to the house-hold head?	203 Is male or female? 1-MALE 2-FEMALE	204 In what month and year was born?	205 What is '6 age as of last birthday? (in completed years)	206 What is 's marital (civil) status? FOR PERSONS 0 TO 9 YEARS OX.D, ENTER "1" FOR SMOLE FF 2.	207 Is's spouse/ partner a member of this house- hold?  1 - YE8  2 - NO,	208 COPY THE LINE NO. OF THE SPOUSE/ PARTNER	209 In what year did marry or form a consensual union with spouse/ partner?
	HOUSEHOLD MEMBERS AS OF DATE OF VISIT	ENTER CODE	ENTER CODE	ENTER ENTER MONTH YEAR	WRITE THE AGE IN THE BOXES	+00 TO SECTION D (Q.210) ENTER CODE	ENTER CODE	ENTER LINE NO.	ENTER YEAR
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
01									
02									
03				ШШ					
04									
05									
06									
07									
08									
09				ШШШ					
10									
Just t 1) Av chi 2) Av m do us 3) Av m	HERE IF CONTINUATION SET ID USED to make sure that I have a complete listing: the there any other persons such as small kidren or infants that we have not listed? It there any other people who are embers of your household, such as mestic helpers, lodgersor friends who usully live here? It there any other people who are embers of this household who is abroad in other place working, studying or imporarily away that we have not listed?	YES	NO NO NO NO	Codes for Column 3 (Relationship to Hous 01 - Head 02 - WiterOpouse 03 - Son/Daughter 06 - Son-In-law/ Daughter-In-law/ Daughter-In-law/ Granddaughter 07 - Father/Mother 08 - Granddaughter 08 - Other Relative 09 - Non-Relative 10 - Border/Guest	Codes fo (Ethnich) 01 - Tag 02 - Cav	alog Iteño ya/Binisaya ol	(Marita 1 - Single 2 - Married 3 - Widowe 4 - Divorce 6 - Unknow (Q.210) 08 - H 07 - III 08 - K 09 - C	d/Separated/Ar in Illigaynon ocano apampangan	r inulied

ALL HOU	SEHOLD	5 YE	ARS OLD AND OVER	Г		3 15	YEARS OLD AND	OVER		П
D ETHNICITY	E RELIGIOUS AFFILIATIO N		HIGHEST GRADE COMPLETED		G STATUS IN E	MPLOYMENT	H SELF- EMPLOYED DURING THE LAST 7 DAYS	PRIMARY WORK	SUBSIDIARY ACTIVITY	
210 What is ethnic group/ tribe? Is Tagalog, Cavitefio, etc.?	211 What is religious affiliation?  WRITE THE RELIGION IN THE SPACE PROVIDED	212 EN- CIRCLE LINE NO. OF ALL HOUSE- HOLD MEM- BERS 5 YEARS OLD	213 What is the highest educational attainment completed by?	214 EN- CIRCLE LINE NO. OF ALL HOUSE- HOLD MEM- BERS 15 YEARS OLD	215 In what kind of status in employment did_spend most of his/her time in any economic activity in the last 12 months?	216 In what kind of status in employment did spend most of his/her time in any economic activity in the last 7 days?	217 In what economic activity was engaged as self-employed during the last 7 days?  1 - NON-AGRICULTURE 2 - AGRICULTURE	218 In his/her primary work?  READ ALL CATERGORIES  01 - A sole director of one's own limbed-liability enterprise 02 - A partner/associate in one's own limbed-liability enterprise 03 - Brunning alone one's	In addition (to this primary status in employment), is (NAME) owning and operating a non-agricul- tural enterprise in subsidiary	
WRITE THE ETHNICITY IN THE SPACE PROVIDED		AND OVER		AND OLDER		IF ≠ 2, 3 OR 4, ◆ GO TO SECTION J (Q.219)	SECTION J (Q.219)	04 - A partner in an enterprise 88 - Other activity, specify	capacity?	
ENTER CODE	ENTER CODE	CHECK WITH Q205	ENTER CODE	CHECK WITH Q205	ENTER CODE	IF = 9 → GO TO SECTION K (Q.220) ENTER CODE	ENTER CODE	ENTER CODE	2 - NO ENTER CODE	
(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)
		01		01						01
		02		02						02
		03		03						03
		04		04						04
		05	<u></u>	05						05
		06		06	Ш					06
		07		07	Ш					07
	$\parallel$	08	Щ.	08	Ш					08
		09	<u></u>	09						09
	Ш	10		10						10
Codes for Col. Religious Affiliat 00 - None 01 - Roman Cat	ion holic	(Grade/Ye 000 - No 001 - No		High Sc	hool	College	Status in I 01 - Wage	Columns 18 (Q.215) 8 17 (Q.21 Employment Employment/Paid Trainee (Not in		
02 - Iglesia ni Cr 03 - Aglipay 04 - Islam 06 - Evangelist 08 - Baptist 07 - Methodist	nsi0	210 - Gr 220 - Gr 230 - Gr	Preparatory         320 - 2nd Year         820 - 2nd Year         02 - 3elf-Employment ( <del>With Employee</del> Employer)           meetary         330 - 3rd Year         830 - 3rd Year         68 - 3elf-Employment (Own account worker)           Grade 1         340 - 4th Year         840 - 4th Year         04 - 8elf-Employment (Own belies of producers' coope           Grade 2         360 - 5th Year         850 - 5th Year         66 - Contributing Family Member		)					
08 - Born Again 98 - Others, Specify	_	240 - Gr 260 - Gr 280 - Gr 270 - Gr 280 - Ele	ade 5 ade 6 ade 7	F GRADUATE, 07 - Unpaid Trainee   07 - Unpaid Trainee   08 - Not Engaged in Economic Activity   410 - 1st Year   800 - Post Baccalaureate   (Those who have NOT worked at all or who have worked 420 - 2nd Year   430 - 3rd Year   08 - Not Engaged in Economic Activity   08 - Not Engaged			FOR Q215.			

K	OUSING CHARACTERISTICS		
(ENC	IRCLE CODE AND WRITE IN THE BO	DX)	
220	At the time of visit: MAIN MATERIALS OF THE ROOF		Ш
	Strong materials (galvanized iron     Light materials (cogon, nipa, and	, aluminum, tile, concrete, brick, stone, etc.)	
	3 - Salvaged/makeshift materials		
	<ul> <li>4 - Mixed but predominantly strong r</li> <li>5 - Mixed but predominantly light ma</li> </ul>		
	6 - Mixed but predominantly salvage		
	7 - Not Applicable		
221	MAIN MATERIALS OF THE OUTER	RWALL	
	1 - Strong materials (galvanized iron	, aluminum, tile, concrete, brick, stone, glass, etc.)	ш
	2 - Light materials (cogon, nipa, and		
	<ul> <li>3 - Salvaged/makeshift materials</li> <li>4 - Mixed but predominantly strong r</li> </ul>	materials	
	5 - Mixed but predominantly light ma	tertals	
	<ul> <li>6 - Mixed but predominantly salvage</li> <li>7 - Not Applicable</li> </ul>	d materials	
222	MAIN MATERIALS OF THE FLOOR	3	
	01 - Earth/Sand	06 - Ceramic Tiles	
	02 - Wood Planks 03 - Palm/Bamboo	07 - Cement 08 - Marble	
	04 - Parquet or Polished Wood	96 - Other, Specify	
	05 - Vinyl or Asphalt Strips		
223	What kind of tollet facility does the h	ousehold use?	
	01 - Flush Tollet	04 - Drop/Overhang	
	02 - Closed Pit	05 - Pall system	
	03 - Open Pit	96 - Other, Specify	
224	What is your household's main sour	ce of electricity?	
	01 - Electricity from power supplier	04 - Solar Panel	
	02 - Generator	05 - None	
	03 - Inverter	96 - Other, specify	
225	What is your household's main sour	ce of drinking water?	
	Community water piped into:		ш
	01 - Dwelling	07 - Undeveloped Spring	
	02 - Yard/Plot 03 - Public Tap	08 - River/Stream/Pond/Lake/Dam 09 - Bottled Water/Refilling Station	
	Point Source	10-Rainwater	
	04 - Protected Well	11-Tanker Truck/Peddler	
	05 - Unprotected (Open dug well) 06 - Developed Spring	96-Other, specify	
REMA	ARKS		
			_
			_
l			_

	j	EDGE FOI PSA Appro Expires: Ji	RM 3 oval No.: PSA-1 une 30, 2016	1533-03
Republic of PHILIPPINE STAT	the Philippines	RITY		
EDGE PILOT SURVEY ON MEASURING AS: From a gende			EPRENEURS	HIP
INDIVIDUAL G	UESTIONNAIR	E		
CONFIDENTIALITY: This survey is authorized by RA 10625. taxation, investigation, or law enforcen		cannot be used	i for	
		Е	looklet of	Booklets
A GEOGRAPHIC IDENTIFIC	ATION AND OTH	ER INFORMATI	ION	
I GEOGRAPHIC IDENTIFICATION CODES	III RECORD OF IN			
1 REGION	Visit	1	2	3
2 PROVINCE	Time			
3 MUNICITY	13 Began			
4 BARANGAY				
6 EA	14 Ended			
8 SHSN	16 Date			
7 HCN	16 Udle			
II DESIGN CODES	18 Result Code			
8 PSU No.	cone			
g Stratum				
10 Number of Households in the Housing Unit	RESULT CODE			
	01 - Completed 02 - Not at Hon	i interview ne		
	03 - Postponed 04 - Refused-D	o not want to spe	nd time/busy	
LINE NO. 11 Name of Eligible Respondent:	05 - Refused-II 06 - Refused-O	nvasion of ortvacy	•	
	07 - Partiy com	(5	pecify	·
	08 - Responder 09 - OCW/OFV	nt Incapacitated		
12 Address of Household:	10 - Other	(Specify)		
		(Specify)		
IV CERTIFICATION				
I hereby certify that the data gathered in this questionnaire we accordance with instructions stated in the interviewer's Manual.	re obtained/reviewe	d by me personally	y and in	
Signature Over Printed Name of Interviewer	Signature	e Over Printed Na	me of Reviewer/S	Supervisor
Date Accomplished		Date Re	evlewed	

	OCE G. DITEELING
301	What type of dwelling is this?
1	01 - Single / Detached House 06 - Servant's Quarters
1	02 - Duplex 08 - Tenement 03 - Apartment/accessoria/condominium/townhouse 07 - Garage
1	04 - Commercial/Industrial/agricultural building/house 88 - Other housing unit (e.g. cave, boat) specify
1	(e.g. store, etc.)
╙	
302	What is the present ownership status of this dwelling?
1	
1	01 - Owned by someone in household 02 - Rented
1	03 - Free
1	04 - Government provided
1	05 - Community provided  98 - Other, specify
1	86 - Other, specify
303	Which household member(s) own this household dwelling?
	LINE NO.
1	LIST ALL ADULTS FROM THE HOUSEHOLD
1	IF OWNED BY ALL ADULT HOUSEHOLD MEMBERS THEN FILL IN THE IDs OF ALL ADULT HOUSEHOLD MEMBERS.  IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD JOINTLY OWNS THE HOUSING UNIT, ENTER CODE "99"
1	ADD ADDITIONAL SPACE FOR LISTING IDs OF ADULT OWNERS, IF REQUIRED
1	NOTE: FOR CODE "99", SUCEEDING QUESTIONS WILL NOT BE ASKED, QUESTIONS 304-307
╙	
304	In what year was the dwelling or plot of land on which the household dwelling is located acquired by the owner(s)?
1	IF ≥ 1 OWNER, ALLOW ≥ 1 RESPONSE, ADD ADDITIONAL SPACE FOR LISTING IDs OF ADULT OWNERS, IF REQUIRED.
1	ENTER CODE "98" FOR DON'T KNOW YEAR
1	
1	THE IOS IN GOUSD AND 304 SHOULD CORRESPOND AND BE WRITTEN IN THE SAME ORDER. THE YEAR CORRESPONDS WITH THE ID THAT IT FOLLOWS  LINE NO.
1	LINE NO.
1	YEAR
305	How did the owner(s) acquire the dwelling or the plot of land on which the household dwelling is located?
	Indicate the mode of acquisition (MOA).
1	IF ≥ 1 OWNER, ALLOW ≥ 1 RESPONSE, ADD ADDITIONAL SPACE FOR LISTING IDs OF ADULT OWNERS, IF REQUIRED.
1	01 - Purchased
1	02 - Inherited after the death of a natal family member
1	03 - Inherited after the death of marital family member
1	04 - Acquired due to marital lawicustom MOA
1	08 - Allocatedigift from non-household member
1	07 - Government program THE IDE IN Gs. 303 AND 385 SHOULD CORRESPOND AND BE WRITTEN IN THE
1	08 - Encroachment  88 - Other, specify
1	98 - Don't Know
L	
306	What is the tenure status of the dwelling or plot of land on which the household dwelling is located ?
1	01 Own/Amortized 02 Leasehold
1	03 Freehold
1	96 Other (Specify)
1	88 Don't Know
307	is there an ownership document for the dwelling or plot on which the household dwelling is located?
	24 - 177-2-4
1	01 - A title Deed 02 - Certificate of Customary Ownership
1	03 - Certificate of Occupancy
1	04 - A WII
1	06 - A sales agreement 08 - NONE
1	98 - Other, specify
L	98 - Don't Know,
308	Whose names are listed as adult owners on the ownership document for the dwelling or plot of land on
1	which the household dwelling is located?
	LINE NO.
1	LIST ALL ADULTS FROM THE HOUSEHOLD. ADD ADDITIONAL SPACE FOR LISTINGS IDs OF ADULT OWNERS IF REQUIRED IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD IS LISTED AS AN ADULT OWNER ON THE DOCUMENT, ENTER CODE "90".
1	IN ADDITION TO ID(8) OF HOUSEHOLD MEMBER(8) AND ENTER CODE "98" FOR DON'T KNOW

LIST ALL ADULTS FROM THE HOUSEHOLD, ADD ADDITIONAL SPACE FOR LISTING IDs IF REQUIRED, IF SOMEONE FROM THE OUTSIDE OF HOUSEHOLD HAS THE RIGHT, ENTER CODE '90', IN ADDITION TO ID(S) OF HOUSEHOLD MEMBER(S). If this dwelling or plot of land on which your household dwelling exists were to be sold, which member(s) of this household would be involved in the decision to sell? Which member(s) of this household would be involved in the decision to bequeath this dwelling or plot of land on which your household dwelling exists? LINE NO. 311 Do dwelling owners sell dwellings in or around this community? Are you informed regarding the value of recent dwelling gales transactions? 2 - Not informed of transactions 313 If this dwelling and the plot of land on which it is located were to be sold today, how much could be received for it? ESTIMATE VALUE IN PHILIPPINE PESO. RECORD "97" IF REFUSE TO ANSWER. RECORD "98" IF DON'T KNOW IF THE ESTIMATED VALUE OF ASSET IS OBTAINED 
90 TO Q.315
97 - REFUSED TO ANSWER 
90 TO Q.314
98 - DON'T KNOW 
90 TO Q.314 314 FOR CODE 1 - SINGLE/DETACHED HOUSE IN Q.301 AND CODES 97 - REFUSE OR 98 - DON'T KNOW IN Q.313 What would it cost to construct this dwelling today including the cost of the plot of land on which the dwelling is located? ESTIMATE VALUE IN PHILIPPINE PESO. RECORD "97" IF REFUSE TO ANSWER. RECORD "98" IF DON'T KNOW 315 If this dwelling were to be sold today, which household member(s) would decide how the money is used? LINE NO. LIST ALL ADULTS FROM THE HOUSEHOLD. ADD ADDITIONAL SPACE FOR LISTING IDs IF REQUIRED. IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD WOULD DECIDE, ENTER 1997, IN ADDITION TO ID(8) OF HOUSEHOLD MEMBER(8). CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE 4 - WITH ADULTS MIXED SEX PRESENT 1 - ALONE
2 - WITH ADULT FEMALE(S) PRESENT
5 - WITH ADULT MALE(S) PRESENT
6 - WITH ADULTS MIXED SEX AND CHILDREN PRESENT (Reasons why interview was not administered with the respondent(s) alone should be explained in this remarks) REMARKS:

MOI	MODULE 4. AGRICULTURAL LAND								
401	401 Do you or any member of your household currently own any agricultural parcels exclusively or jointly with someone else?								
	(The parcels may include those that are cultivated by your household, current fallow, rented out or given away for nothing in return on a temporary basis as they are owned, they DO NOT include those held through use/access rights).								
	01 - YES 02 - NO								
		88 - Don	t Know •	GO TO Q.427					
PAR-		403	404			406	408	407	408
NO.	PARCEL NAME	LOCATION & DESCRIP-	Where is this	[PARCEL] IOCS	sted?	What is the area of the [PARCEL]?			Which household member(s) decided on the primary use of this
	LIST FROM	TION					same piece of land on which		[PARCEL] in the most recent cropping season?
	LARGEST TO SMALLEST	COMPLETE THIS COLUMN	IF THE PARCEL I BARANGAY, WRI INDICATED IN ID	TE THE SAME O	CODES	ENUMERATOR: ASK THE RESPONDENT TO	the house is located?	most recent cropping	LIST ALL ADULTS FROM THE
	PARCEL	FOR ALL PARCELS.	ON THE SPACE I	PROVIDED FOR	PROVINCE,	ESTIMATE THE AREA IN HECTARES		season?	HOUSEHOLD ROSTER. IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD IS
		THEN ASK Q404					1 - YES		A DECISION-MAKER, ENTER CODE '99' IN ADDITION TO ID(S) OF HOUSEHOLD
							◆ NEXT ROW		MEMBER(S)., ADD ADDITIONAL SPACE FOR LISTING IDs., IF REQUIRED.
							2 - NO	ENTER CODE	
						RESPONDENTS			
						ESTIMATION			
			PROVINCE	CITY/MUN	BARANGAY				LN LN LN LN LN
01			ΙШΙ	Ш	ШШ	$  \sqcup \cdot \sqcup \sqcup \sqcup$	🗀	ΙШ	
$\vdash$						HECTARE			
02			PROVINCE	CITY/MUN	BARANGAY				
0.2				Ш	ш	HECTARE			
$\vdash$			PROVINCE	CITY/MUN	BARANGAY				
03						$\Pi$ . $\Pi$			
				<u> </u>		HECTARE			
			PROVINCE	CITY/MUN	BARANGAY	lo		l —	
04			Ш	Ш	ш	HECTARE	$  \; \sqcup \;  $	ΙШ	ШШШШШ
$\vdash$			PROVINCE	CITY/MUN	BARANGAY	HECTARE			
05							ΙП		lmmmmml
				ш		HECTARE			
			PROVINCE	CITY/MUN	BARANGAY				
06			Ш	Ш	ш	$  \sqcup . \sqcup \sqcup \sqcup$	🗀	Ш	
$\vdash$			l l		l	HECTARE			
		7 - Primary u ated (Annual (	se of the Parox	H			or Q.409-Type andy soil	of Soil	
02	- Own Cultiv	ated (Perennia	d Crops)			02 -8	Ity soil		
	- Livestock, (	Grazing, Rear	ng/Pasture			03 - C 04 - P	lay soll eaty soll		
06	- Woodland/	Forest				06 -8	aine soil		
	<ul> <li>Swamp</li> <li>Rented out</li> </ul>						oarny soil ther, specify		
	- Given out (						on't Know		
	<ul> <li>Other, spec</li> <li>Don't Know</li> </ul>								

and the same	MODULE 4. AGRICULTURAL LAND								
MOI	DULE 4. AGRI	CULTURAL	AND						
PAR- CEL NO.	409 What soil type is this [PARCEL]? ENTER CODE	IS RESPONSE FOR Q.407 EITHER OPTION 1 (OWN CULTIVATED- ANNUAL CROPE) OR	LIST ALL CROPS STARTING WITH THE CROP WITH THE LARGEST AREA UNDER CULTIVENION. SEE INSTRUMENTAL FOR CROP CODES.  Write the name of the crops below the code boxes	412 What is the tenure status of this [PARCEL]? ENTER CODE	LIST ALL ADJUTS FROM THE INCUSENCE RECORD TO COME IN COMMENT IN COMMENT ALL ADJUT HOUSE FINCH FROM THE PROPERTY HOUSE FILE OF ALL ADJUT HOUSE FILE OF	414 In what year was this (these) [PARCEL] acquired by this owner(s)?  F ≥ 1 OWNER, ALLOW ≥1 RESPONSE, ADD ADDITIONAL SPACE FOR LISTING ID» OF ADULT OWNERS, IF REQUIRED.  ENTER CODE "96" FOR DON'T KNOW YEAR  THE IDE IN Q			
01			1ST 2ND 3RD 4TH 5TH			YEAR			
02			1ST 2ND 3RD 4TH 5TH			HH LINE			
03			1ST 2ND 3RD 4TH 5TH			HH LINE NO.			
04			18T 2ND 3RD 4TH 5TH			HH LINE			
05			1ST 2ND 3RD 4TH 5TH			HH LINE			
06			1ST 2ND 3RD 4TH 5TH			HH LINE			
01 02 03 04 06 06	Codes for Q.412-Tenure Status of the Parcel  of - Fully owned  2 - Ownerlike possession  os - Tenantied  o4 - Leased Riented  o6 - Rent Free  o6 - Held under Certificate of Land Transfer (CLT) or Certificate of Land Ownership Award (CLOA)  o7 - Held under Certificate of Ancestral Land Title (CADICALT)  o8 - Held under Community-Based Forest Management Agreement (CBFIA) Stawardship  Agreement (CBFIA) Stawardship								

MOE	OULE 4. AGRICULTURAL LAND				
PAR- CEL NO.	416 How did the owner (s) acquire this (these)  PARCEL ? Indicate the mode of acquisition (MOA).  If ≥ 1 OWNER, ALLOW ≥ 1 REBPONSE, ADD ADDITIONAL SPACE FOR LISTING IDs OF ADULT OWNERS, IF REQUIRED.  THE IDs IN Qs.413 AND 416 SHOULD CORRESPOND AND SE WINTERS IN THE SAME ORDER, THE YEAR CORRESPONDS THE IS THAT IT FOLLOWS.	418 Is there an ownership document for this [PARCEL]? ENTER CODE	417 Whose names are listed as owners for the ownership document for this [PARCEL]?  LIST ALL ADULT OWNERS FROM THE HOUSEHOLD ROSTER. IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD IS UTTED AS AN OWNER ON THE DOCUMENT, ENTER 199". AD ADMITTANT SPACE FOR LISTING IS OF ADULT OWNERS, IF REQUIRED.	LIST ALL ADULTS FROM THE HOUSEHOLD ROSTER. IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD	418 Which member(s) of this household would be involved in the decision to bequeath this (these) [PHANCEL]?  LIST ALL ADULTS/ROM THE HOUSEHOLD MONTON IN HOUSEHOLD MONTON IN HOUSEHOLD WOULD BE MONCYED IN THE DECISION TO BEQUEATH, ENTER CODE '99 IN ADDRONG ADULT ON HOUSEHOLD WEMBER(s), ADD ADDRONG ADDRONG FOR LISTING ON, IF ROUSEHOLD WEMBER(s), ADDRONG FOR LISTING ON, IF ROUSEHOLD WEMBER(s), ADDRONG FOR LISTING ON, IF ROUSEHOLD WEMBER(s), ADDRONG FOR LISTING ON, IF ROUSEHOLD WE WENT ADDRONG FOR LISTING FOR THE PROPERTY ADDRONG FOR LISTING FOR THE PRO
01	HOLINE NO.		LN LN LN LN	LN LN LN LN	
02	HOLLINE III III III III III III III III III				
03	HOLLINE III III III III III III III III III				
04	HOLLINE DE				
05	HOLLINE III III III III III III III III III				
06	HOLLINE NO.				
0 0 0 0 0	dec for Q.416-Acquilition Status 1 - Purchased 2 - Purchased 3 - Inherited after the death of a natal famil 3 - Inherited after the death of martial famil 4 - Acquired due to martial law/custom 6 - Allocatedigift from nousehold memer 7 - Government program 8 - Encroachment 8 - Other, specify 8 - Don't Know	y member	01 - 02 - 03 - 04 - 06 - 08 -	tor Q.418-Ownership Status A Title Deed Certificate of Customary Ownership Certificate of Occupancy A Will A Purchase Agreement NONE, # GO TO Q.418 Other, Specify Don't Know, # GO TO Q.418	•

MOE	DULE 4. AGRIC	CULTURAL	LAND					
	420 Do land owners sell out any land in or around the community where this land is located?  ENTER CODE	421 Are you informed regarding the value of recent land called transactions?  ENTER CODE	422 If this [PARCEL] were to be gold today, how much could be received for it?  ESTIMATE VALUE IN PRESPINE PESO. RECORD "98" IF REPUIS TO ANSWER. RECORD "98" IF DON'T INNOW	428 If this (PARCEL) were to be sold, which household members would decide how the money is used?  LISTALL ADULTS FROM THE HOUSEHOLD ROOTER, IT SOMEONE FROM OUTSIDE OF THE HOUSEHOLD WOULD SECIE, THE HOUSEHOLD WOULD SECIE, THE HOUSEHOLD WOULD SECIE, THOUSEHOLD WOMERE(1), AD ACTIONAL SPACE FOR LISTING ID., IF REQUIRED.	424 ENUMERATOR: IS RESPONDENT ONE OF THE OWNERS OF [PARCEL] IN Q.4137  1 YES 2 NO, 0 OT ON NEXT ROW ENTER CODE			
01				LN LN LN LN				
02								
03								
04								
05								
06								
	Codes for Q.429  01 - YES Land owners sell 02 - NO Land Transactions, GO TO Q.422  98 - Don't Know  Codes for Q.421  1 - Informed of Transactions 2 - Not Informed of Transactions 3 - Not Informed of Transactions							

_			0
MOI	DULE 4. AGRICULT	JRAL LAND	
PAR- CEL NO.	426 Are there any household member 18 years old and over that lose 100 km was bout your ownership of this IPARCELJ?  1 YES 2 NO, GO TO NEXT ROW ENTER CODE	428 Which household member 18 years old and over who does not know about your ownership of this (PARCEL)?  LIST UP TO 3 FROM THE HOUSEHOLD ROSTER.	427 CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE:  1 - ALONE 2 - WITH ADULT FEMALE(S) PRESENT 3 - WITH ADULT MALE(S) PRESENT 4 - WITH ADULTS MOXED SEX PRESENT 5 - WITH CHILDREN PRESENT 6 - WITH ADULTS MOXED SEX AND CHILDREN PRESENT
01			(Reasons why Interview was not administered with the respondent alone should be explained in the remarks)
02			REMARKS
03			
04			
05			
06			

		(Contin	•	
MODUI	.E 5. LIVESTOCK AND POL	II TRY RAISING	9	
III O D O L	L S. LIVESTOCK PART OF	JETHT TOUSING		
		·		
LIVE-	LIVESTOCK NAME	601 Does any member of your	602 Who in your household owns	503
STOCK	LIVESTOCK NAME	household own any	at least one of this	CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE.
CODE		[LIVESTOCK] either exclusively or jointly with	[LIVESTOCK]?	
		someone else?	LIST ALL ADULTS MEMBERS FROM THE HOUSEHOLD ROSTER. IF	
		READ ALL CATEGORIES	OWNED BY ALL ADULT HOUSEHOLD MEMBERS THEN FILL	
			IN THE ID» OF ALL ADULTS HOUSEHOLD MEMBERS. IF	
		01 - YES	SOMEONE FROM OUTSIDE OF THE HOUSEHOLD JOINTLY OWNS THE	
		02 - NO ◆ GO TO NEXT ROW	LIVESTOCK, ENTER CODE "99". ADD ADDITIONAL SPACE FOR	(Reasons why Interview was not
		98 - DON'T KNOW	LISTING OF IDe OF ADULT OWNERS, IF REQUIRED.	administered with the respondent
		◆ GO TO NEXT ROW	LN LN LN LN	alone should be explained in the remarks)
				REMARKS
601	Carabao			
602	Cow/Cattle			
603	Horse			
604	Sheep			
606	Goat			
608	Pig (Boar)			
607	Chicken (Hen)			
608	Rooster (Game Fowl)			
509	Turkey			
610	Ostrich			
611	Duck/Geese			
611	Rabbit			
613	Birds (Dove, Farrots, etc.)			
514	Quali			
515	Brollers (Exotic/Cross Chicken)			
516	Other Poultry/Birds			
517	Other, Specify			
Cod	les for Q.503-Ability of Respon	ndent to be interviewed A	Mone	
	- ALONE	4 - WITH ADULTS MI	XED SEX PRESENT	
	- WITH ADULT FEMALE(8) PRESENT			NT
3	<ul> <li>WITH ADULT MALE(8) PRESENT</li> </ul>	6 - WITH ADULTS MI	XED SEX AND CHILDREN PRESE	NI

		RICULTURAL EQUIPM		
601			RICULTURAL EQUIPMENT] exclusively or joi	ntly with someone
l	else, including tractors, pi	oughs, ox-ploughs, trailers or	rother types?	
l	01 - YES			
l	02 - NO,			
l	88 - DON'T KNOW	◆ GO TO Q.816		
l	802	603	804	806
EQUIP.	List each piece of large agricultural equipment	Which household member(s) own this	In what year was this (these) [AGRICULTURAL EQUIPMENT] acquired by	How did the owner(s) of this (these)
CODE	that someone in the	(AGRICULTURAL	the owner(s)?	It? Indicate the mode of acquisition
l	household owns in order	EQUIPMENT]?		(MOA).
l	of most recently			
l	purchased.		IF ≥1 OWNER, ALLOW ≥ RESPONSE, ADD ADDITIONAL SPACE FOR LISTING IDs OF	IF ≥ 1 OWNER, ALLOW≥1 RESPONSE. ADD
l	IF MORE THAN ONE OF THE	LIST ALL ADULT OWNERS	ADULT OWNERS, IF REQUIRED.	ADDITIONAL SPACE FOR LISTING IDs OF
l	SAME EQUIPMENT, LIST DECREASING ORDER, FROM	FROM THE HOUSEHOLD ROSTER. IF OWNED BY ALL		ADULT OWNERS, IF REQUIRED.
l	MOST RECENT TO OLDEST	ADULT HOUSEHOLD MEMBERS THEN FILL IN THE IDN OF ALL	ENTER CODE "88" FOR DON'T KNOW YEAR	
l	YEAR OF ACQUISITION BY THE HOUSEHOLD AND GIVE	ADULT HOUSEHOLD MEMBERS.	l —————————	THE IDs IN Qs.603 AND 605 SHOULD CORRESPOND AND BE WRITTEN IN THE
l	THE EQUIPMENTS	IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD JOINTLY	THE IDs IN Qs.603 AND 604 SHOULD CORRESPOND AND BE WRITTEN IN THE	SAME ORDER.
l	DIFFERENT NAMES. EXAMPLE: JOHN'S TRACTOR	OWNS THE PARCEL, ENTER CODE '99' IN ADDITION TO ID(S)	SAME ORDER	
l	1, MYRA'S TRACTOR 2	OF HOUSEHOLD MEMBER(S).		
l		ADD ADDITIONAL SPACE FOR LISTING IDs OF ADULT		
l	ENTER NAME AND CODE 01 Tractor	OWNERS, IF REQUIRED.		
l	02 - Plough			
l	03 - Ox-Plough			
l	04 - Trailer			
l	98 - Other, (Specify)			
L				
l		LN LN LN LN	LINE III	LINE
801			NO LLL LLL LLL	NO HI HI HI
l			YEAR	MOA
$\vdash$				
802			LINE NO	LINE
l			YEAR L	MOALL LL LL
				нн
803			NO LINE	NO LINE
l		шшшш		
Ь—			YEAR L	MOALL LL LL
		L	LINE III	LINE
804			NO LL LL LL	NO H H H
l			YEAR	MOA
$\vdash$			* CD CD CD	
806			LINE	LINE
				l™m m m ml
l			YEAR LLLL LLLL	MOALLI LLI LLI
Co	des for Q.806-Acquisition	1 Status		
01	- Purchased			
02		ath of a natal family member		
03		ath of marital family member		
04	<ul> <li>Acquired due to mari</li> </ul>			
06 08	- Allocated/gift from ho			
08	<ul> <li>Allocated/gift from no</li> <li>Government program</li> </ul>			
08	- Government program	'		
96	- Other, specify			
98	- Don't Know			
ı				

MODULE 6A. LARGE AG	RICULTURAL EQUIPMEN			
808 If this [AGRICULTURAL EQUIPMENT] were to be sold, which member(s) of this household would be involved in the decision to gall?  LIST ALL ADULTS FROM THE HOUSEHOLD ROTSTER, IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD ROUTS (INTER WE'N ADDITION TO (INS) OF HOUSEHOLD WINDOWN TO (INS) OF HOUSEHOLD WINDERSON, DA ADDITIONAL SPACE FOR LISTING IDs. IF REQUIRED.	LIST ALL ADULTS FROM THE HOUSEHOLD ROSTER, IF SOMEONE	908 Do individuals sell out any [AGRi-CULTURAL EQUIPMENT] in or around this community?	808 Are you Informed regarding the value of recent [AGRI- CULTURAL EQUIPMENT] Sales transactions?	e10 If this [AGRICULTURAL EQUIPMENT] were to be <u>gold</u> out today, what would be the total price received, given the age and state of this equipment?  ESTMATE VALUE IN PHEIPPINE PERO. RECORD "98" IF PERFUSE TO ANSWER. RECORD "98" IF DON'T KNOW
		ENTER CODE	ENTER CODE	
		Ш		
	90 TO Q.810 90 TO Q.810	1	for Q.808  Informed of T  Not informed	

MODULE 6A. LARGE A	GRICULTURAL	. EQUIPMENT		
811 If this [AGRICULTURAL EQUIPMENT], were to be sold, which members) of this household would decide how the money is used?  LIST ALL ADULTS FROM THE HOUSEHOLD ROSTER. IF SOMEONE FROM OUTSDE OF THE HOUSEHOLD WOULD DECIDE, ENTER 199'M ADDITION TO ID(S) OF HOUSEHOLD MEMBERS) ADDITIONAL SPACE FOR LISTING Dx. IF REQUIRED.	OWNERS OF [EQUIPMENT] IN Q.603?	813 Are there any household member 18 years old and over that does not know about your ownership of this [AdRICULTURAL EQUIFMENT]?  1 - YEO 2 - NO,  ◆ GO TO NEXT ROW	814 Which household member 18 years old and over who does not know about your ownership of this [AGRICULTURAL EQUIPMENT]?	816 CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE:  1 - ALONE 2 - WITH ADULT FEMALE(S) PRESENT 3 - WITH ADULT STAKED SEX PRESENT 6 - WITH ADULTS MIXED SEX PRESENT 6 - WITH CHILDREN PRESENT 7 - WITH ADULTS MIXED SEX AND CHILDREN PRESENT 9 - WITH CHILDREN PRESENT 10 - WITH ADULTS MIXED SEX AND CHILDREN PRESENT
	ENTER CODE	ENTER CODE		(Reasons why interview was not administered with the respondent alone should be explained in the remarks)
			LN LN LN	REMARKS

MODUI	LE 6B. SMALL AGRICUL	TURAL EQUIPMENT		
EQUIP- MENT CODE	EQUIPMENT NAME	818 Do you or any member of your household own any [AGRICULTURAL EQUIP-	817 Which household member(s) own at least one of this [AGRICULTURAL EQUIPMENT]?	618 CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE.
		MENTJ exclusively or jointly with someone else, regardless of whether or how it is used?  READ ALL CATEGORIES  01 - YES 02 - NO, 00 TO NEXT ROW 88 - DON'T KNOW, - OD TO NEXT ROW	LIST ALL ADULT OWNERS FROM THE HOUSEHOLD ROSTER. IF OWNED BY ALL ADULT HOUSEHOLD MEMBERS THEN FIRST HE AD FALL HOUSEHOLD STREET HE ADULT HOUSEHOLD STREET HE PARCEL, ENTER CODE WITH ADULT HOUSEHOLD MEMBERS, ADULT OWNER THE PARCEL STREET ON ADDITIONAL SPACE FOR USTING IDA OF ADULT OWNERS, IF REQUIRED.  LIN LIN LIN LIN LIN	1 - ALONE 2 - WITH ADULT FEMALE(8) PRESENT 3 - WITH ADULT MALE(8) PRESENT 4 - WITH ADULTS MINED SEX PRESENT 5 - WITH CHLDREN PRESENT 6 - WITH ADULTS MINED SEX AND CHILDREN PRESENT (Reasons why interview was not administered with the respondent alone should be explained in the remarks)
808	HOE			REMARKS
807	AXE			
608	PANGA			
609	SLASHER			
610	WATERING CAN			
611	WHEEL BARROW			
612	PRUNING KNIFE			
613	PRUNING SAW			
614	CHAIN/HANDSAW			
616	SHELLER			
616	SPADE			
817	FORK HOE			
618	SPRAYER			
619	MILK CAN			
620	PAIL			
621	LANTERN			
822	CULTIVATOR			
623	WEEDER			
824	PLANTER			
626	OTHER, SPECIFY			

MOI	DULE 7. NON- AGRICULTURAL ENTERPRISES AND ENTERPRISE ASSETS	
Does	s any member of your household do the following with the main intention of earning income?	
701	own a non-agricultural manufacturing enterprise or provided a non-agricultural service from home or a household-owned shop, as a carwash owner, metal worker, mechanic, carpenter, tailor, barber, etc.?	
	1 - YES 2 - NO	
702	process and sell any agricultural by-products, including flour, starch, juice, beer , jam, oil, seed, bran, wine, etc.?	
	1 - YES 2 - NO	
703	own a trading enterprise at a fixed or mobile location on a street, at home, or in a market?	
	1 - YES 2 - NO	
704	offer any service or sold anything on a street or in a market, including firewood, home-made charcoal, curios, construction timber,, woodpoles, traditional medicine, mats, bricks, cane furniture, weave baskets, that	
	1 - YES 2 - NO	
705	own a professional office or offered professional services from home as a doctor, accountant, lawyer translator, private tutor, midwife, mason, etc.?	
	1 - YES 2 - NO	
706	drive a household-owned taxi or pick-up truck to provide transportation or moving services?	
	1 - YES 2 - NO	
707	own a drinking place (ex. bar) or eating place (ex. restaurant)?	
	1 - YES 2 - NO	
708	own any other non-agricultural enterprise not mentioned above, even if it is a small enterprise run from home or on a street?	
	1 - YES 2 - NO	
709	ENUMERATOR: IS THERE A '1' FOR ANY OF THE QUESTIONS 701 THROUGH 708?	
	1 - YES 2 - NO + GO TO Q.748	

MOD	ULE 7B. NON	-AGRICU	LTURAL EN	TERPRISE	E AND EN	ITERPRISE A	SSETS (CON	ITINUED)	
EN- TER- PRISE ID	710 NAME AND ADDRESS OF [ENTERPRISE]	711 LOCA- TION OF THE [ENTER- PRISE]	712 Please describ activity each er engaged in.		713 How many months per year is the [ENTER-PRISE] operational?	[ENTERPRISE]?			
				(Supervisor to double check code after Interview)	ENTER NUMBER IN COMPLE- TED MONTHS  IF LESS THAN A MONTH, ENTER '00' AND PUT CORRES- PONDING REMARKS	RECORD % OF OW	NERSHIP FOR EAC	H JOINT OWNER.	
E1		Ш				LINE NO. %	LINE NO. %	LINE NO. %	LINE NO. %
E2		Ш				LINE NO. %	LINE NO. %	LINE NO. %	LINE NO. %
E3						LINE NO. %	LINE NO. %	LINE NO. %	LINE NO. %
E4		Ш				LINE NO. %	LINE NO. %	LINE NO. %	LINE NO. %
E6		Ш				LINE NO. %	LINE NO. %	LINE NO. %	LINE NO. %
01 02 03 04 06 08 07	Codes for Q.711 - Location of the Enterprise  FINED PREMISES 11 - At home with no special work space 02 - At home with no special work space 03 - Business premises with fixed location independent from home 04 - Home or workplace of the client 06 - Construction site 09 - Market, bazaar stall, trade fair 07 - Street pavement or highway with fixed post  NO FIXED PREMISES 08 - Transport vehicle 09 - No fixed location (e.g. mobile, door to door/street without fixed post 08 - Other, specify								

MODULE 7B. NON-AGRICULTURAL ENTERPRISE AND ENTERPRISE ASSETS (CONTINUED)								
EN- TER- PRISE ID	716 What was the average number of hours per week that each owner spent managing or working on this [ENTERPRISE] over the last operational month?  ADD ADDITIONAL SPACE FOR LISTING OF IDs IF ADULT OWNERS, IF REQUIRED	718 Which household member(s) has the main responsibility for providing services and/or producing goods?	717 Which household member(s) has the main responsibility for managing day-to-day operations?					
	THE IDS IN Q8_714 AND 715 SHOULD CORRESPOND AND BE WRITTEN IN THE BAME ORDER  01 - NONE  02 - LESS THAN 20  03 - 20-39 HOURS  04 - 40 HOURS  06 - 41-59 HOURS  08 - 50 HRS AND MORE  08 - DON'T NNOW	LIST ALL ADULT HOUSEHOLD MEMBERS, ENTER CODE 99' IF NON-HOUSEHOLD OWNER OR ANNEED MANUEL HAST THE ANNEED MANUEL HAST THE FIRST THE THE THE THE 10' THE	'96' FOR DON'T KNOW, ADD					
E1	LINE NO NO.0F LINE NO NO.0F LINE NO NO.0F HOURS HOURS							
E2	LINE NO NO.0F HOURS LINE NO NO.0F HOURS LINE NO NO.0F HOURS							
E3	LINE NO NO.OF LINE NO NO.OF HOURS LINE NO NO.OF HOURS							
E4	LINE NO NO.0F LINE NO NO.0F LINE NO NO.0F HOURS HOURS							
E6	LINE NO NO.OF LINE NO NO.OF LINE NO NO.OF HOURS HOURS							
01 02 03 04 06 08 07 08 09	des for Q.719 - Mode of Acquisition  Founded  Purchased  Inherited after the death of a natal family member  Inherited after the death of a marital family member  Acquired due to marital lawlcustom  Allocatedight from household member  Allocatedight from household member  Government programs  Encroachment  Other, specify  Don't Know							

MODULE 7B. NON-AGRICULTURAL ENTERPRISE AND ENTERPRISE ASSETS (CONTINUED)								
ENTER PRISE ID	718 Which household member(s) has the main financial control, including the ability to sign loans, leases and contracts?  LIST ALL ADULT HOUSEHOLD MEMBERS ENTER CODE WY IF NON-HOUSEHOLD OWNER OR A HIED MANAGER HAS THE RESPONSIBILITY. ENTER CODE #F FOR DON'T NOW. ADD ADDITIOAL SPACE FOR USTING LOS OF ADULT COWNERS, IF	719 How was this [ENTERPRISE] acquired? ADD ADDITIONAL SPACE FOR LISTING OF IDs OF ADULT OWNERS, IF REQUIRED  IF >1 OWNER ALLOW >1 RESPONSE ADD ADDITIONAL SPACE FOR LISTING IDs OF ADULT OWNERS IF REQUIRED		720 ENUMERATOR: IS THE RESPONDENT RESPONDENT OWNERS OF THE COWNERS OF THE (ENTERPRISE) ACCORDING TO Q.714?	WAS THIS [ENTERPRISE]	722 What was the main source of funding used for purchasing or founding this [ENTER- PRISE]?		
	REQUIRED.	THE ID- IN QU.714 AND 719 SHOL CORRESPOND AND BE WRITTEN SAME ORDER		1- YES 2- NO + GO TO Q.740	1- YES 2- NO → GO TO Q.723	READ LIST		
E1		HOLINE NO.						
E2		HOLLINE NO.						
E3		HOLLINE NO.						
E4		HH LINE NO.						
E6		HOLINE NO.						
	des for Gs. 722 and 727-Mi Ownl-household Saving 22 - Friends/Relatives 03 - Private Money Lender 04 - Employees 05 - Commercia/Oevelopm 06 - Deposit Taking/Microfi 07 - Credit institution 08 - Trader/Ghop Keeper 08 - Seif Heip Group 10 - NGO 11 - Government 12 - Didn't expand enterpris 07 face unexpected exp 08 - Other, specify 08 - Don't Know	s  ent Bank ance institution  e make capital improvements	01 - Wit 02 - Wit 03 - Not 04 - Reg 96 - Oth 88 - Dor	Q.724 - Enterprise h Local Government h National Government h National Government Registered justration in process er, specify 14 Know uses to Respond	t			

MODULE 7B. NON-AGRICULTUR	RAL ENTERPRI	SE AND ENTER	RPRISE ASSET	rs (Continuei	D)
728 In what year was this [ENTERPRISE] acquired by the owner(s)? ADD ADDITIONAL SPACE FOR LISTING OF IDs OF ADULT OWNERS, IF REQUIRED.  IF>1 OWNER ALLOW>1 RESPONSE ADD ADDITIONAL SPACE FOR LISTING IDs OF	724 Is this [ENTER- PRISE] registered?	725 What type of records or accounts this [ENTERPRISE] maintained?	728 How many paid employees does this [ENTERPRISE] currently employ on a continuous basis, excluding the owner(s)?	727 During the past year, what was the primary source of funding used to finance expansion and capital improvements or to face unexpected expenses for this [ENTERPRISE]?	728 During the last twelve months, did the [ENTERPRISE] apply for loans or line(s) of credit?  1 - YES 2 - NO.
ADULT OWNERS IF REQUIRED  ENTER CODE "88" FOR DON'T KNOW					◆ GO TO Q.730
THE IDs IN QS.714 AND 723 SHOULD CORRESPOND AND BE WRITTEN IN THE SAME ORDER	ENTER CODE	ENTER CODE	ENTER NUMBER	DO NOT READ LIST	
HH LINE					
HH LINE					
HH LINE					
HH LINE					
HH LINE					
Codes for Q.725 - Reports of Enterprior 1 - No written account kept 2 - Informal record for personal use 3 - Simplified accounting format required for tax payment 4 - Detailed format account (Balance sheet and income state 88 - Don't Know 97 - Refuses to Respond Codes for Q.733 - Number of Employed 1 - Increased 22 - Decreased 23 - Remained the same 88 - Don't Know	Codes for Q.730 - Reason for not applying for a loan/credit o1 - No need for a loan enterprise, has sufficient capital o2 - Application procedures for loans or line of credit are complex o3 - Interest rates are not favourable o4 - Collisteral requirements for loans or line of credit are unattainable o5 - Size of loan and maturity are insufficient o8 - Old not think it would be approved o8 - Other, specify  Codes for Q.734 - Decired size of enterprise 1 - Enterprise is the size I prefer  \$\therefore \text{ QO TO Q.738}} 2 - I wanted to grow the enterprise				

MOD	ULE 7B. NON-AG	RICULTURAL	ENTERPRISE AND I	ENTERPRISE ASSET	S (CONTINU	ED)
TER-	728 Was the loan application accepted?  If RESPONDENT APPLIED FOR MORE THAN ONE LOAN THAN REFERENCE HANN REFERENCE HANN REFERENCE HANN REFERENCE HANN REFERENCE HANN REFERENCE R	780 What was the main reason your enterprise did not apply for a line of credit or a loan?		732 What was the approximate total monthly income earned from the [ENTERPHISE] after paying all expenses, including expenses, including any income paid to yourself and other owners (consider average over the last three operational months)?	remained the	784 Is the JENTER- PRISE] your desired size or did you wish to grow it and weren't able to?
	1 - YES, • GO TO Q.731 2 - NO, • GO TO Q.731	DO NOT READ LIST	ESTMATE VALUE IN PHILIPPINE PESO.	ESTIMATE VALUE IN PHILIPPINE PESO.	ENTER CODE	ENTER CODE
E1						
E2						
E3						
E4						
E6						
A B C D E F G	des for Q.735 - Faot  Did not make effor Lack of demand Lack of inputs Lack of finance Foor quality of sup and phone Lack of trained em Cost of hiring emp Legal regulations Foor quality roads	ts to grow it iply of electricity ployees	J - Lack of market K - High tax rates L - Lack of clear ow M - High crime rate N - Economic polic O - Corruption	inership of land		res

MOD	ULE 7B. NON-AG	GRICULTURAL ENTE	RPRISE AND ENTER	RPRISE ASSETS (CC	ONTINUED)
EN- TER- PRISE ID	786 What factors have constrained the business owner's ability to increase the size of the IENTERPRISE; to the desired size? SELECT UP TO THREE RESPONSES	788 Have you ever taken part in any course or training activity, whether formal or informal, on how to start an enterprise, such as training on how to develop a business plan, assess market opportunities, identity and access start-up capital, develop business network, etc.?	you advice on managing your enterprise. From whom do you regularly (once or more per month) receive managerial advice for managing your enterprise?	738 What is the main motivation for running/operating this [ENTERPRISE]? CHOOSE ONLY THE MAIN REASON	739 What factors would increase your happiness/satisfaction as an entrepreneur?
		SELECT UP TO THREE RESPONSES	SELECT UP TO THREE RESPONSES		SELECT UP TO THREE RESPONSES
	DO NOT READ LIST	DO NOT READ LIST	DO NOT READ LIST	DO NOT READ LIST	DO NOT READ LIST
		ENTER CODE	ENTER CODE	ENTER CODE	ENTER CODE
E1					
E2					
E3					
E4					
E6					
A B C D E X		a program emment	A - Spo B - Oth C - Frie D - A pu (bar X - Oth	Q.737- Receive manager uselpartner er family membersirelative nds ublic advising service (ki, lawyer, accountant) er, specify	

MODU	JLE 7B.NON-	-AGRICULTU	RAL ENTERPI	RISE AND EN	TERPRISE ASSETS (CO	NTINUED)
EN- TER- PRISE ID	740 Does this [ENTE] currently own an following assets	ny of the ?	741 How much would all of the (ENTERF were sold today (; total value per typ	PRISE ASSET] If It please estimate	742 If the [ENTERPRISE ASSETS] were to be sold today, which member(s) of this household would decide how the money Is used?	743 If this [ENTERPRISE] were to be sold, which member(s) of this household would be involved in the decision to sell?
	IF THE RESPONSE DON'T KNOW FOR EQUIPMENT AND FURNITURE AND MATERIALS. GO T  01 - YES 02 - NO 98 - DON'T IF NO OR DON BOTH + GO TO	R BOTH HINERY/ STOCKS O F TO Q745  T KNOW FT KNOW FOR	ESTIMATE IN PHELIPP RECORD 97 IF REF RECORD 98 IF DON	USE TO ANSWER.	HOUSEHOLD WOULD DECIDE, ENTER CODE '99' IN ADDITION TO	LIST ALL ADULTS FROM THE HOUSEHOLD ROSTER, IF SOMEONE FROM OUTSEED OF THE HOUSEHOLD HAS RIGHT TO SELL, ENTER CODE BY AN ADDITION TO 10(R) OF HOUSEHOLD MINISTERS, ADD ADDITIONAL SPACE FOR LISTING IDR. IF REQUIRED
	Equipment/ Machinery/ Furniture	Stooks of Materials	Equipment/ Machinery/ Furniture	Stooks of Materials		
E1						
E2						
E3						
E4						
E6						
01 02 03 04 06 08 07 08 08 10	To fill a need existing produ Because the c To make more To gain greate To fulfill a dre To supplemen Because it is t To make a po Because the c	seen in the marks actiservice owner identified a e money er flexibility, contram, realize a pas at the family incor the family enterpresitive contribution owner did not have ed the enterprise	a very profitable are rol of time ssion	ew product/service ea for enterprise ()  xpected munity st job, laid off/to fee	e or for an improvement in an low wages, cheap inputs, new for ed family	shion)

(Continuation)

MODULE 7B. NON-AGRICULTURAL ENTERPRISE AND ENTERPRISE ASSETS (CONTINUED)

EN- TER- PRISE ID	744 Which household member(s) would be involved in the decision to bequeath this [ENTERPRISE]?  LIST ALL ADULTS FROM THE HOUSEHOLD ROSTER IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD HAS RIGHT TO BEQUILATH, ENTER CODE 99' IN ADDITION TO 10(5) OF HOUSEHOLD MEMBER(S), ADD ADDITIONAL SPACE FOR LISTING IDs, IF REQUIRED	745 BUILDING TO THE OWNER OF THE OWNER	748 Are there any household members 18 years old and over do not know about your ownership of this [ENTERPRISE]?  1 - YES 2 - NO,  9 OTO NEXT ROW	747 Which household member 18 years old and over does not know about your ownership of this [ENTERPRUSE]?  LIST UP TO 3 FROM HOUSEHOLD ROSTER	7-48 CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE:  1 - ALONE 2 - WITH ADULT FEMALE(S) PRESENT 3 - WITH ADULT BMISED SEX PRESENT 6 - WITH ADULTE MISED SEX PRESENT 6 - WITH ADULTE MISED SEX AND CHILDREN PRESENT NOT CHILDREN PRESENT (Reasons why interview was not administered with the respondent alone should be explained in the remarks)					
E1					REMARKS					
E2										
E3										
E4										
E6										
A B C D	Codec for Q.738 - Factors that would Increase happiness/satisfaction  A - More money at the end of the month for me and my family  B - More support from my spouse/partner/family and community  C - Having more time to spend with my family  D - Having less problems complying with public regulations/spend less time to fill paperwork, get authorization and pay taxes  E - Feling less concerned about my personal security and the security of my assets  F - Having capable and motivated help (from my paid and unpaid employees)  G - Nothing, I am fully satisfied with my work as enterprise owner  X - Other, specify									

MOD	MODULE 8. OTHER REAL ESTATE											
801	801 Do you or any member of your household currently own any other real estate exclusively or jointly with											
	someone else, including other dwelling/buildings, flats, other real estate, such as stores, owned by											
	household enterprise, non-agricultural plots, either rural or urban?  01 - YES											
	02 - NO											
	98 - DON'T KNOW → GO TO Q.823											
	802	803 804 806 808 807										
CODE	List each piece of other real estate that someone in your household	Where is this [REAL ESTATE] located?	LOCATION AD	DRESS OF [RE	AL ESTATE	What is this [REAL ESTATE] used for?	Which non- agricutural enterprise ID is this [REAL	Who owns this [REAL ESTATE]?				
	OWNS.	EDENTIFY WHETHER LOCATION IS RURAL/URBAN	IF THE REAL ES' BARANGAY, WR INDICATED IN ID ON THE SPACE CITYMUNICIPAL	ENTIFICATION P PROVIDED FOR	ODES ARTICULARS' PROVINCE,	DO NOT READ LIST	ESTATE] used for?	LIST ALL ADULTS FROM THE HOUSEHOLD ROSTER: IF OWNED BY ALL ADULT HOUSEHOLD MEMBERS THEN FILL IN THE IB- OF ALL ADULT HOUSEHOLD MEMBERS: IF JOINTLY OWNED BY SOMEONE FROM OUTSIDE OF THE HOUSEHOLD, ENTER CODE '99'IN ADDITION TO EQS) OF HOUSEHOLD MEMBERS.				
	ENTER CODE	1 - URBAN 2 - RURAL ENTER CODE					ENTERPRISE ID CODE	ADD ADDITIONAL SPACE FOR LISTING EN OF OWNERS, IF REQUIRED.				
RE1			PROVINCE	CITY/MUN	BARANGAY							
RE2												
RE3												
RE4												
RE6												
REG												
Co	des for Q.802 - Real	State Ownerchip			Codes for Q.8	805 - Use of R	eal Estate					
	- Single/Detached H	louse			01 - Housel			◆ GO TO Q.807				
	- Duplex - Apartment/access	oria/			02 - Housel 03 - Rented	hold, Commen	cial Use	◆ QO TO Q.807				
63	condominium/town					(Specify)		GO TO Q.807      GO TO Q.807				
04	- Commercial/Indus	trial/agricultural			88 - Don't K			◆ GO TO Q.807				
05	building/house (e.) - Servants Quarter	g. store, etc)										
	- Tenement											
07	- Non-agricultural la - Other, specify	nd										
	_											

MOD	ULE 8. OTHER REAL ESTATE (CONTINUED	))		
CODE	808 In what year was the [REAL ESTATE] acquired by the owner(s)?  ADD ADDITIONAL SPACE FOR LISTING OF IDs OF ADULT OWNERS, IF RECEIVED.	808 How did the owner(s) of this (these) [REAL ESTATE] acquire It? Indicate the mode of acquisition (MOA)	Is there an ownership document for this [REAL ESTATE]?	811 Whose names are listed as owners on the ownership document for this [REAL ESTATE]?
	F 21 OWNER, ALLOW 2 RESPONSE, ADD ADDITIONAL SPACE FOR LISTING IN OF ADULT OWNERS, F REQUIRED. ENTER CODE "188" FOR DON'T KNOW YEAR	ADD ADDITIONAL SPACE FOR LISTING OF IDN OF ADULT OWNERS, IF REQUIRED. IF 21 OWNER, ALLOW 2 RESPONSE, ADD ADDITIONAL SPACE FOR LISTING IDN OF ADULT OWNERS, IF REQUIRED.		LIST ALL ADULTS FROM HOUSEHOLD ROSTER. IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLDIS LISTED AS OWNER, ENTER CODE "99" IN ADDITION TO DOS) OF HOUSEHOLD MEMBER'S.
	THE DIE IN GROT AND BOS SHOULD CORRESPOND AND BE WRITTEN IN THE SAME ORDER	THE ID: IN GRET AND RES SHOULD CORRESPOND AND BE WRITTEN IN THE SAME ORDER.	ENTER CODE	ADD ADDITIONAL SPACE FOR LISTING Ebs OF ADULT OWNERS, IF REQUIRED
RE1	LINE NO YEAR	NO		LN LN LN LN
RE2	YEAR YEAR	NOA		
RE3	YEAR YEAR	NO MOA		
RE4	YEAR YEAR	NO4 I		
RE6	YEAR YEAR	NO		
RE6	YEAR YEAR	NO MOA		
Co	des for Q.808-Acquisition Status	Codes for Q.810 -	Ownership of Do	oument
01 02 03 04 06 06 07 08 96	Inherited after the death of a natal family member inherited after the death of marital family member Acquired due to marital lawicustom Allocatedight from household member Allocatedight from non-household member Government program Encroachment Other, specify		e of Customary Over of Occupancy  Agreement  ifty)  © GO TO Q.81:	

MOD	OULE 8. OTHER REAL E	STATE (CONTI	NUED)		
CODE	819 if this [REAL ESTATE] were to be sold today, who would decide how the money is used?	820 ENUMERATOR: IS RESPONDENT THE OWNERSONE OF THE OWNERSONE OF [REAL ESTATE] IN Q807?	821 Are there any household members 18 years old and over that do not know about your ownership of this [REAL ESTATE]?	822 Which household member 18 years old and over who does not know about your ownership of this [REAL ESTATE]?	823 CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE.  1 - ALONE 2 - WITH ADULT FEMALE(S) PRESENT 3 - WITH ADULT MALE(S) PRESENT 4 - WITH ADULTS MIXED SEX PRESENT 5 - WITH CHLIDREN PRESENT 6 - WITH CAULTS MIXED SEX ND
	HOUSEHOLD ROSTER, IF SOMEONE FROM OUTSIDE OF THE HOUSEHOLD WOULD DECIDE, ENTER CODE '99' IN ADDITION TO ID(5) OF HOUSEHOLD MEMBER[5]. ADD ADDITIONAL SPACE FOR LISTING IDs, IF REQUIRED	1 - YES	1 - YES 2 - NO • GO TO NEXT ROW	LIST UP TO 3 FROM HOUSEHOLD ROSTER	CHILDREN PRESENT  (Reasons why interview was not administered with the respondent alone should be explained in the remarks)
RE1				LN LN LN	REMARKS:
RE2					
RE3					
RE4					
RE6					
RES					

							<del>-,</del>	
MODUL	LE 9. CONSUMER	DURABLES						
DURA-	DURABLE NAMES	901		902				903
BLE	DURABLE NAMES	Do you or any your househole		of at lea	are me est one	of this	owner(s	CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE.
CODE		[CONSUMER DI	JRABLE]	[CONSU				
		exclusively or j someone else						1 - ALONE
		someone else		LIST ALL				2 - WITH ADULT FEMALE(8) PRESENT
		READ ALL C	ATEGORIE8	BY ALL A			OWNED	3 - WITH ADULT MALE(S) PRESENT
		01 - YES		OF ALL A	STHEN	FILL IN 1	THE IDs	<ul> <li>4 - WITH ADULTS MIXED SEX PRESENT</li> <li>5 - WITH CHILDREN PRESENT</li> </ul>
		01 - YES 02 - NO		MEMBER	S. F JO	NTLY O	MNED BY	A - WITH ADD TO MIVED SEV AND
		1	NEXT ROW	SOMEON HOUSEH	E FROM OLD, EN	TER CO	E OF THE	
		98 - DON'T		ADDITION	N TO IDO	S) OF		(Reasons why Interview was not administered
		<b>⇒</b> go to i	NEXT ROW	ADDITION	VAL SPA	CE FOR	LISTING	with the respondent alone should be
				IDs OF A	DULT OV ID.	VNERS,		explained in the remarks)
				LN	LN	LN	LN	
901	Washing Machine	П		П	П	П	П	REMARKS
001	wasning machine	ш		ш	Ш	Ш	ш	
902	Gas Range/Stove			ΙП			$\Box$	
				ш	Ш	Ш	ш	1
903	Aircon			ΙП			П	
			=-	1	<u> </u>	<u> </u>	ቸ	
904	Electric Fan				$\parallel \parallel \parallel$	$\  \ \ $	$\  \  \ $	
			=-	1		$\equiv$	품	
906	DVD/Karaoke				$\parallel \parallel \parallel$	$\  \ \ $	$\ \cdot\ $	
							一	1
908	Refrigerator	lШ		ΙШ	Ш	Ш	Ш	
907	Radio		7		П	П	П	1
807	Radio			ш	Ш	Ш	<u>Ш</u>	
908	Television						$\Box$	
				<u> </u>	<u> </u>	<u>ш</u>	<u>ш</u>	1
909	Cell phone			Ш	Ш	Ш	Ш	
	Computer/Laptop/	$\vdash$	$\overline{}$		$\overline{\Box}$	$\overline{\Box}$	$\overline{\Box}$	1 ————
910	Netbook			Ш	Ш	Ш	Ш	
911	Bicycle			ΙП	$\Box$	П	П	]
		<del>                                     </del>	=-	H	牂	쀼	牂	-
912	Motorcycle/Tricycle	Ц		ΙШ	Ш	Ш	Ш	
912	Cari leanNan		$\neg$	П	$\overline{\Box}$	$\overline{\Box}$	$\overline{\Box}$	1
913	Car/Jeep/Van	_ Ц		Ш	Ш	Ш	Ш	]
914	Pick-up Truck				$\Box$	$\Box$	$\Box$	
	4	$\perp$		ш	Ш	Ш	Ш	]
915	Other, (Specify)		_					
			1	$  \Box \Box$			$\Box$	
		1 1		ıш	ш	ш	ш	

MODL	MODULE 10. FINANCIAL ASSETS											
1001	1001 Do you or any member of your household own any financial asset, exclusively or jointly with somenone else, including commercial bank accounts, microfinance accounts, informal savings program, equity (stock/shares), bonds, pension fund, life insurance or other types?											
	01 - YES 02 - NO											
ASSET NO.	1002 ENUMERATOR: LIST EACH FINANCIAL ASSET THAT SOMEONE IN YOUR HOUSEHOLD OWNS. READ LIST BELOW	1003 Whose name is on the [FINANCIAL ASSET]? LIST ALL ADULTS FROM THE HOUSEHOLD ROSTER. IF THE NAME OF SOMEONE FROM THE HOUSEHOLD IS ON THE ACCOUNT.	1004 What is the current value of the [FINANCIAL ASSET]?  ESTIMATE IN PHILIPPINE PESO. RECORD '97 IF	1005 ENUMERATOR: IS RESPONDENT THE OWNER/ONE OF THE OWNERS OF [FINANCIAL ASSET]LISTED IN Q.1003?	(IF CODE 1 IN Q.1005) Are there any household members 18 years old and over that do not know about your ownership	1007 Which household member 18 years old and over does not know about your ownership of this [FINANCIAL ASSET]?						
		ENTER VF. ADD ADDITIONAL SPACE FOR LISTING IDS OF ADULT OWNERS, IF REQUIRED	REFUSE TO ANSWER, RECORD '98' IF DON'T KNOW	CHECK IN ACCOR- DANCE TO Q.1003  1 - YES, 2 - NO,  GO TO NEXT ROW	of this [FINANCIAL ASSET]?  1 - YES, 2 - NO, GO TO NEXT ROW	LIST UP TO 3 FROM HOUSEHOLD ROSTER						
		UN UN UN UN				LN LN LN						
FA1						ШШШ						
FA2												
FA3					$\vdash \overline{\sqcap}$							
FA4				$\vdash \vdash$								
FA5				┝╫╴	┟∺╴							
FAG												
FA7				$\vdash$								
				$\vdash \vdash$								
FA8	FAB											
01 02 03 04 05 06	Code for Q.1002 - List of Financial Asset  01 - Commercial Bank Account 02 - Microfinance Account 03 - Informal Savings Program 04 - Equity (stocks/shares) 05 - Bonds 06 - Pension Fund 07 - Life insurance 96 - Other Financial Assets (Specify)											

													4	9									
MOD	ULE 10. FINANCIA	L AS	SE	TS	(CC	ON	TIN	UE	D)														
1008	Does any person or any	enten	prise	e ov	ve yo	ou o	ran	y m	em	ber	r of	you	ur	Т			Ī						Т
l	household any money?												_	_		1							
l	01 - YES												l										
l	02 - NO	<b>→</b> G	от	o Q.	1016	,							_	_		•							
l	97 - REFUSED	<b>⇒</b> G																	-				
l	88 - DON'T KNOW	<b>⇒</b> G	ОТ	O Q.	1016	5																	
l																							
⊢	1009		101			_	_	_	_		111	_	_	_	_	_	_	1012	1013	1014			_
L				_	mer	nbe	n(s)	oft	he				the	rer	mair	ilna		ENUMERATOR: 18	Are there any		house	hold	
0	Who was the money ler	it to?	hou	isel	hold					ar	nou	inti	to b	e n	ece	ved	1	RESPONDENT A SOLEJJOINT	household		er 18 y		ld
Α			1.	one	•							e lo est)		(pr	incl	pal •	٠	SOLEJOINT LENDER OF THE	members 18 years old and		er doe sbout v		
N	01 - Family and/or				HOLD					l''''	uer e	SL)						MONEY IN	over that do not		ship of		
N	household member 02 - Friend/Relative	r			NE FI					l								Q.1010?	know about your ownership of this	[MONE	Y]?		
U	03 - Employer		JOR	NTL	ENDE	RO	F TH	E		l									[MONEY]?				
м	04 - Client/Customer		HO	JSE	HOLD	ME	MBE	t.		l													
В	06 - Self Help Group				90' F										UPP 97 II								
E	96 - Other (Specify) 97 - Refused to Respon		ADD	AD	DITIO	NAL	SPA	CEF	OR	RE	FU	SE T	OA	NSV	MER						UP TO 3		
	88 - Don't Know				ts, F					RE	CO	RD'	96"	F D	ONT			1 - YES,	1 - YES,	ROS	SEHOLD TER		
																		2 - NO,	2 - NO,				
			ļ							l								GO TO NEXT	GO TO NEXT				
⊢	WRITTEN DESCRIPTION	CODE		N	Ш	•	LN	L	N.	Ļ	_	_	_	_	_	_	_	ROW	ROW	LN	LN	LN	<u>-</u>
LN1										IL										$ \square $	$\prod$	$\prod$	
LN2			Г	П	Π	T	Π	П	٦	Ī	T	Τ	П	П	T	Τ	1			П	$\overline{1}\overline{1}$	$\overline{\square}$	ī
LNS			F	Ħ	Ħ	Ϊ	Ħ	Ħ	Ť	Ī	Ť	T	П		T	T	İ	$\overline{\Box}$		市	誧	i	Ŧ
LN4			F	ᆸ	Ħ	ï	Ħ	H	f	F	Ė	Ė	П	П	Ħ	÷	1			╁	荒	荒	f
					<u> </u>	<u> </u>	屵	브	<u>+</u>	닏	<u>+</u>	÷		Ш	<u> </u>	<u>+</u>	1			┞╬	쓽	<u> </u>	믁
LN6			L	<u> </u>	Ц		Щ	Ц	<u>_</u>	L	<u></u>	<u></u>								<u>  Ц</u>	<u> </u>	Щ	_
LNB											I	I				$\perp$	]			$  \prod$	$] \square$		
1016																							
l	DE FOR ABILITY OF RESI	PONDE	NT:	TO	BEIN	ΠE	RVIE	WE	DA	LO	NE												
l	RESPONSE CODES:																						
١,	- ALONE					4	- w	πн	AD	uL.	181	MIX	FD	8E	X PE	ESE	ΕN	т					
	- WITH ADULT FEMALE	SPRES	EN	r			- w																
3	- WITH ADULT MALES P	RESE	VT			6	- W	ITH	AD	UL.	181	MIX	ED	8E	ΧA	ID C	н	LDREN PRESENT					
(Ri	easons why interview wa	s not	adm	in/s	tere	d w	th #	e re	SD.	on	den	d/s	ale	one	sh	buld							
	(Reasons why Interview was not administered with the respondent(s) alone should be explained in the remarks).																						
ا	REMARKS:																						
RE	MARKS:																						
ı																					_		
I																							
I										_	_						_					_	
I																							
I																						_	
l																							

MOD	ULE 11. LIABILITIES										
1101	Do you or any member of your house	ehold owe money to anyone	e or any institution?		]						
l	02 - NO										
l	97 - REFUSED										
· .	1102 1103 1104 1105 1106 What was the main ENUMERATOR: (IF Which household What is the remaining										
L O	Who was the money borrowed from?	purpose for seeking	CODE 1 IN Q.1103) IF	Which household member(s) borrowed the							
A N		this [loan]?	THE LOAN WAS DRAWN FOR A NON-	[money]?	the loan (principal + Interest)?						
l "	01 - Another Household Member		AGRICULTURAL ENTERPRISE, ENTER								
N	02 - Friends/Relatives		THE ENTERPRISE ID FROM MODULE 7								
M	03 - Private Money Lender 04 - Employer		THOM MODULE !	LIST ALL ADULTS FROM THE HOUSEHOLD BOSTER, IF THE							
B E	05 - Commercial/			MONEY BORROWED JOINTLY WITH SOMEONE FROM	ESTIMATE IN PHILIPPINE PESO. RECORD '97' IF						
R	Development Bank 06 - Microfinance	DO NOT READ LIST		OUTSIDE OF THE	REFUSE TO ANSWER, RECORD '96' IF DON'T						
l	Institution 07 - Cooperative Credit Societies			ADDITION TO ID(S) OF HOUSEHOLD MEMBER(S).	KNOW						
l	08 - Trader/Shop Keeper			ADD ADDITIONAL SPACE FOR LISTING IDe, IF REQUIRED.							
l	09 - Self Help Group 10 - NGO										
l	11 - Government										
l	12 - Pawnshop 96 - Other (Specify)	ENTER CODE	ENTER ENTERPRISE ID								
	WRITTEN DESCRIPTION CO	DE		LN LN LN LN							
LN1											
LN2											
LN3											
LN4											
	Codes for Q.1103 - Main Purpose to	•									
l											
l '	<ul> <li>Purchase inputs/working capital agricultural enterprise</li> </ul>	for non-									
	FOR HOUSEHOLD OR AGRICULTUR	PAL ENTERPRISE									
	02 - Buy Land 03 - Buy Livestock										
	04 - Buy Agricultural Tools and Imple	ements • go to g	L1106								
	05 - Buy Agricultural Inputs 06 - Buying House/Pay for Building I	ooto g √aterials/ ooto g									
ı	Repair renovation of houses										
	07 - Buy Consumption Goods and Services										
	09 - To Pay Health Expenses										
	10 - To Pay for Ceremonial Expenses  ⇒ 90 To 0.1106  96 - Other (Specify)  → 90 To 0.1106										
1	98 - Don't Know + GO TO Q.1106										

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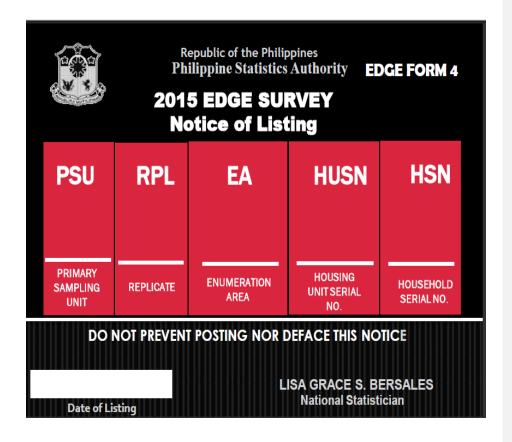
MOD	ULE 11. LIABILΠ	TES		
L	1107 ENUMERATOR: IS RESPONDENT A	1108 Are there any	1109 Which household member	1110 CODE FOR ABILITY OF RESPONDENT TO BE
A	SOLEJOINT BORROWER OF THIS	household members 18 years old and over that do not	above the 18 years old and over does not know about your ownership of this	INTERVIEWED ALONE.
N	MONEY IN Q.1105?	know about your ownership of this	[LOAN]?	
N O		[LOAN]?		1 - ALONE
				2 - WITH ADULT FEMALE(8) PRESENT 3 - WITH ADULT MALE(8) PRESENT
				4 - WITH ADULTS MIXED SEX PRESENT 6 - WITH CHILDREN PRESENT
	1 - YES,	1 - YES,	LIST UP TO 3 FROM	<ul> <li>WITH ADULTS MIXED SEX AND CHILDREN PRESENT</li> </ul>
	2 - NO, → GO TO NEXT	2 - NO,	HOUSEHOLD ROSTER	
	ROW	ROW		(Reasons why interview was not administered with
			LN LN LN	the respondent alone should be explained in the remarks)
LN1				REMARKS:
LN2				
LN3				
LN4				
			_	
l				

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V A L U A	V A L U	1201 Do you or any member of your household own any [VALLABLE] exclusively or jointly with someone else?	1202 Who in the household owns at least one of this [VALUABLE]?	1203  CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE  RESPONSE CODES:
B L E C O D E	B L E N A M E	READ ALL CATEGORIES  01 - YES 02 - NO, - GO TO NEXT ROW 88 - DON'T KNOW - GO TO NEXT ROW	LIST ALL ADULTS FROM THE HOUSEHOLD ROSTER. IF OWNED BY ALL ADULT HOUSEHOLD WEMBERS THEN FLUS THE ID OF ALL ADULT HOUSEHOLD SPACE FOR LISTING IDs OF ADULT OWNERS, IF REQUIRED	1 - ALONE 2 - WITH ADULT FEMALES PRESENT 3 - WITH ADULT MALES PRESENT 4 - WITH ADULTS MIXED SEX PRESENT 5 - WITH CHILDREN PRESENT 6 - WITH ADULTS MIXED SEX AND CHILDREN PRESENT (Reasons why interview was not administered with the respondent(s) alone should be explained in the remarks).  REMARKS:
101	JEWELRY		LN LN LN LN	
102	SEMI-PRECIOUS AND PRECIOUS METALS			
103	SEMI-PRECIOUS AND PRECIOUS STONES			
104	PAINTING8			
106	OTHERS, SPECIFY			
-		•	•	

MOE	OULE 12. VALUABLE	s		
V A L U A B L E C O D E	V L U A B L E N A M	1201 Do you or any member of your household own any [VALUABLE] exclusively or jointly with someone else?  READ ALL CATEGORIES 01 - YES 02 - NO, - GO TO NEXT ROW - GO TO NEXT ROW - GO TO NEXT ROW	1202 Who in the household owns at least one of this  [VALUABLE]?  LIST ALL ADULTS FROM THE  HOUSEHOLD ROSTER IF OWNED  SY ALL ADULT HOUSEHOLD  MEMBERS THEN FILL IN THE ID  OF ALL ADULT THE ID  OF ALL ADULT THE ID  OF ALL ADULT TO  MEMBERS THEN FILL IN THE ID  OF ALL ADULT TO  ADULT OWNERS, IF REQUIRED	1203  CODE FOR ABILITY OF RESPONDENT TO BE INTERVIEWED ALONE  RESPONSE CODES:  1 - ALONE 2 - WITH ADULT FEMALES PRESENT 3 - WITH ADULTS MIXED SEX PRESENT 5 - WITH ADULTS MIXED SEX AND CHILDREN PRESENT 6 - WITH ADULTS MIXED SEX AND CHILDREN PRESENT (Reasons why Interview was not administered with the respondent(s) alone should be explained in the remarks).  REMARKS:
101	JEWELRY  SEMI-PRECIOUS AND PRECIOUS METALS  SEMI-PRECIOUS AND PRECIOUS STONES			
104	PAINTINGS OTHERS, SPECIFY			

#### **ANNEX 4 - Administrative Forms and Other Survey Instruments**



# (Continuation) TRANSMITTAL SLIP

# Republic of the Philippines PHILIPPINE STATISTICS AUTHORITY

2015 Evidence and Data for Gender Equality

TO	:

EDGE Form 5

DESCRIPTION OF MATERIALS	UNIT	QTY SENT	QTY RECEIVED	REMARKS
		SEIVI	RECEIVED	

I hereby transmit the materials as indicated above .	I hereby acknowledged the materials indicated above
BENEDICTA A. YABUT Transmitting Officer	Receiving Officer
Chief, DHSD Designation	Designation Designation
Date:	Date:

CITY/MUN :_ Barangay:_			2015 Interviewe	EDGE SURV R's assignm						Sheet of Sh
Name of intervi	ewer:									
	HOUSEHOLD			HOU	JSEHOLD INTER	VIEW	INDI	VIDUAL INTE	RVIEW	
HCN	SERIAL NO. (HSN)	ADDRESS	NAME OF HOUSEHOLD HEAD	FINAL RESULT	NUMBER OF ERS	DATE SUBMITTED	LINE NO.	FINAL RESULT	DATE Submitted	REMARKS
1	2	3	4	5	6	7	8	9	10	11
CODES FOR C	OLUMNS 5						CODES FOR	COLUMNS 9		
1 COMPLETO 2 REFUSAL 3 NO HOUSE			8 HOUSING UNIT NOT FOUND 9 CRITICAL AREA, FLOODED AREA				1 COMPLE 2 NOT AT	HOME		ENT INCAPACITATED
4 ENTIRE HO 5 VACANT	DUSEHOLD ABS	SENT FOR EXTENDED PERIOD OF TIME	IN OTHERS SPECIFY				3 POSTPO 4 REFUSE		7 OCW/OFW 8 OTHER_	
6 HOUSING 7 ADDRESS		HED, DESTROYED BY FIRE, TYPHOON, ETC IG UNIT								

EDGE FORM 8	2015 EDGE SURVEY SUPERVISOR'S PROGRESS REPORT	Sheet of Sheet
PROVINCE :		
CITY/MUN :		
BARANGAY:		
EA:		
Name of Supervisor:		

			INTERVIEWER'S NAME	HOUSEHOLD QUESTIONNAIRE			INDIVID	UAL QUES	TIONNAIRE	
HCN	NAME OF HOUSEHOLD HEAD	ADDRESS	AND DATE ASSIGNED	FINAL RESULT	NUMBER OF 18 YEARS OLD AND OVER	DATE SUBMITTED	LINE NO.	FINAL RESULT	DATE Submitted	REMARKS
1	2	3	4	5	6	7	9	10	11	12
CODES FOR (	COLUMNS 5						CODES FO	R COLUMN	IS 10	
1 COMPLE	TED INTERVIEW						1 COMPL	.ETED	5 PARTLY CO	OMPLETED
2 REFUSA	L						2 NOT AT	HOME	6 RESPONDE	NT INCAPACITATED
3 TEMPOR								ONED	7 OCW/OFW	
4 VACANT	4 VACANT							ED	8 OTHER	
	5 HOUSING UNIT DEMOLISHED, DESTROYED BY FIRE, TYPHOON, ETC								(	SPECIFY)
6 OTHERS										
/ CRITICAL	. AREA, FLOODED AREA									

EDGI	E FORM 10	(	Conti	nuatio	on)	Sheet ofSheet
		I				
CITY/ BAR/ EA:	/INCE:					
	USUAL RESIDENTS	RELATIONSHIP TO HEAD OF HOUSEHOLD	SEX	AGE	ндс	
LIN E NO.	Please give me the name of the household members who usually eat and sleep in your	What is the relationship of	Is (NAME) male or	How old is (NAME) as of	What is the highest grade	REMARKS

	USUAL RESIDENTS	RELATIONSHIP TO HEAD OF HOUSEHOLD	SEX	AGE	ндс	
LIN E NO.	Please give me the name of the household members who usually eat and sleep in your housing unit starting with the head of the household	What is the relationship of (NAME) to the head of the household?	Is (NAME) male or female 1=M 2=F	How old is (NAME) as of his/her last birthday?	What is the highest grade completed by (NAME)?	REMARKS
1	2	3	4	5	6	7
01						
02						
03						
04						
05						
06						
07						
08						
09						
10						
11						
12						
01 02 03 04 05 06 07 08 09 10 11 SECC 310 320 330	ES FOR COLUMN 3 - Relationship (To Head Of Household)  = HEAD  = WIFE/SPOUSE = SON/DAUGHTER = BROTHER/SISTER = SON-IN-LAW/DAUGHTER-IN-LAW = GRANDSON/GRANDDAUGHTER = FATHER/MOTHER = THER RELATIVE = BOANDER = DOMESTIC HELPER = NON-RELATIVE  DOMARY = 1 <sup>ST</sup> YEAR = 2 <sup>NO</sup> YEAR = 3 <sup>NO</sup> YEAR		ADE COMPERY R ARATORY  1 1 2 2 3 4 5 5 6 6 7	PLETED	1 YES 2 .When did he 3. What are the a) b) c)	erviewed by our Field Interviewer (FI)?  2 NO  /she visit your household? e questions she asked?  dult 18 years old and over:
		ECONDARY				
350	(HS GRAD) 420	= POST SECON = POST SECON = POST SECON	IDARY YEA	AR 2	5. Number of E	R/s parcel:
820 830 840 850	1 = 1 <sup>ST</sup> YEAR 1 = 2 <sup>ND</sup> YEAR 1 = 3 <sup>RD</sup> YEAR <b>780</b>	= DON'T KNOW = POST BACCA	ı		PECIFY	
IF	COLLEGE GRADUATE, SPECIFY					

EDGE Form 10

# Republic of the Philippines PHILIPPINE STATISTICS AUTHORITY Quezon City

# 2015 EVALUATION ON DATA FOR GENDER EQUALITY (EDGE) SURVEY FOLIO COVER

PROVINCE :	
CITY/MUNICIPALITY	BARANGAY EA
NO. OF EDGE FORM 2	NO. OF EDGE FORM 3
ACTIVITY DATE STAR	RTED DATE FINISHED SIGNATURE OVER PRINTED NAME
A. MANUAL PROCESSING	
Receipt and Control	
Folioing	
Completeness Checking (EDGE Form 1, EDGE FORMS 2 & 3)	
Editing and Coding	
General Review by Processor	
Final Review of the manually processed EDGE questionnaire	
B. MACHINE PROCESSING	
Data Entry	
Key Verification	
Completeness Check	
Machine Edit	

## **ANNEX 5 – Timetable**

ACTIVITY	TIME	TABLE		
ACTIVITY	Beginning	Ending		
A. Preparatoy Phase				
Establishment of country teams/institutional arrangements/partnerships	July 8, 2014	September 17, 2014		
2. Determination of sampling design and sample size to optimize the funding available from ADB following standard costs of data collection followed in statistical surveys	September 17, 2014	April, 2015		
3. Preparation of field operation plans, personnel requirements, budget estimates, action plan and timelines	January 5, 2015	April, 2015		
4. Finalization of MOA between ADB and PSA	February 2, 2015	May, 2015		
B. Preparation of Questionnaire		<u> </u>		
Collection of contextual information about ownership of assets and entrepreneurship including acts/rules and government schemes regarding asset ownership and entrepreneurship by individuals and any specific guidelines for females	December 2014	April, 2015		
2. Review of standard technical methodological guidelines prepared under EDGE project with the purpose to adapt them to develop country-specific methodology for determining the assets and data items to be covered by in the country context.	December 2014	April, 2015		
3. Signing of Memorandum of Agreement (MOA)	May 2015	May, 2015		
Preparation of field operation plans, estimates of mandays and personnel requirements, budget estimates, MOA among agencies	January 5, 2015	April, 2015		
5. Preparation and finalization of EDGE Questionnaires and Manuals	January 5, 2015	April 30, 2015		
6. Tagalog Translation of Questionnaires	April 27, 2015	April 30, 2015		
7. Pre-Test 1 (Urban)	May 14, 2015	May 15, 2015		
8. Pretest 2 - Tagalog Dialect (Urban & Rural)	June 9, 2015	June 11, 2015		
Finalization of manuals, questionnaires and other survey instruments	June 15, 2015	June 30, 2015		

C. Conduct of Pilot Survey		
Generation of Primary Sampling Units (PSUs)	May 25, 2015	May 30, 2015
Listing of Households (including training of Listers)	June 6, 2015	June 30, 2015
Selection and Generation of Sample Households	July 13, 2015	July18, 2015
4. Printing of Questionnaires and Manuals	July 1, 2015	July 18, 2015
5. Allocation and shipment of survey materials to PO	August 17, 2015	August 29, 2015
6. Training (4 days)		
a. Task Force Training (to be attended by selected CO Staff, RD, Regional Statistician, PSO, Provincial Statistician, and DSOs/SCOs)	September 1, 2015	September 4, 2015
b. Second level (hired Team Supervisors and Field Interviewers who will be trained by the selected CO & FO personnel)	September 7, 2015	September 10, 2015
7. Enumeration (24 days) including Saturdays	September 14, 2015	October 10, 2015
8. Field Supervision	September 14, 2015	October 10, 2015
D. Data processing		
Data processing and submission of Questionnaires	October 10, 2015	October 31, 2015
a. Training on Manual Processing	October 10, 2015	October 13, 2015
b. Manual Processing & Data Entry	October 14, 2015	October 31, 2015
c. Preparation of data entry specification	June 30, 2015	October 31, 2015
d. Development of Data Processing Systems (Data Processing and Computer Programs)	June 30, 2015	November 21, 2015
e. Development of Table Specifications	June 30, 2015	December 12, 2015
f. Machine Processing at the CO	October 19, 2015	November 28, 2015
E. Data Analysis		
Generation of Preliminary Tables	December 1, 2015	December 31, 2015
2. Generation of Final Tables and Preparation of Public Use File (PUF)	January 4, 2016	January 15, 2016
3. Generation of Standard Error (SEs)	January 15, 2016	January 30, 2016
4. Preparation of Press Release	January 4, 2016	January 15, 2016
F. Preparation of Final Report	January 15, 2016	February 22, 2016
G. Documentation Report	February 24, 2015	February 27, 2016
H. Dissemination Forum	February 23, 2016	February 23, 2016

## ANNEX 6 - CROP CODES

Comment [LP7]: Customise accordingly

001	MPORARY CROPS Alogbati	029	Corn, white	057	Lettuce
002	Ampalaya	030	Compea	058	Lemon grass
002	(including leaf)	030	Cowpea	030	Lemon grass
003	Arrowroot	031	Cowpea tops	059	Likway
004	Asparagus	032	Crops for seed and	060	Lima beans
•••	Nopuraguo	002	seedling		Elina boario
005	Batao	033	Cucumber (pipino)	061	Lumbia
006	Bawingsulasi	034	Dawa (common millet)	062	Lupo
007	Bariw	035	Dragon fruit	063	Mayana
800	Beans, dry	036	Dulaw/kalawag	064	Mongo, dry and sprout
009	Beets	037	Eggplant (talong)	065	Mushroom
010	Black beans	038	Flamingia (apa-apa)	066	Mustard
011	Blue grass	039	Forage grass (sacate, alfalfa)	067	Napier grass
012	Broccoli	040	Gabi leaves	068	Ngalog
013	Cabbage (repolyo)	041	Gabi tubers	069	Okra
014	Camote (sweet potato)	042	Garbanzos, dry	070	Onion bulbs (sibuyas)
015	Camote tops (talbosngkamote)	043	Garlic (bawang)	071	Onion leaves/Spring onion
016	Cantaloupes, honey dew and other melon varieties	044	Garlic shoot, harvested green	072	Onion shoot
017	Carabao grass	045	Ginger (luya)	073	Oregano
018	Carrot	046	Ginseng	074	Other cereals
019	Cassava (kamotengkahoy)	047	Golden melon	075	Other forage grass
020	Castorbeans (tangantangan)	048	Gotocola	076	Other fruit-bearing crops
021	Cauliflower	049	Green cornstalk	077	Other fruit-bearing vegetables
022	Celery (kinchai)	050	Guinea grass	078	Other Industrial crops
023	Chayote	051	Ikmo/Boyo	079	Other leafy vegetables
024	Chick pea	052	Kadios	080	Other leguminous plants
025	Chili (labuyo)	053	Kangkong	081	Other medicinal plants
026	Common beans (habitchuelas)	054	Kentucky beans	082	Other spices
027	Common gourd (upo)	055	Kidney beans	083	Other oilseed crops
028	Corn, green	056	Leeks	084	Other temporary crops not reported elsewhere

## LIST OF CROPS (Continuation)

I TF	MPORARY CROPS			II PF	RMANENT CROPS
085		116	Siguidillas, harvested	201	Abaca
065	Other tubers, roots and bulbs	116	•	201	Abaca
086	Other varieties of	117	green Sorghum	202	Abiu
000	tobacco		Sorgitum	202	Abiu
087	Paco/fern	118	Soybeans	203	Achuete
088	Palay (Rice)	119	Spinach	204	Alucon/bungon
089	Pandan	120	Squash (kalabasa)	205	Anonas
090	Pansit-pansitan	121	Stigvia grass	206	Apatot
091	Pao (galiang)	122	Strawberry	207	Ariwat
092	Papait (bitter leaf)	123	String beans,	208	Atis (sugar apple)
	.,		harvested green		(** 5** -177 - 7
			(sitao)		
093	Parsley	124	Sugarcane	209	Avocado
094	Passion fruit	125	Sugodsugod	210	Bago leaves
095	Patane, dry	126	Sweet peas,	211	Balimbing (Starfruit)
	•		harvested green		
			(sitsaro)		
096	Patola	127	Talinum	212	Bamboo (kawayan)
097	Peanuts	128	Tambo	213	Bamboo shoots
098	Peas, dry (gisantes)	129	Tiger grass/lasa	214	Banaba
099	Pechay (baguio)	130	Tikog	215	Banana
100	Pechay (native)	131	Tobacco, native	216	Batwan/Batuan
101	Pepper, small green	132	Tobacco, Virginia	217	Betel Nut
102	Pepper, sweet	133	Tomato (kamatis)	218	Black pepper
103	Pigeon pea (kadios)	134	Tugi (tugue)	219	Breadfruit/Rimas
104	Pineapple	135	Turnip (sinkamas)	210	Bugnay/Bignay
105	Potato (patatas)	136	Ubi	211	Cacao
106	Radish (labanos)	137	Watercress	212	Calumpit
107	Red beans	138	Water lily	213	Camansi
108	Rensonii	139	Water melon	214	Canistel/Tiesa
109	Romblon	140	Wax gourd (kondol)	215	Cashew
110	Rye	141	Winged beans	216	Chico
111	Sabidokong	142	Wonder beans	217	Coconut
112	Saluyot	143	Yakon	218	Coffee arabica
113	Sangig	144	Yam beans	219	Coffee excelsia
114	Samsampling	145	Yerba/Herbabuena	220	Coffee liberica
	(sasamping)				
115	Sesame	146	Zucchini	221	Coffee robusta

## LIST OF CROPS (Continuation)

	RMANENT CROPS				
222	Coffee, other varieties	249	Lipote	276	Palm tree
223	Cotton	250	Lime (dayap)	278	Pangi
224	Duhat	251	Longan	279	Papaya
225	Durian	252	Loquat	280	Pears
226	Falcata	253	Lumbang	281	Persimmon
227	Gemilina	254	Mabolo	282	Pili
228	Granada	255	Makopa/Tambis/Yambo	283	Piña
229	Grapes (ubas)	256	Maguey	284	Pomelo (suha)
230	Guava (bayabas)	257	Malunggay	285	Rambutan
231	Guyabano	258	Mandarin (dalanghita)	286	Ramie
232	Hibi	259	Mango	287	Rattan
233	lba	260	Mangosteen	288	Rimas
234	lpil-ipil	261	Manzanita/Aratelis/ Datiles	289	Rubber
235	Jackfruit	262	Marang	290	Salago
236	Jathropa (Tuba-tuba)	263	Molave	291	Sambong (Halibhon)
237	Kalamansi	264	Mulberry	292	Santol
238	Kamachili	265	Narra	293	Serial/Sarale
239	Kamias	266	Nipa	294	Siniguelas
240	Kapok	267	Oil palm	295	Sirialis
241	Karamay	268	Orange (dalandan/kahel)	296	Sisal
242	Katuray	269	Other crops for fiber production	297	Starapple (caimito)
243	Kenaf	270	Other edible nuts	298	Tabon-tabon
244	Kulibangbang	271	Other citrus fruits	299	Tamarind (Sampalok)
245	Lagundi	272	Other fruits	300	Tea
246	Lanzones	273	Other industrial permanent crops not elsewhere classified	301	Yantok
247	Laurel	274	Other medicinal plants	302	Zapote
248	Lemon	275	Other spices		•
248 9 10			Other spices Improved pastures	930	Fallow
248	Lemon	275	Other spices		•

## ANNEX 7 – Agricultural Equipment (Large or Small)

#### LARGE AGRICULTURAL EQUIPMENT



TRACTOR



RICE PLANTER



CORN PLANTER



PLOUGH



HAND TRACTOR



CORN SHELLER



RICE SHELLER



PLOUGH

#### SMALL AGRICULTURAL EQUIPMENT







SPRAYER



MILK CAN







SLASHER (KARIT)

HOE (ASAROL)

PANGA

# ANNEX 8- INTERNATIONAL STANDARD INDUSTRIAL CLASSIFICATION (ISIC) Rev 4

Comment [LP8]: Retain codes

#### A Agriculture, forestry and fishing

# Division 01 Crop and animal production, hunting and related service activities 011 Growing of non-perennial crops

- 0111 Growing of cereals (except rice), leguminous crops and oil seeds
- 0112 Growing of rice
- 0113 Growing of vegetables and melons, roots and tubers
- 0114 Growing of sugar cane
- 0115 Growing of tobacco
- 0116 Growing of fibre crops
- 0119 Growing of other non-perennial crops

### 012 Growing of perennial crops

- 0121 Growing of grapes
- 0122 Growing of tropical and subtropical fruits
- 0123 Growing of citrus fruits
- 0124 Growing of pome fruits and stone fruits
- 0125 Growing of other tree and bush fruits and nuts
- 0126 Growing of oleaginous fruits
- 0127 Growing of beverage crops
- 0128 Growing of spices, aromatic, drug and pharmaceutical crops
- 0129 Growing of other perennial crops

#### 013 0130 Plant propagation

#### 014 Animal production

- 0141 Raising of cattle and buffaloes
- 0142 Raising of horses and other equines
- 0143 Raising of camels and camelids
- 0144 Raising of sheep and goats
- 0145 Raising of swine/pigs
- 0146 Raising of poultry
- 0149 Raising of other animals

#### 015 0150 Mixed farming

#### 016 Support activities to agriculture and post-harvest crop activities

- 0161 Support activities for crop production
- 0162 Support activities for animal production
- 0163 Post-harvest crop activities
- 0164 Seed processing for propagation

#### 017 0170 Hunting, trapping and related service activities

#### **Division 02 Forestry and logging**

- 0210 Silviculture and other forestry activities
- 0220 Logging
- 0230 Gathering of non-wood forest products

#### 0240 Support services to forestry

#### Division 03 Fishing and aquaculture

031 Fishing

0311 Marine fishing

0312 Freshwater fishing

032 Aquaculture

0321 Marine aquaculture

0322 Freshwater aquaculture

#### **B** Mining and quarrying

0510 Mining of hard coal

0520 Mining of lignite

0610 Extraction of crude petroleum

0620 Extraction of natural gas

#### 072 Mining of non-ferrous metal ores

0721 Mining of uranium and thorium ores

0729 Mining of other non-ferrous metal ores

0810 Quarrying of stone, sand and clay

#### 089 Mining and quarrying n.e.c.

0891 Mining of chemical and fertilizer minerals

0892 Extraction of peat

0893 Extraction of salt

0899 Other mining and quarrying n.e.c.

0910 Support activities for petroleum and natural gas extraction

0990 Support activities for other mining and quarrying

#### **C** Manufacturing

#### **Division 10 Manufacture of food products**

1010 Processing and preserving of meat

1020 Processing and preserving of fish, crustaceans and mollusks

1030 Processing and preserving of fruit and vegetables

1040 Manufacture of vegetable and animal oils and fats

1050 Manufacture of dairy products

#### 106 Manufacture of grain mill products, starches and starch products

1061 Manufacture of grain mill products

1062 Manufacture of starches and starch products

#### 107 Manufacture of other food products

1071 Manufacture of bakery products

1072 Manufacture of sugar

1073 Manufacture of cocoa, chocolate and sugar confectionery

1074 Manufacture of macaroni, noodles, couscous and similar farinaceous products

1075 Manufacture of prepared meals and dishes

1079 Manufacture of other food products n.e.c.

### 1080 Manufacture of prepared animal feeds

#### **Division 11 Manufacture of beverages**

- 1101 Distilling, rectifying and blending of spirits
- 1102 Manufacture of wines
- 1103 Manufacture of malt liquors and malt
- 1104 Manufacture of soft drinks; production of mineral waters and other bottled waters

#### **Division 12 Manufacture of tobacco products**

1200 Manufacture of tobacco products

#### **Division 13 Manufacture of textiles**

#### 131 Spinning, weaving and finishing of textiles

- 1311 Preparation and spinning of textile fibres
- 1312 Weaving of textiles
- 1313 Finishing of textiles

#### 139 Manufacture of other textiles

- 1391 Manufacture of knitted and crocheted fabrics
- 1392 Manufacture of made-up textile articles, except apparel
- 1393 Manufacture of carpets and rugs
- 1394 Manufacture of cordage, rope, twine and netting
- 1399 Manufacture of other textiles n.e.c.

#### **Division 14 Manufacture of wearing apparel**

- 1410 Manufacture of wearing apparel, except fur apparel
- 1420 Manufacture of articles of fur
- 1430 Manufacture of knitted and crocheted apparel

# 151 Tanning and dressing of leather; manufacture of luggage, handbags, saddlery andharness; dressing and dyeing of fur

- 1511 Tanning and dressing of leather; dressing and dyeing of fur
- 1512 Manufacture of luggage, handbags and the like, saddler and harness

#### 152 1520 Manufacture of footwear

# Division 16 Manufacture of wood and of products of wood and cork, except furniture; manufacture of articles of straw and plaiting materials

1610 Sawmilling and planning of wood

#### 162 Manufacture of products of wood, cork, straw and plaiting materials

- 1621 Manufacture of veneer sheets and wood-based panels
- 1622 Manufacture of builders' carpentry and joinery
- 1623 Manufacture of wooden containers
- 1629 Manufacture of other products of wood; manufacture of articles of cork, straw and plating materials

#### Division 17 Manufacture of paper and paper products

- 1701 Manufacture of pulp, paper and paperboard
- 1702 Manufacture of corrugated paper and paperboard and of containers of paper and

	pa	pe	rboar	ď							
1709	Mar	iufa	actur	e of oth	ner art	ticles o	of p	aper ar	nd pa	aperb	oard
							•	•		•	

181	Printing and service activities related to printing
1811	Printing
1812	Service activities related to printing
1820	Reproduction of recorded media
1910	Manufacture of coke oven products
1920	Manufacture of refined petroleum products

# 201 Manufacture of basic chemicals, fertilizers and nitrogen compounds, plastics and synthetic rubber in primary forms

- 2011 Manufacture of basic chemicals
- 2012 Manufacture of fertilizers and nitrogen compounds
- 2013 Manufacture of plastics and synthetic rubber in primary forms

#### 202 Manufacture of other chemical products

- 2021 Manufacture of pesticides and other agrochemical products
- 2022 Manufacture of paints, varnishes and similar coatings, printing ink and mastics
- 2023 Manufacture of soap and detergents, cleaning and polishing preparations, perfumes and toilet preparations
- 2029 Manufacture of other chemical products n.e.c.
- 2030 Manufacture of man-made fibres
- 2100 Manufacture of pharmaceuticals, medicinal chemical and botanical products
- 221 Manufacture of rubber products
- 2211 Manufacture of rubber tyres and tubes; retreading and rebuilding of rubber tyres
- 2219 Manufacture of other rubber products
- 2220 Manufacture of plastics products
- 2310 Manufacture of glass and glass products
- 2391 Manufacture of refractory products
- 2392 Manufacture of clay building materials
- 2393 Manufacture of other porcelain and ceramic products
- 2394 Manufacture of cement, lime and plaster
- 2395 Manufacture of articles of concrete, cement and plaster
- 2396 Cutting, shaping and finishing of stone
- 2399 Manufacture of other non-metallic mineral products n.e.c.
- 2410 Manufacture of basic iron and steel
- 2420 Manufacture of basic precious and other non-ferrous metals
- 2431 Casting of iron and steel
- 2432 Casting of non-ferrous metals
- 251 Manufacture of structural metal products, tanks, reservoirs and steam generators
- 2511 Manufacture of structural metal products
- 2512 Manufacture of tanks, reservoirs and containers of metal

- 2513 Manufacture of steam generators, except central heating hot water boilers
- 2520 Manufacture of weapons and ammunition
- 259 Manufacture of other fabricated metal products; metalworking service activities
- 2591 Forging, pressing, stamping and roll-forming of metal; powder metallurgy
- 2592 Treatment and coating of metals; machining
- 2593 Manufacture of cutlery, hand tools and general hardware
- 2599 Manufacture of other fabricated metal products n.e.c.
- 2610 Manufacture of electronic components and boards
- 2620 Manufacture of computers and peripheral equipment
- 2630 Manufacture of communication equipment
- 2640 Manufacture of consumer electronics
- 265 Manufacture of measuring, testing, navigating and control equipment; watches and clocks
- 2651 Manufacture of measuring, testing, navigating and control equipment
- 2652 Manufacture of watches and clocks
- 266
- 2660 Manufacture of irradiation, electro-medical and electro therapeutic equipment
- 2670 Manufacture of optical instruments and photographic equipment
- 2680 Manufacture of magnetic and optical media
- 2710 Manufacture of electric motors, generators, transformers and electricity distribution and control apparatus
- 2720 Manufacture of batteries and accumulators
- 273 Manufacture of wiring and wiring devices
- 2731 Manufacture of fibre optic cables
- 2732 Manufacture of other electronic and electric wires and cables
- 2733 Manufacture of wiring devices
- 2740 Manufacture of electric lighting equipment
- 2750 Manufacture of domestic appliances
- 2790 Manufacture of other electrical equipment
- 281 Manufacture of general-purpose machinery
- 2811 Manufacture of engines and turbines, except aircraft, vehicle and cycle engines
- 2812 Manufacture of fluid power equipment
- 2813 Manufacture of other pumps, compressors, taps and valves
- 2814 Manufacture of bearings, gears, gearing and driving elements
- 2815 Manufacture of ovens, furnaces and furnace burners
- 2816 Manufacture of lifting and handling equipment
- 2817 Manufacture of office machinery and equipment (except computers and peripheral equipment)
- 2818 Manufacture of power-driven hand tools
- 2819 Manufacture of other general-purpose machinery
- 282 Manufacture of special-purpose machinery
- 2821 Manufacture of agricultural and forestry machinery

2822 Manufacture of metal-forming machinery and machine tools 2823 Manufacture of machinery for metallurgy 2824 Manufacture of machinery for mining, quarrying and construction 2825 Manufacture of machinery for food, beverage and tobacco processing 2826 Manufacture of machinery for textile, apparel and leather production 2829 Manufacture of other special-purpose machinery
<ul> <li>2910 Manufacture of motor vehicles</li> <li>2920 Manufacture of bodies (coachwork) for motor vehicles; manufacture of trailers and semi-trailers</li> <li>2930 Manufacture of parts and accessories for motor vehicles</li> </ul>
301 Building of ships and boats 3011 Building of ships and floating structures 3012 Building of pleasure and sporting boats 3020 Manufacture of railway locomotives and rolling stock
3030 Manufacture of air and spacecraft and related machinery 3040 Manufacture of military fighting vehicles
309 Manufacture of transport equipment, n.e.c. 3091 Manufacture of motorcycles 3092 Manufacture of bicycles and invalid carriages 3099 Manufacture of other transport equipment, n.e.c.
3100 Manufacture of furniture
Manufacture of jewelry, bijouterie and related articles Manufacture of jewelry and related articles Manufacture of imitation jewelry and related articles
3220 Manufacture of musical instruments 3230 Manufacture of sports goods 3240 Manufacture of games and toys 3250 Manufacture of medical and dental instruments and supplies 3290 Other manufacturing, n.e.c.
331 Repair of fabricated metal products, machinery and equipment 3311 Repair of fabricated metal products 3312 Repair of machinery 3313 Repair of electronic and optical equipment 3314 Repair of electrical equipment 3315 Repair of transport equipment, except motor vehicles 3319 Repair of other equipment
3320 Installation of industrial machinery and equipment

#### D Electricity, gas, steam and air conditioning supply

3510 Electric power generation, transmission and distribution 3520 Manufacture of gas; distribution of gaseous fuels through mains

3530 Steam and air conditioning supply

#### E Water supply; sewerage, waste management and remediation activities

3600 Water collection, treatment and supply

3700 Sewerage

381 Waste collection

3811 Collection of non-hazardous waste

3812 Collection of hazardous waste

382 Waste treatment and disposal

3821 Treatment and disposal of non-hazardous waste

3822 Treatment and disposal of hazardous waste

3830 Materials recovery

3900 Remediation activities and other waste management services

#### **F** Construction

- 4100 Construction of buildings
- 4220 Construction of utility projects (roads and railways)
- 4290 Construction of other civil engineering projects
- 4311 Demolition
- 4312 Site preparation
- 4321 Electrical installation
- 4322 Plumbing, heat and air-conditioning installation
- 4329 Other construction installation
- 4330 Building completion and finishing
- 4390 Other specialized construction activities

#### G Wholesale and retail trade; repair of motor vehicles and motorcycles

- 4510 Sale of motor vehicles
- 4520 Maintenance and repair of motor vehicles
- 4530 Sale of motor vehicle parts and accessories
- 4540 Sale, maintenance and repair of motorcycles and related parts and accessories
- 4610 Wholesale on a fee or contract basis
- 4620 Wholesale of agricultural raw materials and live animals
- 4630 Wholesale of food, beverages and tobacco
- 4641 Wholesale of textiles, clothing and footwear
- 4649 Wholesale of other household goods
- 465 Wholesale of machinery, equipment and supplies
- 4651 Wholesale of computers, computer peripheral equipment and software
- 4652 Wholesale of electronic and telecommunications equipment and parts
- 4653 Wholesale of agricultural machinery, equipment and supplies
- 4659 Wholesale of other machinery and equipment
- 4661 Wholesale of solid, liquid and gaseous fuels and related products
- 4662 Wholesale of metals and metal ores

- 4663 Wholesale of construction materials, hardware, plumbing and heating equipment and supplies
- 4669 Wholesale of waste and scrap and other products, n.e.c.

Division 47 Retail trade, except of motor vehicles and motorcycles 471 Retail sale in non-specialized stores

- 4711 Retail sale in non-specialized stores with food, beverages or tobacco predominating
- 4719 Other retail sale in non-specialized stores
- 472 Retail sale of food, beverages and tobacco in specialized stores
- 4721 Retail sale of food in specialized stores
- 4722 Retail sale of beverages in specialized stores
- 4723 Retail sale of tobacco products in specialized stores
- 4730 Retail sale of automotive fuel in specialized stores
- 474 Retail sale of information and communications equipment in specialized stores
- 4741 Retail sale of computers, peripheral units, software and telecommunications equipment in specialized stores
- 4742 Retail sale of audio and video equipment in specialized stores
- 4751 Retail sale of textiles in specialized stores
- 4752 Retail sale of hardware, paints and glass in specialized stores
- 4753 Retail sale of carpets, rugs, wall and floor coverings in specialized stores
- 4759 Retail sale of electrical household appliances, furniture, lighting equipment and other household articles in specialized stores
- 4761 Retail sale of books, newspapers and stationary in specialized stores
- 4762 Retail sale of music and video recordings in specialized stores
- 4763 Retail sale of sporting equipment in specialized stores
- 4764 Retail sale of games and toys in specialized stores
- 4771 Retail sale of clothing, footwear and leather articles in specialized stores
- 4772 Retail sale of pharmaceutical and medical goods, cosmetic and toilet articles in specialized stores
- 4773 Other retail sale of new goods in specialized stores
- 4774 Retail sale of second-hand goods
- 478 Retail sale via stalls and markets
- 4781 Retail sale via stalls and markets of food, beverages and tobacco products
- 4782 Retail sale via stalls and markets of textiles, clothing and footwear
- 4789 Retail sale via stalls and markets of other goods

#### 479 Retail trade not in stores, stalls or markets

- 4791 Retail sale via mail order houses or via Internet
- 4799 Other retail sale not in stores, stalls or markets

#### H Transportation and storage

- 4911 Passenger rail transport, interurban
- 4912 Freight rail transport

4921 Urban and suburban passenger land transport 4922 Other passenger land transport 4923 Freight transport by road 4930 Transport via pipeline 5011 Sea and coastal passenger water transport 5012 Sea and coastal freight water transport 5021 Inland passenger water transport 5022 Inland freight water transport 5110 Passenger air transport 5120 Freight air transport 5210 Warehousing and storage 5221 Service activities incidental to land transportation 5222 Service activities incidental to water transportation 5223 Service activities incidental to air transportation 5224 Cargo handling 5229 Other transportation support activities 5310 Postal activities 5320 Courier activities I Accommodation and food service activities 5510 Short term accommodation activities 5520 Camping grounds, recreational vehicle parks and trailer parks 5590 Other accommodation 5610 Restaurants and mobile food service activities

#### J Information and communication

5629 Other food service activities 5630 Beverage serving activities

#### Publishing of books, periodicals and other publishing activities

5811 Book publishing

5621 Event catering

- 5812 Publishing of directories and mailing lists
- 5813 Publishing of newspapers, journals and periodicals
- 5819 Other publishing activities
- 5820 Software publishing
- 5911 Motion picture, video and television programme production activities
- 5912 Motion picture, video and television programme post-production activities
- 5913 Motion picture, video and television programme distribution activities
- 5914 Motion picture projection activities
- 5920 Sound recording and music publishing activities

- 6010 Radio broadcasting 6020 Television programming and broadcasting activities 6110 Wired telecommunications activities 6120 Wireless telecommunications activities 6130 Satellite telecommunications activities 6190 Other telecommunications activities 6201 Computer programming activities 6202 Computer consultancy and computer facilities management activities 6209 Other information technology and computer service activities 6311 Data processing, hosting and related activities 6312 Web portals 6391 News agency activities 6399 Other information service activities n.e.c. K Financial and insurance activities 6411 Central banking 6419 Other monetary intermediation 6420 Activities of holding companies 6430 Trusts, funds and similar financial entities 6491 Financial leasing 6492 Other credit granting 6499 Other financial service activities, except insurance and pension funding activities, n.e.c. 6511 Life insurance 6512 Non-life insurance 6530 Pension funding 6611 Administration of financial markets 6612 Security and commodity contracts brokerage 6619 Other activities auxiliary to financial service activities
- 6621 Risk and damage evaluation
- 6622 Activities of insurance agents and brokers
- 6629 Other activities auxiliary to insurance and pension funding
- 6630 Fund management activities

#### L Real estate activities

- 6810 Real estate activities with own or leased property
- 6820 Real estate activities on a fee or contract basis

#### M Professional, scientific and technical activities

- 6910 Legal activities
- 6920 Accounting, bookkeeping and auditing activities; tax consultancy
- 7010 Activities of head offices

- 7020 Management consultancy activities
- 7110 Architectural and engineering activities and related technical consultancy
- 7120 Technical testing and analysis
- 7210 Research and experimental development on natural sciences and engineering
- 7220 Research and experimental development on social sciences and humanities
- 7310 Advertising
- 7320 Market research and public opinion polling
- 7410 Specialized design activities
- 7420 Photographic activities
- 7490 Other professional, scientific and technical activities n.e.c.

#### N Administrative and support service activities

- 7500 Veterinary activities
- 7710 Renting and leasing of motor vehicles
- 7721 Renting and leasing of recreational and sports goods
- 7722 Renting of video tapes and disks
- 7729 Renting and leasing of other personal and household goods
- 7730 Renting and leasing of other machinery, equipment and tangible goods
- 7740 Leasing of intellectual property and similar products, except copyrighted works
- 7810 Activities of employment placement agencies
- 7820 Temporary employment agency activities
- 7830 Other human resources provision
- 7911 Travel agency activities
- 7912 Tour operator activities
- 7990 Other reservation service and related activities
- 8010 Private security activities
- 8020 Security systems service activities
- 8030 Investigation activities
- 8110 Combined facilities support activities
- 8121 General cleaning of buildings
- 8129 Other building and industrial cleaning activities
- 8130 Landscape care and maintenance service activities
- 8211 Combined office administrative service activities
- 8219 Photocopying, document preparation and other specialized office support activities
- 8220 Activities of call centres
- 8230 Activities of collection agencies and credit bureaus
- 8292 Packaging activities
- 8299 Other enterprise support service activities n.e.c.

#### O Public administration and defense; compulsory social security

#### 841 Administration of the State and the economic and social policy of the community

- 8411 General public administration activities
- 8412 Regulation of the activities of providing health care, education, cultural services and other social services, excluding social security
- 8413 Regulation of and contribution to more efficient operation of enterprises
- 8421 Foreign affairs
- 8422 Defense activities
- 8423 Public order and safety activities
- 8430 Compulsory social security activities

#### P Education

- 8510 Pre-primary and primary education
- 8521 General secondary education
- 8522 Technical and vocational secondary education
- 853 8530 Higher education
- 8541 Sports and recreation education
- 8542 Cultural education
- 8549 Other education n.e.c.
- 8550 Educational support activities

#### Section Q Human health and social work activities

- 8610 Hospital activities
- 8620 Medical and dental practice activities
- 8690 Other human health activities
- 8710 Residential nursing care facilities
- 8720 Residential care activities for mental retardation, mental health and substance abuse
- 8730 Residential care activities for the elderly and disabled
- 8790 Other residential care activities
- 8810 Social work activities without accommodation for the elderly and disabled
- 8890 Other social work activities without accommodation

#### R Arts, entertainment and recreation

- 9000 Creative, arts and entertainment activities
- 9101 Library and archives activities
- 9102 Museums activities and operation of historical sites and buildings
- 9103 Botanical and zoological gardens and nature reserves activities
- 9200 Gambling and betting activities
- 9311 Operation of sports facilities
- 9312 Activities of sports clubs
- 9319 Other sports activities

- 9321 Activities of amusement parks and theme parks
- 9329 Other amusement and recreation activities n.e.c.

#### S Other service activities

# 941 Activities of enterprises, employers and professional membership organizations

- 9411 Activities of enterprise and employers membership organizations
- 9412 Activities of professional membership organizations

#### 942 9420 Activities of trade unions

- 9491 Activities of religious organization
- 9492 Activities of political organizations
- 9499 Activities of other membership organizations n.e.c.

#### 951 Repair of computers and communication equipment

- 9511 Repair of computers and peripheral equipment
- 9512 Repair of communication equipment

#### 952 Repair of personal and household goods

- 9521 Repair of consumer electronics
- 9522 Repair of household appliances and home and garden equipment
- 9523 Repair of footwear and leather goods
- 9524 Repair of furniture and home furnishings
- 9529 Repair of other personal and household goods
- 9601 Washing and (dry-) cleaning of textile and fur products
- 9602 Hairdressing and other beauty treatment
- 9603 Funeral and related activities
- 9609 Other personal service activities n.e.c.

#### T Activities of households as employers; undifferentiated goods- and servicesproducing activities of households for own use

- 9700 Activities of households as employers of domestic personnel
- 9810 Undifferentiated goods-producing activities of private households for own use
- 9820 Undifferentiated service-producing activities of private households for own use

#### U Activities of extraterritorial organizations and bodies

9900 Activities of extraterritorial organizations and bodies